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**Abstract.** This study used the spectral features of the geostationary satellite infrared window channel and the water vapor channel data to calculate a new parameter, normalized difference convection index (NDCI), to help determine the overshooting areas in typhoon cloud systems and the centers and intensity of typhoons. The results showed that the two-dimensional NDCI analysis helped to identify typhoon convective cloud systems and the positions of overshooting areas. In addition, because the NDCI values near a typhoon eye were rather significant, if a typhoon eye was formed, the NDCI cross-section analysis could help to confirm its position. When the center of a typhoon was covered by the high anvils and cirrus layers, it could still be qualitatively found through two-dimensional analysis. As for determining the intensity of typhoons, this study also tried to perform correlation analyses with NDCI and maximum sustained wind speed. The result showed that in the ranges within circles of 200 to 250 km radii with a typhoon eye as the center, the correlation between the area with the NDCI values <0 and the maximum sustained wind speed is high with a coefficient 0.7. Thus, the NDCI value could be a referential index to determine the intensity of a typhoon. © The Authors, Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JRS.9 .0960741

**Keywords:** typhoon; satellite imagery; normalized difference convection index.

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#### 1 Introduction

Tropical cyclones are one of the nature's most destructive weather systems. Identifying and fore-casting tropical cyclone intensity changes have been a challenge for forecasters. As most of these powerful storms initially develop over an ocean, direct *in situ* measurements are scarce. <sup>1–3</sup> Given the high spatial and temporal resolutions of satellites, satellite remote sensing has become a tool that heavily relies on tropical cyclone monitoring to provide pertinent vital data. In the past, a few techniques had been developed to estimate the intensity of tropical cyclones through satellite remote sensing data. Some of the techniques estimate intensity using microwave data from the advanced microwave sounding unit, <sup>4–6</sup> the special sensor microwave imager, <sup>7,8</sup> and tropical rainfall measuring mission/microwave imager (TRMM/TMI). <sup>9</sup> Yet, as most microwave instruments are placed on board polar-orbiting satellites, it is difficult to obtain hourly data regarding tropical cyclone intensity changes, even though the global precipitation measurement mission could provide frequent observations (every 2 to 3 h) of Earth's precipitation. In contrast to the microwave data, the optical observations from geostationary meteorological satellites are

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considered more suitable source data in characterizing hourly intensity variations. The scientists at the National Oceanic and Atmospheric Administration/National Environmental Satellite, Data and Information System, led by Vernon Dvorak, developed satellite applications specifically aimed toward operational forecasting of tropical cyclones. During the early years of satellite observations, they proposed an algorithm to determine the intensity of tropical cyclones through subjective cloud pattern recognition, 10 which is known as the Dvorak technique; this method was later supplemented with the enhanced infrared method. 11 The technique has been employed by tropical forecast centers around the world for over 30 years, which utilizes intensity units known as T numbers. However, the downside of the Dvorak algorithm is that it is subjective. To remove the subjective manual process from the original Dvorak method, an objective Dvorak technique was developed. 12,13 A technique involving different water vapor and infrared window channel brightness temperature (TB) values to identify and quantify intense convection in tropical cyclones using bispectral geostationary satellite imagery was proposed by Olander and Velden. <sup>14</sup> They first made a cross-section analysis of TB values of water vapor and infrared window channels for Hurricane Wilma (2005). They found that the most negative values (the infrared minus water vapor) are concentrated within the eyewall regions, while outside of the eyewall regions, the values are near zero or positive, indicating less vigorous convection. Moreover, when compared with three infrared enhancements and radar images, the differencing imagery showed the possibility to aid in tropical cyclone center identification.

Other recent studies on delineating tropical cyclone intensity have been conducted via the optical channels of geostationary satellites. In particular, Mueller et al. objectively estimated the tropical cyclone wind structure using satellite infrared data. Kossin et al. described a satellite-based technique, in which the tropical cyclone radius of the respective maximum winds and the two-dimensional surface wind field were derived from satellite infrared data. Chao et al. tried to offer an approach by calculating the angular speed of a typhoon's cloud band rotation using geostationary satellite data. The results of that study demonstrated the viability of using geostationary satellite image data in estimating the maximum wind speed of a typhoon. Piñeros et al. Let'l discussed the tropical cyclone axi-symmetry and estimated tropical cyclone intensity from infrared images.

Rouse et al. calculated a normalized ratio of the near-infrared and red bands and proposed an index called the normalized difference vegetation index. <sup>22</sup> It was then used in many fields, such as estimations of vegetation biomass, leaf area, the proportion of absorbed photosynthetically active radiation, etc. <sup>23–27</sup> In addition, the normalized difference vegetation index is also an important parameter in various types of models, such as general circulation and biogeochemical models. The normalized ratio has the advantages of showing the value range of a relatively concentrated normalized difference vegetation index and a nonlinearly enhanced contrast nonlinearly. Moreover, it provides opportunities for time-series analyses. <sup>28</sup> The aim of this study was to combine the concepts of differencing imagery from infrared and water vapor channels <sup>14</sup> and the skill in calculating a normalized ratio, <sup>22</sup> then to define a new parameter based on TBs of infrared and water vapor channels and apply it to analyze the positions of the centers, overshooting areas, and intensity of typhoons. The structure of this paper is as follows. The data collection and processing are shown in Sec. 2. The methodology for the establishment of the normalized difference convection index (NDCI) is described in Sec. 3. The results and discussion are shown in Sec. 4. Finally, a conclusion is given in Sec. 5.

