

Journal of Biomedical Optics

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Abstract. Optical tomography has a wide range of biomedical applications. Accurate prediction of photon transport in media is critical, as it directly affects the accuracy of the reconstructions. The radiative transfer equation (RTE) is the most accurate deterministic forward model, yet it has not been widely employed in practice due to the challenges in robust and efficient numerical implementations in high dimensions. Herein, we propose a method that combines the discrete ordinate method (DOM) with a streamline diffusion modified continuous Galerkin method to numerically solve RTE. Additionally, a phase function normalization technique was employed to dramatically reduce the instability of the DOM with fewer discrete angular points. To illustrate the accuracy and robustness of our method, the computed solutions to RTE were compared with Monte Carlo (MC) simulations when two types of sources (ideal pencil beam and Gaussian beam) and multiple optical properties were tested. Results show that with standard optical properties of human tissue, photon densities obtained using RTE are, on average, around 5% of those predicted by MC simulations in the entire/deeper region. These results suggest that this implementation of the finite element method-RTE is an accurate forward model for optical tomography in human tissues. © 2016 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: [10.1117/1.JBO.21.3.036003](https://doi.org/10.1117/1.JBO.21.3.036003)]

Keywords: forward problem; radiative transfer equation; streamline diffusion modified finite element method.

Paper 150728R received Oct. 28, 2015; accepted for publication Feb. 15, 2016; published online Mar. 8, 2016.

1 Introduction

Optical tomography is an imaging modality that utilizes multiple light projections through the media to quantitatively retrieve optical properties of interest for functional or molecular imaging.^{1,2} Accuracy of optical property reconstruction inside the media (the so-called “inverse problem”) is dependent on the forward model employed.³ The most popular light propagation model employed in the field of diffuse optics is the diffusion equation (DE), which is an approximation to the radiative transfer equation (RTE). The DE is well established for applications in which photons experience numerous scattering events. However, it is not appropriate when photons experience no scattering or only a few scattering events. With the rise of applications targeting small volumes or shallow depths,^{4,5} as well as imaging approaches that leverage low scattered photons (such as early time resolved data sets),^{6,7} alternative forward models need to be considered. For such imaging scenarios, one can solve the RTE or use a stochastic method like Monte Carlo (MC).⁸ Even though both approaches can produce comparable results, they differ in their ease of implementation. The MC method is straightforward to implement but computationally more costly, whereas the RTE is computationally fast but difficult to implement.

Thanks to massively parallel implementations (GPU or CPU),^{9,10,11} the MC method has recently become the light model of choice for regimes in which the DE is not appropriate.^{12,13} It can be used in optical tomography to compute the forward model employed in the inverse problem within reasonable time frames. In mesoscopic fluorescence molecular tomography,

the optical forward model can be computed in less than 5 min using voxel-based MC and symmetry in the imaging space.^{14,15} However, when complex boundaries are concerned, voxel-based techniques are less attractive due to the need for accurate modeling of the sample boundaries. This leads to an increased computational burden. For instance, in time-resolved preclinical optical tomography, full computations based on mesh MC and adjoint formulation can be achieved in around 30 min.¹² Additionally, MC methods are not inherently suitable for adaptive image space discretization such as mesh adaptation and nonlinear inverse formulations.^{16,17} Hence, there is still incentive to develop fast and efficient deterministic RTE-based forward models.

There are multiple challenges in solving the RTE analytically or numerically. The RTE can only be solved analytically in very few situations,^{18,19} such as when semi-infinite media are used.²⁰ In such instances, the forward model can be computed in a matter of seconds. However, for media with complex boundaries, it is extremely difficult or even impossible to derive an analytical solution. Under these conditions, the RTE needs to be solved using numerical methods.

Prior to applying the standard numerical methods, it is necessary to first process the angular domain, since the RTE retains the anisotropic nature of light propagation. Over the years, a few methods have been proposed to perform this task. Tarvainen et al.^{1,21} formed a partition on the angular domain and employed local linear basis functions to approximate the angular components of the solution. Thus, when forming the weak form of RTE by the finite element method

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(FEM), the high-dimensional integral with respect to the space variables and angular variables had to be calculated. Although this calculation could be transformed into two independent low-dimensional integrals, the tensor product of these two integral-related matrices still needs to be performed.¹ In addition to using linear basis functions, Surya Mohan et al.²² also investigated the use of spherical harmonic functions to approximate the angular components of the solution. However, this formulation still required computation of the high-dimensional integral and tensor product of the matrices (e.g., when considering the three-dimensional (3-D) space and two-dimensional unit sphere in angular space, a five-dimensional integral was calculated in general). Another method to handle the angular domain of the RTE is to employ the discrete ordinate method (DOM) or its modified version. The standard DOM²³ consists of transferring the RTE to a system of coupled partial differential equations (PDEs) by using numerical quadrature to calculate the integral term (the term that contains the phase function). Klose et al.,²⁴ Fujii et al.,²⁵ and Ren et al.²⁶ employed level symmetric quadrature to directly discretize RTE into a number of different PDEs. The required number of PDEs was determined by the number of discrete angles of quadrature. Employing the modified DOM, Asllanaj et al.²⁷ and Wang et al.²⁸ partitioned the angular domain into many finite subdomains and performed the integration with respect to angular variables on both sides of RTE with the assumption that the solution to the RTE (photon radiance) was constant inside each subdomain. This would lead to easily computed integrals. However, a four-dimensional (4-D) integral, which could not be transformed into lower dimensional integrals, still needs to be computed when dealing with the term of the RTE containing the phase function.²⁸ In comparison to dealing with the angular domain as mentioned above, the DOM is relatively easy to implement, as it does not require calculation of the integral with respect to angular variables.²⁹ Consequently, the dimension of the RTE is reduced, allowing for computation in 3-D or 4-D. The main disadvantage of DOM implementations is the so-called “ray-effect,” which occurs due to angular discretization and is prominent in optical imaging since the anisotropy factor is large ($g = 0.8$ to 0.9).³⁰

After dealing with the angular domain, multiple methods such as the finite difference method (FDM), the finite volume method (FVM), or the FEM can be employed to numerically solve the RTE. Klose et al.²⁴ and Wang et al.²⁸ employed an upwind FDM to approximately calculate the partial derivatives with respect to spatial variables. The FDM is relatively easy to implement compared with other numerical methods. The main disadvantage of the FDM is its use of structural grids, which cannot easily and accurately approximate complex, curved boundaries (although it is possible to deal with this situation as proposed in Ref. 31). For such scenarios, the FVM can be employed since it can deal with arbitrary shapes.^{24,27,30} To take into account the directional propagation of light, Asllanaj et al.²⁷ proposed the inclusion of the nodal values located upstream at each integration point. In order to reduce the number of unknowns and have high resolution,²⁷ the control elements were normally rebuilt to surround the nodes. The main limitation of the FVM is that it can be very involved to achieve higher order accuracy on unstructured grids, as the numerical scheme of the higher order FVM requires the use of multiple nearby elements.³²

In terms of FEM-based approaches, it is widely known that employing continuous Galerkin FEM (CG-FEM) directly to

solve the RTE will generate nonphysical oscillations³³ due to the transport effect. Tarvainen et al.¹ and Surya Mohan et al.²² proposed solving the RTE by CG-FEM, and in their work, the standard streamline diffusion modification technique was employed. The discontinuous Galerkin FEM (DG-FEM) was also employed by Eichholz³⁴ and Gao and Zhao²³ to solve the RTE. Compared with the CG-FEM, the DG-FEM has additional unknowns associated with the relaxation of continuous constraints of functions at the boundary between adjacent elements. However, the DG-FEM allows for a straightforward approach to solving transport equations such as the RTE.³²

Herein, based on considerations of the ease of implementation and computational efficiency, an “intermediate” method, founded on the modification of the DOM with the CG-FEM, is proposed. In our work, several improvements were made, and the performance of the methods was illustrated numerically. First, various numerical quadratures were implemented to translate the RTE to a system of coupled PDEs with the DOM. A comparison was performed for us to understand the effect of different numerical quadratures on the solution of the RTE with multiple combinations of optical properties. Second, a phase function normalization^{35,36} strategy was employed in order to efficiently lessen the instability (oscillations) or “ray-effect” commonly encountered when using the DOM.²³ More precisely, during the discretization of the angular space, the conservative properties of the phase function will generally be lost. Without employing large numbers of discrete points in angular space, this will generate spurious oscillations, as well as the “ray-effect” mentioned above. The phase function normalization techniques aim to preserve the conservative properties even after discretization of the angular space so as to reduce the oscillations of solutions with a smaller number of discrete points. Once the angular space is discretized, a streamline diffusion modified CG-FEM was selected and implemented due to its smaller number of unknowns compared with the DG-FEM as well as its ability to robustly deal with the transport nature of the RTE, following the work of Brooks and Hughes.³⁷

The paper is arranged as follows. In Sec. 2, the modified DOM with the CG-FEM is discussed in detail. In addition, the normalized phase function technique, which reduces the error in computing the integral term of the RTE and mitigates the instability of the DOM, is illustrated. Since we want to compare the RTE to the DE, a brief introduction to solving the DE with the FEM is also provided. In Sec. 3, two types of sources as well as 3-D domain simulations are introduced. The quantities used to appraise the accuracy of solutions are also presented in detail. In Sec. 4, solutions obtained using the RTE, DE, and MC methods with different types of sources are compared for several combinations of tissue optical properties. Moreover, the importance of the normalized phase function technique is demonstrated. The last section summarizes our work and discusses further improvements to this method.

2 Algorithms

2.1 Radiative Transfer Equation

Based on the setting of our mesoscopic imaging system (continuous wave),^{13–15} in this paper, we investigate the quasisteady state of the RTE. Here, the photon propagation in the media is described through the RTE as^{23,28}

$$s \cdot \nabla \varphi(r, s) + \mu_t \varphi(r, s) = \mu_s \int_{\Omega} f(s, s') \varphi(r, s') ds' + q(r, s), \quad (1)$$

where the photon radiance $\varphi(r, s)$ ($\text{J m}^{-2} \text{sr}^{-1}$) is defined on the domain $X \times \Omega$, with the spatial domain X being the entire 3-D Euclidian space R^3 or its subspace, and Ω being the unit sphere in R^3 (direction or angular domain). In particular, the angular variable is expressed as $s = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$, where $\theta \in [0, \pi]$ is the polar angle and $\phi \in [0, 2\pi]$ is the azimuthal angle. μ_t represents the attenuation coefficient (mm^{-1}) of the media, and $\mu_t = \mu_a + \mu_s$, where μ_a and μ_s are the absorption coefficient (mm^{-1}) and scattering coefficient (mm^{-1}), respectively. $f(s, s')$ is the phase function, and it describes the probability that the photon scatters from direction s' to s . In biomedical optics, the phase function in 3-D is usually modeled by the Henyey-Greenstein (H-G) function,^{23,38}

$$f(s, s') = \frac{1}{4\pi} \frac{1 - g^2}{(1 + g^2 - 2g s \cdot s')^{3/2}},$$

where g characterizes the anisotropy of the media, usually in the range of ~ 0.8 to 0.9 for biological tissue, indicating the highly forward scattering properties of the biological tissue. $q(r, s)$ models the source inside the object.

The boundary condition of Eq. (1) is defined so that no photon will travel into the object through the boundary^{1,21} except the region with irradiation by external light, that is,

$$\varphi(r, s) = \begin{cases} 0, & r \in \partial X \setminus S_0, n \cdot s < 0 \\ \varphi_0, & r \in S_0, n \cdot s < 0 \end{cases}. \quad (2)$$

In Eq. (2), S_0 is the region on the boundary ∂X where the external light source exists or the region that is irradiated by an external light source (diffuse source³⁹), and n is the outward unit normal vector at the boundary ∂X .

2.2 Discretization of Angular Space

Following the DOM to discretize the angular space, a numerical quadrature is applied first to compute the integral in (1), and this gives

$$\int_{\Omega} f(s, s') \varphi(r, s') ds' \approx \sum_{i=1}^N w_i f(s, s_i) \varphi(r, s_i). \quad (3)$$

In Eq. (3), w_i represents the weight in a discrete direction s_i . The selection of discrete points on the unit sphere determines the accuracy of the solution to the RTE. Various numerical quadratures have been proposed^{34,40-42} in order to estimate the integral in Eq. (3) accurately. Some adaptive methods have also been developed,⁴³ such as the ordinate splitting technique.⁴¹ In this paper, several widely employed quadratures, including level symmetric quadrature,⁴⁰ product Gaussian quadrature,^{34,44} Legendre equal-weight quadrature,⁴¹ and Lebedev quadrature,^{45,46} were investigated numerically. The reader can refer to the corresponding papers for details on the construction of