#### 2 Data

The satellite data used in this study were from the GOES-9 and MTSAT geostationary meteorological satellites, which provided hourly observations of visible data, as well as two infrared observations (over channels IR1, 10.5 to 11.5  $\mu$ m and IR2, 11.5 to 12.5  $\mu$ m) and a set of water vapor observations (over channel WV, 6.5 to 7.0  $\mu$ m). The TB data of the original IR1 channel and WV channel, which were resampled into 0.05° by 0.05° longitude-latitude grid data for calculation purposes, provided by Weather Home, Kochi University, Japan, were employed in this research.

The best tracking typhoon data from Joint Typhoon Warning Center (JTWC) were used to identify the change in intensity and the overall typhoon movement. The life periods of typhoons

**Table 1** The four stages during the life period of a typhoon are based on the maximum sustained wind speed.

Category	Sustained winds (m/s)
Tropical depression	≤ 17.2
Mild	17.3 to 32.6
Moderate	32.7 to 50.9
Severe	≥ 50.9

were classified into four stages (tropical depression period, mild typhoon period, moderate typhoon period, and severe typhoon period) in this study based on the maximum sustained wind speed (Table 1). The wind speed of a tropical depression was <17.2 m/s (tropical depression in Saffir-Simpson Scale). The wind speed of a mild-strength typhoon was >17.2 m/s and <32.6 m/s (tropical storm in Saffir-Simpson Scale). Typhoons with a wind speed >32.7 m/s and <50.9 m/s are in their moderate typhoon stage (categories 1 and 2 in Saffir-Simpson Scale) and those with a wind speed >51.0 m/s were classified as in the severe typhoon stage (categories 3, 4, and 5 in Saffir-Simpson Scale). Eleven typhoon cases (shown in Table 2), including two mild typhoons, four moderate typhoons, and five severe typhoons in the Northwest Pacific Basin were used in this research. The position and 1-min mean sustained wind speeds of JTWC's best track data were used to acquire the hourly positions of the typhoon's center using a linear interpolation technique, identify the intensity change, and monitor the overall typhoon movement. Figure 1 showed the best tracks of typhoon cases in Table 2.

#### 3 Methodology

The spectral response peak of the IR1 channel was near the surface, while the peak of the WV channel was at the middle or upper troposphere (~400 hPa). Therefore, in general, TBs of IR1 images were larger than WV images under tropospheric clear-sky conditions. However, some convective cloud systems developed vertically so the TBs of the IR1 channel were relatively low, while the TBs of the WV channel were relatively high. Thus, the values of the TB of the IR1 channel minus the TB of the WV channel were positive for a tropospheric clear-sky condition,

Table 2 The typhoon cases used in this research.

Name	Category	Period
Longwang (2005)	Severe	09/26 03-10/02 20UTC
Mawar (2012)	Moderate	06/01 05-06/05 20UTC
Guchol (2012)	Severe	06/11 23-06/19 12UTC
Doksuri (2012)	Mild	06/27 17-06/29 23UTC
Khanun (2012)	Mild	07/16 11-07/18 20UTC
Saola (2012)	Moderate	07/28 05-08/02 23UTC
Haikui (2012)	Moderate	08/03 23-080/08 00UTC
Bolaven (2012)	Severe	08/20 05-08/28 18UTC
Sanba (2012)	Severe	09/11 05-09/17 10UTC
Jelawat (2012)	Severe	09/20 17-09/30 18UTC
Prapiroon (2012)	Moderate	10/07 17-10/19 00UTC

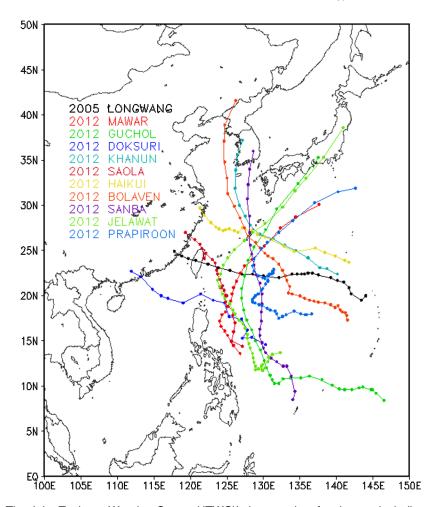


Fig. 1 The Joint Typhoon Warning Center (JTWC)'s best tracks of typhoons, including two mild typhoons, four moderate typhoons, and five severe typhoons in the Northwest Pacific Basin.

but negative for a convection condition. The reverse of the measured difference between the two channels was due to the reemitted absorbed radiation from the upper tropospheric to the lower stratospheric water vapor.<sup>29</sup> It could also be explained by the contrast between the colder background of the IR1 TBs associated with the overshooting deep convection and warmer WV TB due to the emission from water vapor in the warmer stratosphere.<sup>30</sup> In general, a typhoon has an eye situated at its geometric center. It may be clear or have spotty low clouds near the center, or it may be obscured by a cirrus canopy. There is, however, very little moisture, wind, and rain due to the sinking airflow. Therefore, there are different spectral features observed by IR1 and WV channels. These features then make it possible to identify the positions of overshooting areas in typhoon cloud systems and the centers and intensities of typhoons.