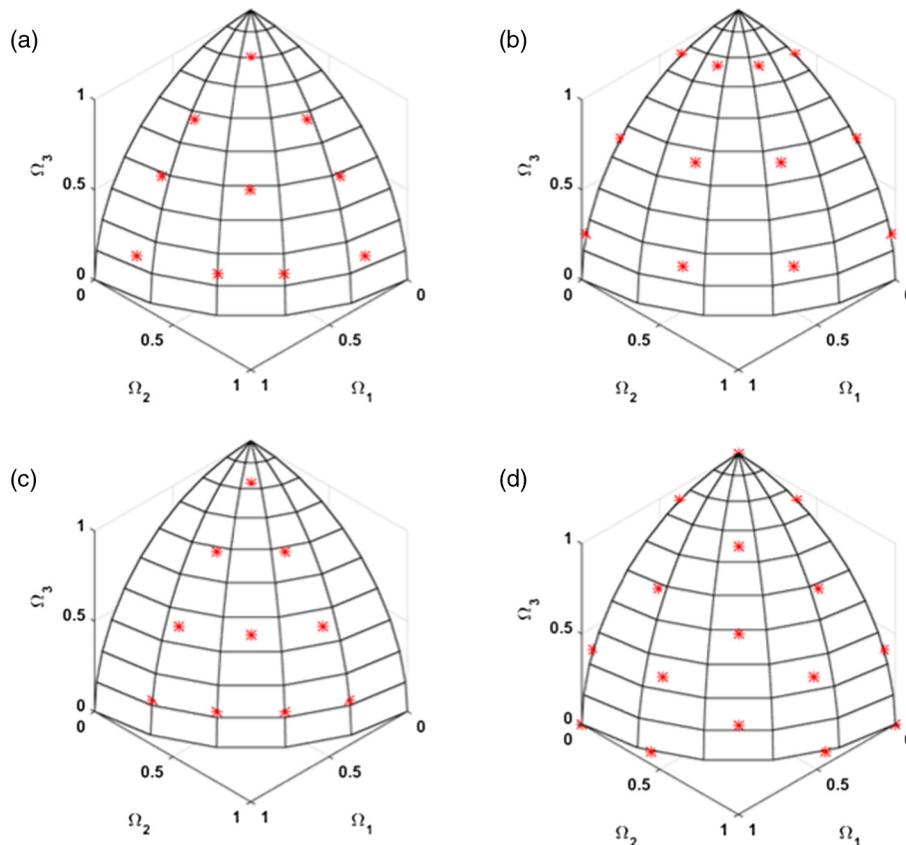


Fig. 1 Distribution of discrete points on unit sphere Ω of four numerical quadratures (first octant): (a) level symmetric quadrature ($N = 80$); (b) product Gaussian quadrature ($N = 72$); (c) Legendre equal-weight quadrature ($N = 80$); (d) Lebedev quadrature ($N = 86$).

these quadratures, advantages, and drawbacks. Here we want to emphasize that even though Lebedev quadrature has been reported to have the highest degree of accuracy and efficiency,⁴⁷ it still has not been widely used in optical imaging. Figure 1 shows the distribution of various quadrature points in the first octant.

All the numerical quadratures mentioned above work well when the integrands are continuous and smooth. However, the H-G function becomes singular when $s \cdot s'$ is around 1 and the anisotropy factor g is close to 1. Note that most biological tissues exhibit large g . In such cases, a small number of quadrature points can cause a large error during the calculation of the integral at the right-hand side of Eq. (1). To reduce such an error, one can increase the number of quadrature points, at the expense of using more computational resources such as storage space and time, hence decreasing the efficiency of the algorithm. On the other hand, none of the numerical quadratures reviewed above preserve the key properties [see Eq. (4)] of the phase function, and this can lead to large errors in numerical solutions, as demonstrated in Sec. 4.3. All these motivate us to examine the phase function normalization technique, which is to further modify the numerical quadrature of our choice and will be discussed next.

2.3 Phase Function Normalization Technique

The phase function normalization technique^{35,36} is a method that preserves the conservative properties or laws of the phase function during the evaluation of the integral term in Eq. (1) with numerical quadrature by adjusting the quadrature weights. The following properties hold for the H-G phase function:

$$\int_{\Omega} f(s, s') ds' = 1, \quad \int_{\Omega} f(s, s') s \cdot s' ds' = g. \quad (4)$$

The first property is from the requirement that the scattered energy be conserved,³⁵ while the second one can be viewed as the definition of anisotropy g . Generally, for any numerical quadrature discussed above, especially with large g , the discretized form of the left-hand side of Eq. (4) is not equal to the right. A small difference between the two sides of Eq. (4) can generate a large error in the solution to (1); see numerical experiments in Sec. 4.3. The situation can worsen with large absorption and scattering coefficients, since in these cases numerical methods may even generate negative solutions that are nonphysical. Readers can refer to Refs. 35, 48 for more details on this technique.

Briefly, to apply the phase function normalization technique, we start with the original discrete points s_i and weights w_i of a chosen numerical quadrature; refer to Refs. 34, 40, 41, and 45 for how to calculate s_i and w_i . Let θ^{ij} (based on the original discrete points) represent the angle between the i 'th direction s_i and the j 'th direction s_j , and let $f(\cos \theta^{ij})$ be the value of the phase function at θ^{ij} . Namely, $f(\cos \theta^{ij}) = f(s_i, s_j)$ with the specific form of the H-G phase function. Then the normalized phase function, with its value at the same point θ^{ij} denoted as f_{norm}^{ij} , satisfies the following discrete form of Eq. (4):

$$\sum_{i=1}^N w_i f_{\text{norm}}^{ij} = 1, \quad \sum_{i=1}^N w_i f_{\text{norm}}^{ij} \cos \theta^{ij} = g, \quad j = 1, \dots, N. \quad (5)$$

Here, the values of the normalized and the original phase function at θ^{ij} are connected as

$$f_{\text{norm}}^{ij} = (1 + \xi^{ij}) f(\cos \theta^{ij}). \quad (6)$$

Plugging Eq. (6) into Eq. (5), a system of linear equations will be obtained, with ξ^{ij} being the unknowns. Notice that there are $2N$ conditions in Eq. (5) while the number of unknowns is N^2 . If it is further required that the normalized phase function be symmetric such that $\xi^{ij} = \xi^{ji}$, the number of unknowns will be reduced to $(N^2 + N)/2$. In general, there are more unknowns than equations (when $N > 3$), hence there will be an infinite number of solutions. In order to deal with such an equation, a least squares solution is utilized, which minimizes the two-norm of the solution vector. A MATLAB[®] built-in function *lsqr.m* is employed to solve Eq. (5). The sparse matrix technique is also used here for better efficiency in computation and storage.⁴⁸ Through normalization, the newly calculated weights are expressed as $\bar{w}_{ij} = w_i(1 + \xi^{ij}) / \sum_i w_i(1 + \xi^{ij})$.