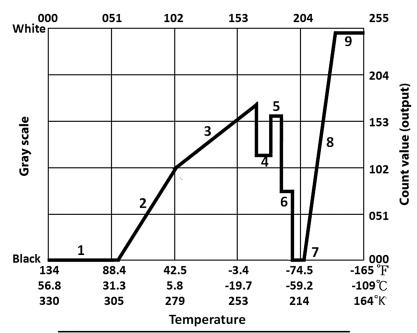
The present study used the spectral features of the IR1 and WV channels of the satellite to define a new index, the TB of the infrared window channel minus the TB of the water vapor channel divided by the TB of the infrared window channel plus the TB of the water vapor channel. The values obtained by this equation are called the NDCI values. The mathematical form of the equation is

$$NDCI \equiv \frac{IR1 - WV}{IR1 + WV},$$

where IR1 is the TB of the infrared window channel, WV is the TB of the water vapor channel, and the NDCI value is between -1 and 1. The NDCI value at WV = 0 K is the highest, 1, while that at IR1 = 0 K is the lowest, -1. In the cases of a clear sky or an atmosphere with thin cloud and dry air, NDCI values should be >0. In the cases of a convective cloud system, NDCI values

should be <0. In addition, the newly defined NDCI does show a significant difference from the simple difference of IR1 – WV. For example, the NDCI value is -0.0017 at IR1 = 299 K and WV = 300 K, while the NDCI value is -0.0033 at IR1 = 149 K and WV = 150 K. The two times differences of NDCI values show that the features of clouds with an NDCI value of -0.0017 are quite different from those with an NDCI value of -0.0033. The former may be low-level clouds, but the latter may be deep convections. However, the simple difference of IR1 – WV cannot be used to distinguish the difference.

The formation and development of a tropical cyclone is under the influences of both thermodynamic and dynamic conditions. In recent years, there have been several studies analyzing tropical cyclones from the thermodynamic viewpoint. Emanuel showed that the intensity of tropical cyclones depends strongly on air-sea interaction through a basic theory and numerical experiments.<sup>31,32</sup> A decrease in the sea surface temperature gradient between the eye of a tropical cyclone and the surrounding water is also considered to be able to increase the maximum wind speed.<sup>33,34</sup> Moreover, Hendricks et al. proposed the concept of vortical hot towers, which are strong cumulus updrafts. Vortical hot towers generate strong vorticity anomalies of both plus and minus signs and play an important role in the genesis of tropical cyclones.<sup>35</sup> Montgomery et al. and Tory et al. continued the investigation of the vortical hot towers and found that the dominant force for intensification of tropical cyclones was latent heat release in convection associated with the ensemble vortical hot towers. 36,37 Houze et al. explored the development of the Typhoon Ophelia (2005) and suggested that the vortical hot towers were favorable for the formation of the typhoon.<sup>38</sup> Tao and Jiang examined the global distribution of hot towers in tropical cyclones using 11 years of TRMM data. Their results showed that there is a good linear relationship between the intensification of tropical cyclones and the percentage of hot towers in the inner core region of tropical cyclones.<sup>39</sup> Thus, this study also tried to adopt NDCI and took the energy



Segment			
number	Temperature ( ${\mathbb C}$ )	Comments	
1	58.8 to 29.3	Little or no useful met data (black)	
2	28.8 to 6.8	Low level/sea surface difference	
3	6.3 to -31.2	Middle level - no enhancement	
4	-32.2 to -42.2	First-level contour (med gray)	
5	-43.2 to -53.2	(Light gray)	
6	-54.2 to -59.2	Thunderstorm (dark gray)	
7	-60.2 to -63.2	Enhancement (black)	
8	-64.2 to -80.2	Overshooting tops enhancement	
9	-81.2 to -110.2	(White)	

Fig. 2 The MB curve.40

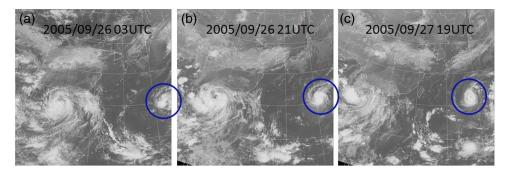
standpoint to analyze the development of typhoons. In addition, in order to simplify the identification of a convective cloud system so that discussions could be simple, this study used a TB of 192.8 to 208.8 K from the MB curve (shown as Fig. 2) as the deep convection area (overshooting area).<sup>40</sup>