2.4 Discrete Ordinate Method with Continuous Galerkin Finite Element Method

After discretizing the integral term in Eq. (1) using a modified numerical quadrature based on the phase function normalization technique, the RTE is turned into a system of coupled first-order PDEs. That is,

$$s_j \cdot \nabla \varphi(r, s_j) + \mu_t \varphi(r, s_j) = \mu_s \sum_{i=1}^N \bar{w}_{ij} f(s_j, s_i) \varphi(r, s_i) + q(r, s_j), \quad j = 1, \dots, N. \quad (7)$$

To solve Eq. (7), the CG-FEM with streamline diffusion modification of the test function is implemented.^{33,37} For a given tetrahedron-based mesh \mathcal{T} , a finite element space is defined as

$$V_h = \{v(r) \in C(X) | v|_K \in P^1(K), \forall K \in \mathcal{T}\}.$$

Here, $P^1(K)$ is the set of linear polynomials defined in K , and $C(X)$ denotes the space of the continuous functions in the closure of X .

Following the idea of the streamline diffusion method with a modified test function $v(r) + \delta s_j \cdot \nabla v(r)$, the following CG-FEM method will be formed: look for $\varphi_j(r) \in V_h$, such that for any $v(r)$ and any $j = 1, 2, \dots, N$,

$$\begin{aligned} & \int_X s_j \cdot \nabla \varphi_j(r) [v(r) + \delta s_j \cdot \nabla v(r)] dV \\ & + \int_X \mu_t \varphi_j(r) [v(r) + \delta s_j \cdot \nabla v(r)] dV \\ & = \int_X \mu_s \sum_{i=1}^N \bar{w}_{ij} f(s_j, s_i) \varphi_i(r) [v(r) + \delta s_j \cdot \nabla v(r)] dV \\ & + \int_X q_j(r) [v(r) + \delta s_j \cdot \nabla v(r)] dV. \end{aligned} \quad (8)$$

Note that $\varphi_j(r) \in V_h$ approximates the solution $\varphi(r, s_j)$ and $q_j(r) = q(r, s_j)$. The parameter δ is related to the absorption

and scattering coefficients,³³ and it is chosen to be a piecewise constant, defined as $\delta|_K = Ch_K/(1 + h_K\mu_t)$, with h_K being the diameter of a mesh element $K \in \mathcal{T}$. C (0.3 to 0.6) is a constant, and the computed solution is not sensitive to its value for the range of absorption and scattering coefficients examined in this work on reasonably refined meshes. Our formula for δ satisfies the guiding principle for choosing this parameter, as outlined in Theorem 1 from Ref. 33. Performing integration by parts to the first term of Eq. (8), we obtain

$$\int_X s_j \cdot \nabla \varphi_j(r) v(r) dV = \int_{\partial X, s_j \cdot n > 0} \varphi_j(r) v(r) s_j \cdot n ds - \int_X s_j \cdot \nabla v(r) \varphi_j(r) dV. \quad (9)$$

Our final scheme is obtained with Eq. (9) plugged into Eq. (8).

Let r_k denote the k 'th vertex of the mesh. Considering the Lagrange nodal basis $\{\psi_l^j(r)\}_l$ of V_h associated with vertices, satisfying $\psi_l^j(r_k) = \delta_{lk}$, in which δ_{lk} is the Dirac-Delta function. Each basis function $\psi_l^j(r)$ is nonzero only within those elements sharing the vertex r_l . The numerical solution $\varphi_j(r)$ and the test function $v(r)$ can now be expanded in terms of basis, namely,

$$\varphi_j(r) = \sum_{l=1}^{M_j} a_l^j \psi_l^j(r), v(r) = \sum_{l=1}^{M_j} b_l^j \psi_l^j(r). \quad (10)$$

In Eq. (10), M_j represents the number of nodes (basis function) in discrete direction s_j . Since the linear polynomial space is employed as discrete space, the gradient of the test function $v(r)$ will be constant in each element and can be calculated analytically. With these assumptions, the numerical method can be converted into a linear system, namely $Ax = q$. In the linear system, matrix A contains the terms related with the stiffness matrix, the mass matrix and so on, $x = (a_1^1, a_2^1, \dots, a_{M_1}^1, a_1^2, \dots, a_{M_2}^2, \dots, a_{M_N}^N)^T$. q relates with the source term, which can be acquired either by employing the boundary condition in Eq. (2) or directly processing the source term²⁵ $q(r, s)$. The linear equation was then solved using the generalized minimum residual method (GMRES),²² which is performed in the simulations with the MATLAB[®] built-in function *gmres.m*. We want to point out that the source iteration method or improved source iteration method can also be employed.²³

When the object and ambient media have different refractive indices, the reflection and transmittance are modeled by Fresnel's law.^{23,25} In the simulations, the mismatched boundary condition will be applied when the refractive indices of the object and the ambient media are different.

2.5 Diffusion Equation

Because of the computational difficulty of solving Eq. (1), an approximation model of the RTE, the DE, has been widely employed to simulate photon propagation in tissue.^{39,49} Briefly, photon radiance $\varphi(r, s)$ is expanded in terms of spherical harmonic functions. Then, the truncated spherical harmonic series, with a different number of terms, is used to approximate the RTE. For example, the DE can be viewed as the first order, P_1 approximation¹ (in which case, one uses spherical harmonic functions whose index is 0 and 1). The quasisteady DE can be expressed as³⁹

$$-\nabla \cdot \kappa(r) \nabla \Phi(r) + \mu_a \Phi(r) = q(r), \quad (11)$$

where $\Phi(r)$ is photon density ($J m^{-2}$) and is related to the photon radiance in Eq. (1) as follows:

$$\Phi(r) = \int_{\Omega} \varphi(r, s) ds. \quad (12)$$

Here $\kappa(r) = 1/[3(\mu_a + \mu_s')]$, where μ_a is the absorption coefficient (mm^{-1}), while μ_s' is the reduced scattering coefficient (mm^{-1}) and defined as $\mu_s' = (1 - g)\mu_s$. $q(r)$ represents the light source.

In this work, the Robin boundary condition is applied, that is,

$$\Phi(r) + 2An \cdot \kappa(r) \nabla \Phi(r) = 0, r \in \partial X, \quad (13)$$

where A is defined as $A = (1 + R)/(1 - R)$, with R expressed as

$$R \approx -1.4399n^{-2} + 0.7099n^{-1} + 0.6681 + 0.0636n$$

and n represents the refractive index of the object when the ambient medium is air ($n = 1$).

Equation (11) is a second-order elliptic PDE with the Robin boundary condition. The CG-FEM is a well-established approach for solving such equations, and a standard finite element procedure can be applied. In our simulation, the continuous linear finite element space is employed and the corresponding matrices (stiffness matrix, mass matrix, surface integral related matrix, and load vector) are formed as in Refs. 49, 50, 51.