#### 4 Analysis and Discussion

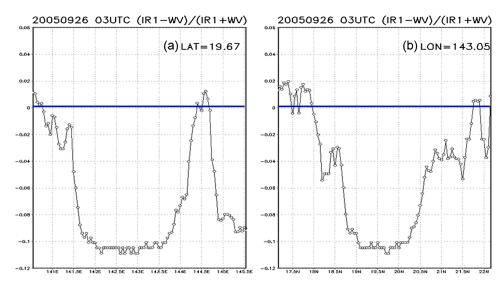
Typhoon Longwang (2005) was first identified as a tropical depression on September 25, north of the Mariana Islands. Moving along a general westward track, the system quickly intensified and reached a mild typhoon stage (the maximum sustained wind speed >17.2 m/s) at the longitude of 143.05°E and the latitude of 19.67°N at 03:00 UTC on September 26. After that, its intensity kept increasing and it reached the standard of a moderate typhoon stage (the maximum sustained wind speed >32.7 m/s) at the longitude of 141.35°E and the latitude of 21.20°N at 21:00 UTC on September 26. The wind speed of the tropical cyclone reached the severe-intensity typhoon wind speed (maximum sustained wind speed >51.0 m/s) at the longitude of 138.21°E and the latitude of 22.17°N at 19:00 UTC on September 27. As can be clearly seen in the satellite infrared images, the convective cloud systems had become more and more symmetric and the structure denser and denser with time. The typhoon eye showed up when the typhoon developed into a severe-strength typhoon stage (shown in Fig. 3).

Olander and Velden once performed a cross-section analysis regarding the infrared window channel and water vapor channel TBs in a tropical cyclone case. Their results showed that the difference values (IR1 – WV) were near zero or negative, indicating the presence of a vigorous convection overshooting cloud. This study first performed an NDCI cross-section analysis for the three stages of different wind speeds of the typhoon in Fig. 3. In the outside regions of the circulation of Typhoon Longwang, the clouds were typically less opaque or missing entirely, resulting in the difference in TB between IR1 and WV channels being positive. In other words, the NDCI values were positive under conditions with less opaque cloud. However, in the regions covering the clouds of Longwang, this relationship was reversed as the water vapor above the convection was forced through the troposphere and into the stratosphere. Therefore, the NDCI values were negative under the conditions with opaque cloud associated with intense, active, and deep convection.

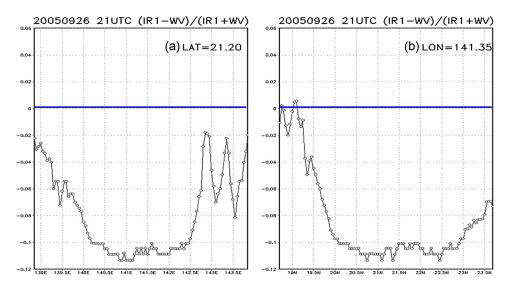
Figures 4 and 5 show the NDCI value changes with longitude (latitude) with the hatched lines based on the latitude (longitude) of the center of the typhoon at 03:00 UTC (mild-strength stage) and 21:00 UTC (moderate-strength stage) on September 26, respectively. According to Figs. 4 and 5, the NDCI values within the range of the 250 km radius of Typhoon Longwang center were mostly <0, and the values closer to the center of the circulation were smaller. Yet, when the typhoon eye was formed at 19:00 UTC on September 27 (severe-strength stage), the NDCI values within the eye suddenly increased (as shown in Fig. 6). The reason was that near the eye, the cloud layers were rather thin and the air was relatively dry. As a result, the IR1 and WV channel TBs were close. This result was similar to the result obtained by Olander and Velden using the cross-section analysis with difference values (IR1 – WV). However, in this study,



**Fig. 3** Images in infrared window of the GOES-9 geostationary meteorological satellite at (a) 03:00 UTC on September 26, (b) 21:00 UTC on September 26, and (c) 19:00 UTC on September 27, 2005.

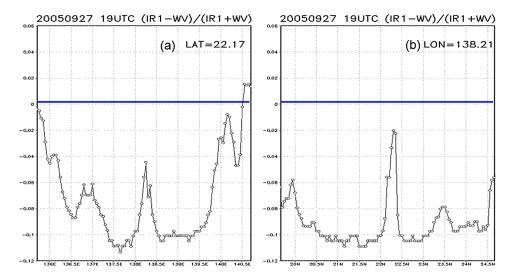


**Fig. 4** The normalized difference convection index (NDCI) changes along the (a) latitude and (b) longitude within the range of a 250 km radius of the center of Typhoon Longwang (143.05°E and 19.67°N) at 03:00 UTC on September 26 (mild-strength stage).



**Fig. 5** The NDCI changes along the (a) latitude and (b) longitude within the range of a 250 km radius of the center of Typhoon Longwang (141.35°E and 21.20°N) at 21:00 UTC on September 26 (moderate-strength stage).

in the cross-section analysis of the NDCI values, the area of near-zero or negative pixels covered both the stratiform cloud systems and the convective cloud systems with deep vertical development or the overshooting areas. The latter cannot be depicted clearly and separately. Here we first used the IR1 TBs between 192.8 and 208.8 K of the MB curve as the criterion for determining the overshooting areas. According to Fig. 7(a), when Typhoon Longwang was formed, there was a round area around the center of the typhoon, which could be considered as the overshooting area, and the main deep convection area was at the south of the center of the typhoon. When the wind speed of Typhoon Longwang reached the standard for a moderate typhoon [shown in Fig. 7(b)], the overshooting area expanded significantly. Deep convective cloud systems were significant, especially at all the sides of the center of the typhoon. Thus, the overshooting areas across the center of the typhoon were symmetric with rotation. When the wind speed of Longwang reached the standard of the severe typhoon stage [as shown in

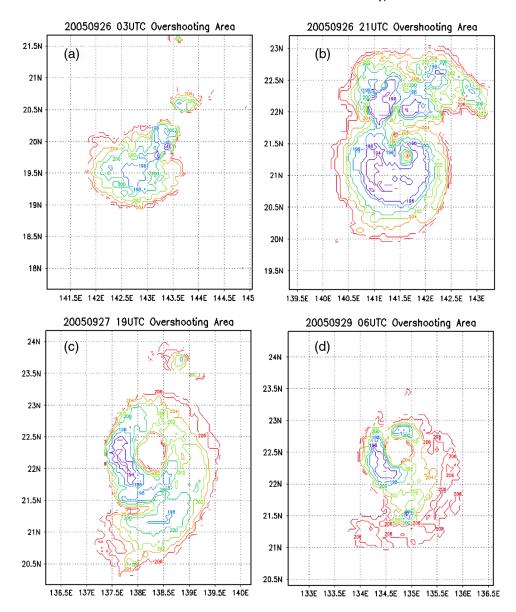


**Fig. 6** The NDCI changes along the (a) latitude and (b) longitude within the range of a 250 km radius of the center of Typhoon Longwang (138.21°E and 22.17°N) at 19:00 UTC on September 27 (severe-strength stage).

Fig. 7(c)], in addition to the area where the typhoon eye was located, the overshooting cloud systems also distributed around the eye with the apparent rotation. According to the JTWC wind speed data, when Typhoon Longwang became a severe typhoon, because it was still above the sea, so there was sufficient energy supplied to Longwang for development. Therefore, its maximum sustained wind continued to increase. The typhoon kept on moving west with severe intensity. When this study conducted the tracking of the hourly data of the overshooting areas (figures not shown), it was found that the coverage of the overshooting areas did not necessarily increase as the intensity of the typhoon increased (compared with JTWC's maximum sustained wind); on the contrary, it sometimes even decreased. For example, according to the distribution at 06:00 UTC on September 29, the overshooting areas even contracted and the coverage decreased [as shown in Fig. 7(d)]. Yet, during the development of the typhoon, the overshooting areas were all around the typhoon center. This may be considered a significant feature to be noted about the distributions of overshooting areas.

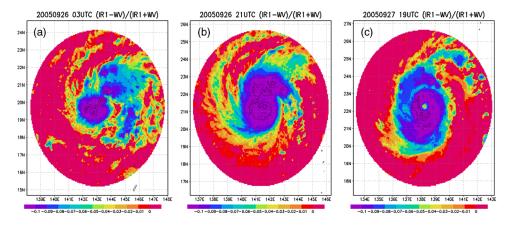
In order to find out if it was possible to identify overshooting areas in a typhoon circulation using NDCI, this study performed not only a cross-section analysis in a way similar to the method adopted by Olander and Velden, <sup>14</sup> but also a two-dimensional NDCI analysis within the range of the 500 km radius around the center of the typhoon (as shown in Fig. 8). It was found that the areas with an NDCI value < -0.1 were similar to the overshooting areas (shown in Fig. 7). Figure 9 is the scatter plot analysis with all the numbers of pixels with an NDCI value < -0.1 and those with the IR1 TB values between 192.8 and 208.8 K during Typhoon Longwang's lifespan. As shown in the figure, the areas with the NDCI value < -0.1were highly related to the overshooting areas. The relationship between the counts of NDCI (<-0.1) and overshooting  $(192.8 < IR1 - WV \le 208.8)$  was also shown in Table 3. Two measures were computed for  $2 \times 2$  categorical verification data. The first one is called the probability of detection (POD). The POD is simply the fraction of those occasions when overshooting events (192.8 < IR1 – WV  $\leq$  208.8) occurred in which NDCI (< -0.1) also occurred. The other one is the false alarm rate (FAR), the proportion of the NDCI (< -0.1) events that failed to materialize (overshooting events). As seen from Table 3, >71\% of the overshooting events were determined by the NDCI index (POD = 0.711), and < 2% of nonovershooting events were misjudged (FAR = 0.012). Thus, the NDCI could be used to identify the distribution of deep convective cloud systems. NDCI values < -0.1 indicated the presence of vigorous convection.