2.6 Monte-Carlo Method

MC is a stochastic method in which a large number of photons are transported to obtain the photon distribution (photon density) in medium. This procedure utilizes a probability function to describe the stochastic events of photons, such as energy dissipation during collisions with media particles and the new direction of propagation when a photon hits the particles in media. It involves the absorption coefficient, scattering coefficient, and phase function for computation. Some authors have investigated the MC method for higher computational efficiency.⁵² Mesh-based MC methods have also been proposed¹² to deal with complex shapes of media. In our simulation, mesh-based MC was employed to allow comparisons of identical meshes^{12,53} with solutions to RTE. Results obtained using the MC method are viewed as the ground truth standard to evaluate the accuracy of solutions to the RTE and DE.

3 Settings for Numerical Simulations

3.1 Ideal Pencil Beam Simulation

In our simulations, a 3-D rectangular computational domain $[-2.5, 2.5] \times [-7.0, 7.0] \times [-5.0, 0]$ mm³ is considered first, referred to as Rect. 1, which is similar to the setting⁵⁴ we previously used to simulate the photon propagation in media (teeth) with the MC method. A computational mesh was generated, as shown in Fig. 2(a), using the precompiled Computational Geometry Algorithms Library. The 3-D domain has 4858 nodes with 27,456 elements. The position where the photon was launched was $r_s = (0, 0, 0)$ with the direction $s_0 = (0, 0, -1)$

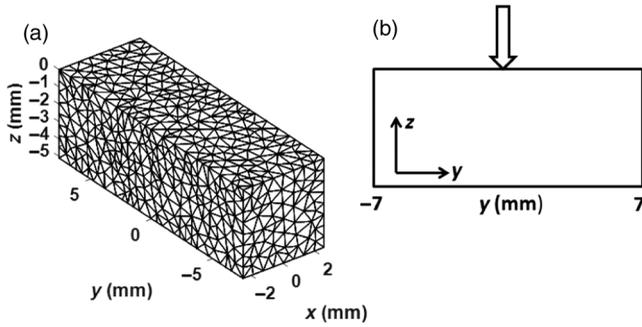


Fig. 2 The 3-D rectangle used in simulations: (a) 3-D mesh of rectangle; (b) the slice at $x = 0$ mm, where the arrow indicates the position in which the photon is launched perpendicularly to the plane $z = 0$ mm.

orthogonal to the plane $z = 0$ mm; see Fig. 2(b). This type of source was modeled by the Dirac-Delta function $\delta(r - r_s)\delta(s - s_0)$.

The optical properties of the 3-D rectangle were selected according to the most common values of human tissue⁵⁵ (see Tables 3 and 4). For instance, the values for the healthy human brain are less than 0.1 mm^{-1} for the absorption coefficient and around 10 mm^{-1} for the scattering coefficient⁵⁶ at 674 nm. The anisotropy of the tissue was set to $g = 0.9$ and the refractive index n was 1.5 for all simulations (pencil beam and Gaussian shape beam). Various combinations of optical properties (absorption and scattering coefficients) were tested. As mentioned above, results from the RTE were compared with the solution to the DE and MC simulations under the same settings. A tetrahedron mesh-based MC method⁵³ was employed. Since using different numbers of photons to simulate photon propagation in tissue by MC led to different absolute intensities, results were normalized with respect to a global maximum value²⁸ in order to compare the solutions obtained by the RTE, DE, and MC methods. Equation (12) was employed to transform the photon radiance to photon density by numerical integration to compare MC simulations and solutions to the DE. In order to easily process the data, a structural grid ($49 \times 139 \times 50$ with a resolution of 0.1 mm in each dimension) was generated. For the structural points inside one element, a linear interpolant through the values of four nodes of the element was employed.

Solutions to the RTE obtained by different numerical quadratures were compared to MC simulations in order to appraise their influence on the results. Since it was difficult to use the same number of discrete angles of quadratures with different constructions, comparable numbers of discrete angles were employed. Subsequently, solutions obtained with the most accurate quadrature (from the discussion in Sec. 4.1.1, Lebedev quadrature was mainly employed) for the RTE were compared with the results from the DE and MC simulations. Due to the limit of the storage space (RAM) of the computer used for simulation, no more than 100 angles of the DOM were employed. It is noted that the number of angles used in the simulation was less than those in Refs. 26 and 57 when the FVM was used with similar accuracy. The simulations were run on a computer with Intel Xeon 2.8GHz CPU, 24 GB RAM, and Windows 7 Professional 64-bit operating system. The number of photons in these MC simulations was set to 10^6 .

3.2 Gaussian Shape Beam Simulation

Many light sources can be modeled by Gaussian distribution. In our next group of tests, a Gaussian-shaped beam, in which the intensity of the points at the cross-section of the beam obeys the Gaussian distribution, was employed as the light source. The beam intensity was modeled by

$$g_d(x, y, z = 0) = \frac{1}{2\pi d^2} e^{-\frac{(x^2+y^2)}{2d^2}},$$

in which d represents the beam width. Two different 3-D rectangles were employed, Rect. 1 in Sec. 3.1 and Rect. 2, given as $[-2.0, 2.0] \times [-2.0, 2.0] \times [-6.0, 0] \text{ mm}^3$. Note that Rect. 2 is smaller than Rect. 1. Only the solutions to the RTE and MC were presented and compared, as the DE is not suitable for predicting photon propagation when the region of interest (ROI) is near the source. When the light source is changed from a point source to a Gaussian shape, solving the RTE changes only the boundary conditions presented in (2) or the source term. As a consequence, the matrix assembling time and computation time are almost unchanged. Similarly, extended illumination sources can be efficiently modeled using MC with overhead less than 5% of the total computational time.^{11,58} Here, 10^5 photons were employed for MC simulation for each single point of Gaussian beam for both rectangles, Rect. 1 and Rect. 2.

The anisotropy and refractive index were set to be the same values as in Sec. 3.1. The parameter d was set to 0.5. In the first example (Rect. 1), the absorption coefficient was set to 0.08 mm^{-1} and the scattering coefficient was set to 5 mm^{-1} . Since the light source was modeled by a Gaussian function, it was much smoother than the ideal pencil beam, thus fewer discrete angles were needed to obtain solutions with comparable accuracy to those gathered for the ideal pencil beam. For Rect. 1, a mesh with denser nodes was generated compared with the one in Sec. 3.1, and in total there are 7204 nodes with 41,559 elements.

For Rect. 2 [Fig. 3(a)], 10,996 nodes with 60,887 elements were generated. Figure 3(b) shows the Gaussian beam represented by a collection of single point sources for MC in the computation. The optical properties of the simulation were set to be 0.1 mm^{-1} for absorption coefficient and 5 mm^{-1} for scattering coefficient, respectively.