The intensity of a typhoon changes with its cloud system structure and the thermodynamic and dynamic conditions in the environment. Generally, it has been believed that the latent heat release plays an important role in the development of a typhoon.<sup>35–38</sup> Some works have depicted

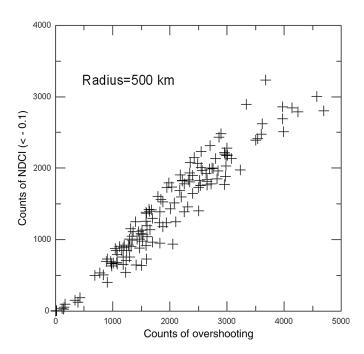


**Fig. 7** The overshooting areas (with the IR1 TB >192.8 K and <208.8 K) at (a) 03:00 UTC on September 26 (mild-strength stage); (b) 21:00 UTC on September 26 (moderate-strength stage); (c) 19:00 UTC on September 27; and (d) 06:00 UTC on September 29 (severe-strength stage).

a convective ring model of tropical cyclone intensification that suggests that intensification and contraction of the radius of maximum winds occur simultaneously or contraction occurs just prior to intensification. In this paradigm, eyewall heating leads to both contraction and spin-up through radial advection of absolute angular momentum. These important collective effects of the rotating deep convection that drive the spin-up process have also been stressed in recent research. When Typhoon Longwang turned into a severe typhoon from a moderate one [as shown in Figs. 7(a) and 7(b)], the overshooting areas expanded gradually. Yet, in the severe typhoon stage [as shown in Figs. 7(c) and 7(d)], the overshooting areas began to reduce. Because the size of the overshooting areas represented the amount of deep convective cloud systems and the amount of latent heat release, it could be inferred based on Fig. 7 that the amount of latent heat release from the convective cloud systems increased gradually during the earlier stage of the development of Typhoon Longwang and the latent heat released from the convective cloud systems may have played an important role in the development of the early stage of the typhoon while the dynamic conditions played a role in the later stage development. This



**Fig. 8** The distribution of the NDCI values within the range of a 500 km radius around the center of Typhoon Longwang (the areas marked in purple were areas with the NDCI value < -0.1) at (a) 03:00 UTC on September 26 (mild-strength stage), (b) 2100 UTC on September 26 (moderate-strength stage), and (c) 19:00 UTC on September 27 (severe-strength stage).



**Fig. 9** The scatter plot of the counts of pixels of the areas with all the hourly NDCI values < -0.1 and IR1 TB values between 192.8 and 208.8 K within the range of a 500 km radius around the center of Typhoon Longwang during the life period of the typhoon.

**Table 3** The  $2 \times 2$  categorical verification data of normalized difference convection index (NDCI) (< -0.1) and overshooting (192.8 < IR1 - water vapor  $\le 208.8$ ) during the life period of Typhoon Longwang (2005).

		192.8 K < brightness ten	192.8 K < $brightness temperature (IR1) \le 208.8 K$	
		Yes	No	
NDCI < -0.1	Yes	1240	15	
	No	504	25,715	

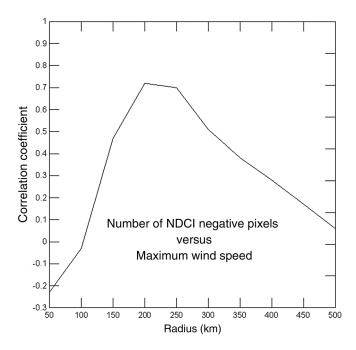
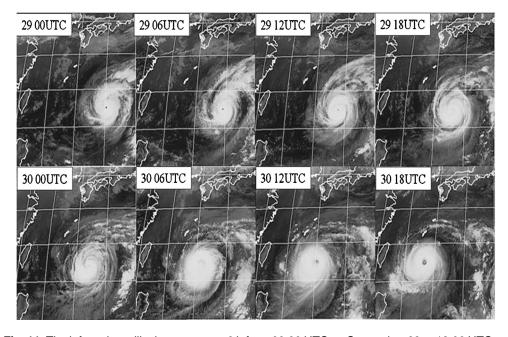


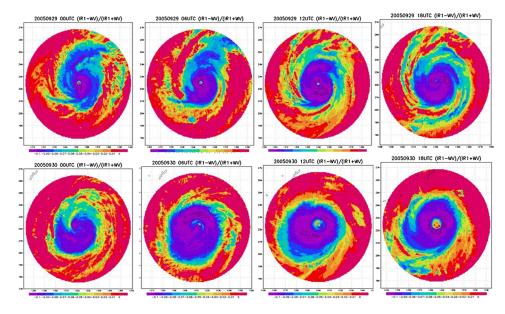
Fig. 10 The correlation between the NDCI values and the JTWC's maximum sustained wind speeds with different radii.

conclusion was similar to the result of the development process of Typhoon Ophelia (2005) obtained by Houze et al.<sup>38</sup>

The present study also tried to perform an analysis on the relationship between the NDCI values and the maximum sustained wind speed values (as shown in Fig. 10). The result showed that within the range of the 200 to 250 km radius, there was a good relationship between the number of pixels with the NDCI value <0 and the maximum sustained wind speed (correlation coefficient >0.7). Thus, NDCI could be a referential index to determine the intensity of a typhoon during its development.

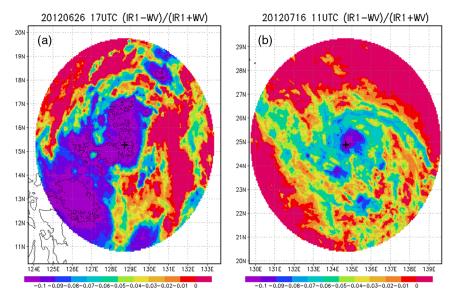


**Fig. 11** The infrared satellite images every 6 h from 00:00 UTC on September 29 to 18:00 UTC on September 30, 2005.

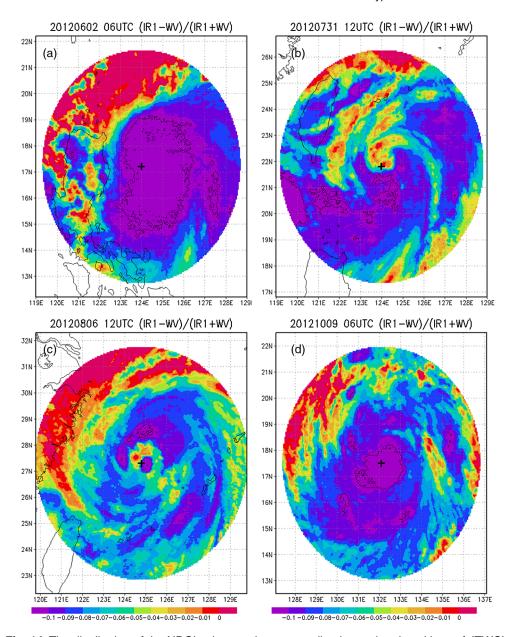


**Fig. 12** Same as Fig. 11, but for the distribution of the NDCI values within the range of a 500 km radius around the center of Typhoon Longwang (the areas marked in purple were areas with a NDCI value < -0.1).

In addition, because NDCI values could be used not only for a cross-section analysis for a specific position but also a two-dimensional analysis, they could be useful to help determine the center of a typhoon. Figure 11 shows the infrared satellite images every 6 h from 00:00 UTC on September 29 to 18:00 UTC on September 30. In these figures, from 18:00 UTC on September 29 to 12:00 UTC on September 30, the eye of the typhoon was covered by anvils or high cirrus layers. It was impossible to clearly identify the center of the typhoon only using the IR1 images. Figure 12 shows the distribution of the NDCI values every 6 h from 00:00 UTC on September 29 to 18:00 UTC on September 30. According to Fig. 12, even though the eye of the typhoon could be clearly seen in the IR1 infrared satellite image (as shown in Fig. 11), the distribution of the NDCI values was a good auxiliary tool for locating the center of the typhoon.

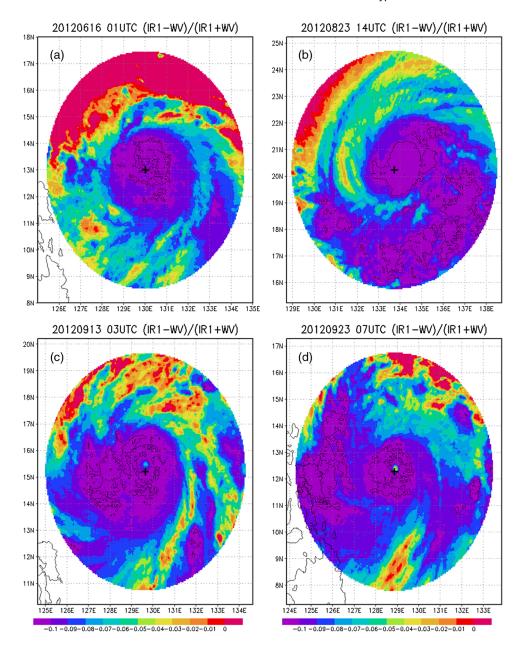


**Fig. 13** The distribution of the NDCI values and corresponding interpolated positions of JTWC's typhoon center (black +) of (a) Typhoon Doksuri (2012) at 17:00 UTC on June 26 and (b) Typhoon Khanun (2012) at 11:00 UTC on July 16.



**Fig. 14** The distribution of the NDCI values and corresponding interpolated positions of JTWC's typhoon center (black +) of (a) Typhoon Hawar (2012) at 06:00 UTC on June 2; (b) Typhoon Saola (2012) at 12:00 UTC on July 13; (c) Typhoon Haikui (2012) at 12:00 UTC on August 6; and (d)Typhoon Prapiroon (2012) at 06:00 UTC on October 9.