Two quantities were used to assess the difference between the solution to the RTE and MC simulation, as shown below. One is the root mean square error between the solutions, defined as

$$\text{RMSE} = \sqrt{\frac{\sum_i (u_i^{\text{MC}} - u_i^{\text{RTE}})^2}{N}},$$

where N represents the number of points in comparison. Note that what RMSE measures is an absolute error. The relative error at each point between the solutions was defined as

$$e_i = \frac{|u_i^{\text{MC}} - u_i^{\text{RTE}}|}{u_i^{\text{MC}}}.$$

Based on this, the mean relative error (MRE, defined as $\sum_i e_i / N$) and maximum relative error can be easily calculated. Note that both quantities can be calculated for any geometry, along any line in a specific plane, or in the entire 3-D rectangle.

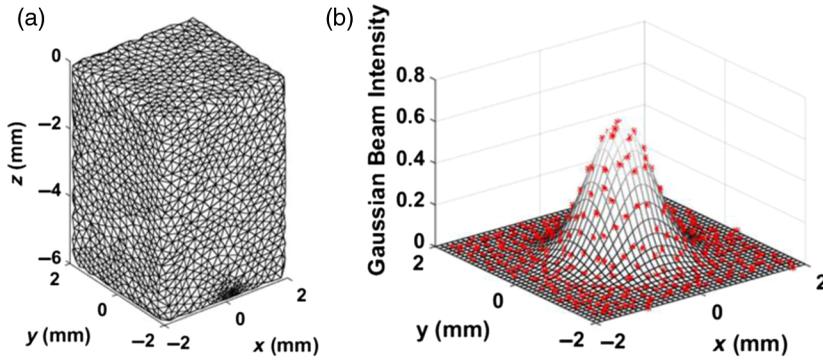


Fig. 3 3-D rectangle used in simulation 3.2: (a) mesh of 3-D rectangle; (b) irradiated light intensity modeled by Gaussian shape function.

4 Results

4.1 Three-Dimensional Rectangle Simulations with Ideal Pencil Beam

4.1.1 Comparison between different numerical quadratures

In order to evaluate the effect of the choices of numerical quadrature on the solution to the RTE, all the numerical quadratures reviewed in Sec. 2 were tested first for all sets of optical properties listed in Table 3. Tables 1 and 2 report only the errors for the optical settings with absorption coefficient 0.02 mm^{-1} and scattering coefficient 5 mm^{-1} . Table 1 lists the 3-D RMSE ($x \in [-2.5, 2.5] \text{ mm}$, $y \in [-7.0, 7.0] \text{ mm}$, with z defined in the corresponding tables) in different regions with different numerical quadratures. Note that the number in parentheses in the first column in Table 1 is the number of the discrete angles used for each numerical quadrature.

From Table 1, it is clear that when the ROI is far from the source and boundary, the error between the solutions to the RTE and from the MC simulations is smaller for all numerical

quadratures. However, it can be seen from Table 1 that the error for Legendre equal-weight quadrature is larger in deeper regions compared with other quadratures. In order to find the quadrature with the least error in the simulations, the 3-D MRE was calculated and is shown in Table 2.

It can be concluded that the MRE exhibits the same trend as the RMSE. From Table 2, one can also see that product Gaussian quadrature has a larger error ($\sim 1\%$) than Lebedev quadrature and level symmetric quadrature although it employs fewer discrete angles. Considering that the next product Gaussian quadrature will have 98 discrete angles and this will increase the computation cost, product Gaussian quadrature will not be our first choice. For Lebedev and level symmetric quadratures, the error for the whole rectangle is around 10%, while for deeper regions it is around 5%. Hence we can infer that level symmetric quadrature and Lebedev quadrature are the best among all tested quadratures, at least for the optical properties examined in this paper. It was observed that level symmetric quadrature can generate negative weights, which is nonphysical when the number of discrete angles is larger than 528.⁴⁰ On the other hand, both numerical quadratures provide similar relative error estimations,

Table 1 RMSE with different numerical quadrature ($\mu_a = 0.02 \text{ mm}^{-1}$, $\mu_s = 5 \text{ mm}^{-1}$, $g = 0.9$).

Numerical quadrature	$-5.0 \leq z < 0.0 \text{ mm}$	$-5.0 \leq z < -1.0 \text{ mm}$	$-5.0 \leq z < -2.0 \text{ mm}$
Lebedev (86)	2.81×10^{-2}	1.07×10^{-2}	2.16×10^{-3}
Product Gaussian (72)	2.80×10^{-2}	1.07×10^{-2}	2.37×10^{-3}
Legendre equal-weight (80)	2.84×10^{-2}	1.14×10^{-2}	3.39×10^{-3}
Level symmetric (80)	2.81×10^{-2}	1.09×10^{-2}	2.31×10^{-3}

Table 2 MRE with different numerical quadrature ($\mu_a = 0.02 \text{ mm}^{-1}$, $\mu_s = 5 \text{ mm}^{-1}$, $g = 0.9$).

Numerical quadrature	$-5.0 \leq z < 0.0 \text{ mm}$	$-5.0 \leq z < -1.0 \text{ mm}$	$-5.0 \leq z < -2.0 \text{ mm}$
Lebedev (86)	10.05%	6.82%	5.45%
Product Gaussian (72)	10.64%	7.70%	6.39%
Legendre equal-weight (80)	20.90%	18.53%	17.64%
Level symmetric (80)	10.36%	7.29%	5.72%

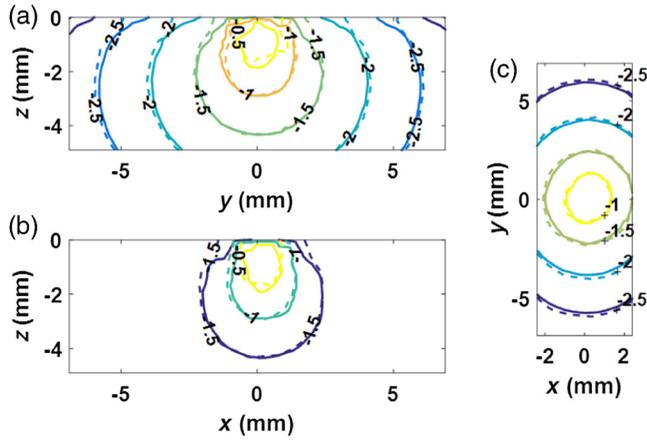


Fig. 4 The contours of logarithm of solutions to RTE and MC results, solid curve for the solution to RTE and dashed curve for MC results: (a) the contours within the plane $x = 0$ mm; (b) the contours within the plane $y = 0$ mm; the value of the outermost curve is -1.5 ; (c) the contours within the plane $z = -3.0$ mm.

with the difference less than 0.5%, at deeper depths. Thus, in this paper, Lebedev quadrature will be further investigated in the following simulations.