This study conducted analyses on 10 typhoons during 2012 to further demonstrate that NDCI may be applied to determine the positions of the centers (shown in Fig. 1). The wind speed of Typhoon Doksuri (2012) and Typhoon Khanun (2012) reached the mild-strength typhoon stage at 17UTC on June 26 and 11UTC on July 16, respectively. Figure 13 showed the distribution of NDCI values of Typhoon Doksuri and Typhoon Khanun and the interpolated positions of JTWC's typhoon center at that time. Some typhoons in 2012 (Hawar, Saola, Kaikui, and Prapiroon) reached the wind speed of a moderate-strength typhoon stage, and their distributions of NDCI values as well as the interpolated positions of JTWC's typhoon center were exhibited in Fig. 14. Figure 15 depicted the distribution of NDCI values of the severe-strength typhoons in 2012 (Guchol, Bolaven, Sanba, and Jelawat) when they reached the wind speed of the severe-strength typhoon stage and their interpolated positions of JTWC's typhoon center. From Figs. 13–15, it was demonstrated that no matter what the intensity of a typhoon is or whether

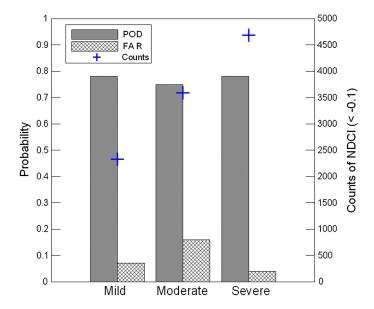


**Fig. 15** The distribution of the NDCI values and corresponding interpolated positions of JTWC's typhoon center (black +) of (a) Typhoon Guchol (2012) at 01:00 UTC on June 01; (b) Typhoon Bolaven (2012) at 14:00 UTC on August 14; (c) Typhoon Sanba (2012) at 03:00 UTC on September 13; and (d) Typhoon Jelawat (2012) at 07:00 UTC on October 23.

or not the typhoon eye is formed, NDCI is a good tool to determine the positions of the centers of typhoons.

The average PODs (FARs) of mild-strength typhoons, moderate-strength typhoons, and severe-strength typhoons are 0.78, 0.75, and 0.78 (0.07, 0.16, and 0.04), respectively (shown in Fig. 16). Therefore, it was proved that NDCI is effective in determining overshooting areas in typhoon cloud systems. Furthermore, Fig. 16 also showed the close relationship between the counts of NDCI (< -0.1) and typhoon intensity. The stronger the typhoons are, the larger the NDCI (< -0.1) in the ranges within the circles of 500 km with a typhoon eye as the center. That is, NDCI could be a referential index to determine the intensity of a typhoon during its development.

Although the NDCI has the advantages of showing the value range of a relatively concentrated normalized index, an enhanced nonlinear contrast, and opportunities for time-series



**Fig. 16** The probability of detection (POD) and false alarm rate (FAR) for the relationship between counts of NDCI (< -0.1) and overshooting (192.8 < IR1 - WV  $\le$  208.8) of typhoons shown in Fig. 1 and the relationship between the counts of NDCI (< -0.1) and typhoon intensity.

analyses, the main disadvantage of the NDCI is the inherent nonlinearity of the influence of additive noise effects, such as atmospheric path radiances. The NDCI also exhibits saturated signals. This means that NDCI based on the infrared and water vapor portions of the electromagnetic spectrum approach a saturation level beyond a certain deep convection.

#### 5 Conclusions

In this study, we used the infrared window and water vapor channel TB values from the geostationary satellite to create a new parameter, NDCI, to determine the overshooting areas in typhoon cloud systems. Then this study discussed the distributions of the convective cloud system of the typhoon based on the NDCI cross-section analysis and the two-dimensional analysis with the NDCI values. The result showed that, according to the two-dimensional NDCI distribution, the areas with the NDCI value <0 were similar to the areas covered by the typhoon cloud systems, while the areas with the NDCI value < -0.10 were similar to the overshooting areas.

In addition, because the NDCI values from the satellite images could be used not only for the cross-section analysis of a specific position, but also the two-dimensional analysis, these values could be useful in determining the center of the typhoon. When the eye of the typhoon was formed, the result of the cross-section analysis showed that the NDCI values near the eye increased rapidly. Even though the center of the typhoon was covered by the high anvils and cirrus layers, it could still be found through the two-dimensional analysis of the NDCI values. Thus, NDCI was a good auxiliary tool to find the center of a typhoon. As for how to determine the intensity of a typhoon, this study also tried to use the NDCI values derived from the satellite images and the maximum sustained wind speeds to perform a correlation analysis. The result showed that within the range of a 200 to 250 km radius, there was a good relationship between the number of pixels with an NDCI value <0 and the maximum sustained wind speed. Thus, the NDCI value could be a referential index used to determine a typhoon's intensity.

According to the overall result of this study, NDCI shows promise for the monitoring of the position and intensity of a typhoon and the overshooting areas in circulation cloud systems of the typhoon. Because the geostationary satellite provided a high spatial and temporal resolution, NDCI maps could be derived very easily. We have presented results of the preliminary

study on the feasibility of the typhoon characterization using an NDCI index. On the basis of this initial work, we believe that NDCI may be a useful tool with a potential for both quantitative and qualitative typhoon intensity analyses and assessment. This includes the position of a typhoon center and monitoring the deep convection areas in typhoon cloud systems. In the future, we will apply the evaluation of NDCI as a typhoon predicting parameter to more case studies to assess its robustness.

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