4.1.2 Ideal pencil beam simulations

Figure 4 shows the contours of the logarithm of solutions to the RTE by the streamline diffusion modified CG-FEM and from MC simulations within three planes. The optical properties for these simulations were set as $\mu_a = 0.02 \text{ mm}^{-1}$ and $\mu_s = 5 \text{ mm}^{-1}$. With this combination of optical properties in tissue, the DE is not an accurate model to predict photon propagation.²⁸ Eighty-six angles were employed in Lebedev

quadrature to obtain the results for the RTE. It is clear that at deeper depths, the difference between the solutions to the RTE and MC simulations is small. The photon densities along the line $x = 0$ mm with different depths are shown in Fig. 5. The RMSE and MRE along each line at different depths are shown in Tables 3 and 4.

In comparing MC to the DE, it is quite clear that the discrepancy between the MC solution (blue-dashed line in Fig. 5) and the DE solution (green line in Fig. 5) is large. In comparing MC to the RTE, the solutions to the RTE and MC coincide quite well except in shallow regions [Figs. 5(a) and 5(b)]. The MRE for $z = -3.0$, $z = -4.0$, $z = -5.0$ mm is less than 5% (Table 4). At these depths, the maximum relative error for all points is 10.04%, 12.03%, and 9.06%, respectively. In the shallow region, for instance, $z = -1.0$ mm and $z = -2.0$ mm, the errors are larger as ROI gets closer to the light source located at $(0,0,0)$. At the extreme shallow region, $z = -0.4$ mm, the difference between the solution to the RTE and the results of the MC method is large, which leads to large RMSE and MRE.

To further demonstrate the performance of the algorithms, three more combinations of optical properties were tested. The RMSE and MRE between the solutions to the RTE and the results of the MC along line $x = 0$ mm are shown in Tables 3 and 4. For all examples tested and for depths smaller than -3.0 mm, the MRE is less than or close to 5%. At a depth of $z = -2.0$ mm, the MRE is less than 10%.

Tables 3 and 4 show only the results along the line $x = 0$ mm at different depths. For a comprehensive comparison of the solutions to the RTE and results from the MC, 3-D RMSE and MRE ($x \in [-2.5, 2.5]$ mm, $y \in [-7.0, 7.0]$ mm) were also calculated. The results are shown in Tables 5 and 6.

From Tables 5 and 6, one can see that for each set of optical properties (corresponding to each row in the table), the 3-D RMSE and MRE decrease when the regions where the errors are measured are reduced. In our simulations, the largest

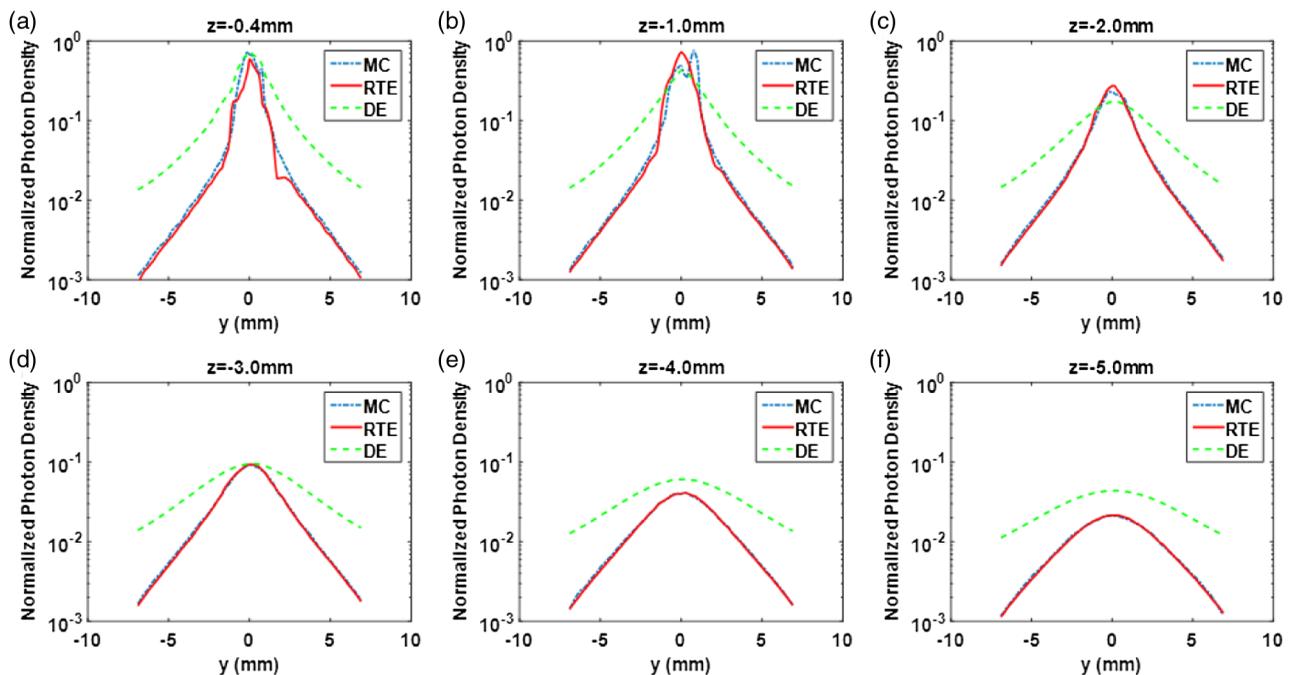


Fig. 5 Comparison between the solutions to RTE, DE, and MC method at different depths, along the line $x = 0$ mm: (a) $z = -0.4$ mm; (b) $z = -1.0$ mm; (c) $z = -2.0$ mm; (d) $z = -3.0$ mm; (e) $z = -4.0$ mm; (f) $z = -5.0$ mm.

Table 3 RMSE for different optical properties at different depth along the line $x = 0$ mm ($g = 0.9$).

(μ_a, μ_s) mm ⁻¹	-0.4 mm	-1.0 mm	-2.0 mm	-3.0 mm	-4.0 mm	-5.0 mm
(0.02, 5)	6.84×10^{-2}	9.07×10^{-2}	1.03×10^{-2}	1.01×10^{-3}	4.19×10^{-4}	2.89×10^{-4}
(0.02, 8)	8.88×10^{-2}	2.58×10^{-2}	3.25×10^{-3}	1.02×10^{-3}	5.69×10^{-4}	2.23×10^{-4}
(0.08, 5)	7.08×10^{-2}	9.16×10^{-2}	1.02×10^{-2}	9.49×10^{-4}	2.80×10^{-4}	1.97×10^{-4}
(0.01, 10)	8.92×10^{-2}	2.33×10^{-2}	2.90×10^{-3}	7.40×10^{-4}	3.24×10^{-4}	1.94×10^{-4}

Table 4 MRE for different optical properties at different depth along line $x = 0$ mm ($g = 0.9$).

(μ_a, μ_s) mm ⁻¹	-0.4 mm	-1.0 mm	-2.0 mm	-3.0 mm	-4.0 mm	-5.0 mm
(0.02, 5)	17.76%	17.24%	8.25%	4.73%	3.84%	3.59%
(0.02, 8)	11.94%	7.31%	4.10%	3.19%	3.46%	2.71%
(0.08, 5)	19.51%	18.98%	9.22%	5.05%	3.72%	3.44%
(0.01, 10)	13.80%	7.99%	5.54%	3.54%	3.01%	3.09%

Table 5 RMSE for different optical properties to 3-D rectangle ($g = 0.9$).

(μ_a, μ_s) mm ⁻¹	$-5.0 \leq z < 0.0$ mm	$-5.0 \leq z < -1.0$ mm	$-5.0 \leq z < -2.0$ mm
(0.02, 5)	2.81×10^{-2}	1.07×10^{-2}	2.16×10^{-3}
(0.02, 8)	2.54×10^{-2}	6.39×10^{-3}	1.81×10^{-3}
(0.08, 5)	2.88×10^{-2}	1.06×10^{-2}	2.02×10^{-3}
(0.01, 10)	2.60×10^{-2}	5.77×10^{-3}	1.58×10^{-3}

Table 6 MRE for different optical properties to 3-D rectangle ($g = 0.9$).

(μ_a, μ_s) mm ⁻¹	$-5.0 \leq z < 0.0$ mm	$-5.0 \leq z < -1.0$ mm	$-5.0 \leq z < -2.0$ mm
(0.02, 5)	10.05%	6.82%	5.45%
(0.02, 8)	8.33%	5.51%	4.78%
(0.08, 5)	10.90%	7.42%	5.82%
(0.01, 10)	8.87%	5.76%	4.92%

error occurs near the source. For the optical properties listed in Tables 5 and 6, the MRE for deeper regions is around 5%.

4.2 Three-Dimensional Rectangle Simulations with Gaussian Modeled Intensity Beam

The contours of the logarithm of photon densities within three planes of Rect. 1, obtained by solving the RTE and using MC simulations, are shown in Fig. 6. The contours of the logarithm of photon densities of Rect. 2 are shown in Fig. 7. For both Rect. 1 and Rect. 2, Lebedev quadrature with 50 angles was employed. 3-D RMSE and MRE for different regions were also calculated and are shown in Table 7. When the volume

of the ROI is reduced, there is a subsequent decrease in both the RMSE and MRE. Because the Gaussian-shaped intensity beam is smoother than the point source, the solution to the RTE contains fewer oscillations near the light source. This can be easily seen through comparison of the ROI near the source in Figs. 4 and 6.

4.3 Importance of the Normalized Phase Function Technique

To illustrate the importance of the normalized phase function technique to the stability of the proposed method, especially for large anisotropy with g around 0.8 to 0.9, we compare in

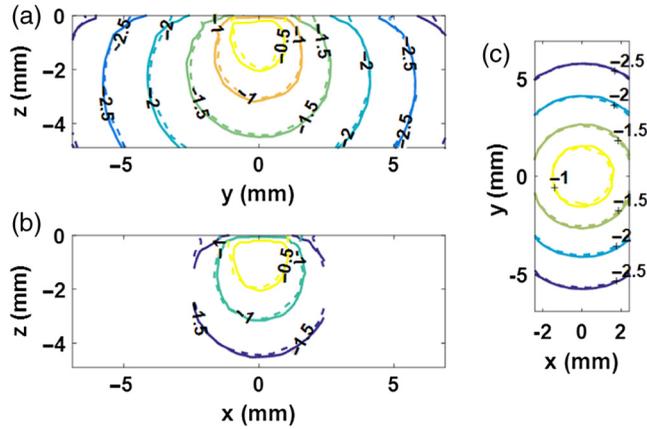


Fig. 6 The contours of logarithm of photon densities of Rect. 1 at three planes, in which the solid curves represent the solution to RTE while the dashed curves represent MC results: (a) $x = 0$ mm; (b) $y = 0$ mm, the value of the outermost contour is -1.5 ; (c) $z = -3$ mm.

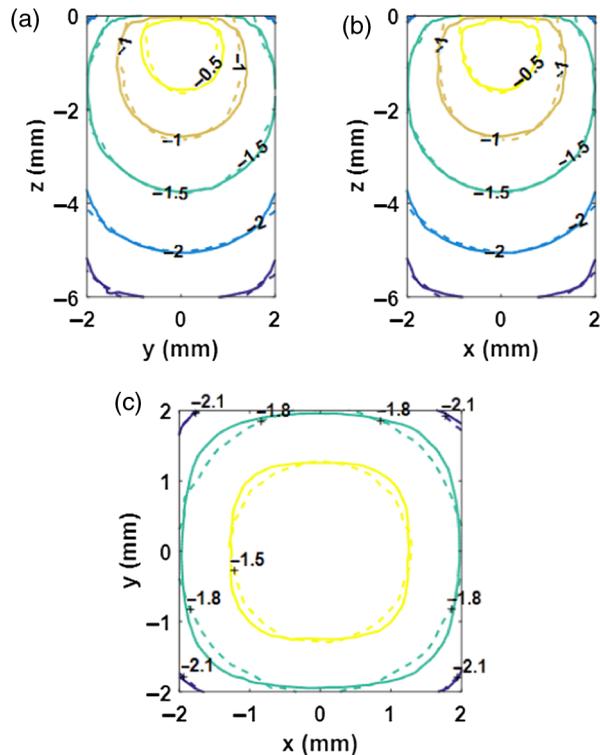


Fig. 7 The contours of logarithm of photon densities of Rect. 2 at three planes, in which the solid curves represent the solution to RTE while the dashed curves represent MC results: (a) $x = 0$ mm, the value of outermost curve is -2.5 ; (b) $y = 0$ mm, the value of outermost curve is -2.5 ; (c) $z = -3$ mm.

Fig. 8 the numerical solutions of the methods with or without using the normalization. Rect. 1 with Gaussian beam was considered, with all the optical properties the same as in Sec. 3.2. The comparison is shown in Fig. 8.

When processing the CG-FEM without the phase function normalization, it is observed from Fig. 8(b) that the algorithm is less stable and the computed solution oscillates between positive and negative values. In the plot, the negative value is shown as the machine epsilon in MATLAB[®] for logarithm calculation.

5 Discussion and Future Work

In this paper, the RTE was solved using our proposed algorithm with a modified DOM in angular variables and a streamline diffusion CG-FEM in space variables. The quadrature with the highest computational efficiency, Lebedev quadrature, was chosen to calculate the integral term, combined with the phase function normalization technique. 3-D rectangles with various common optical properties of human tissue were employed to simulate photon propagation. The solutions to the RTE and DE were compared to those found by MC methods. In summary, for a 3-D rectangle irradiated by an ideal pencil beam, the MRE for a region farther than 2 mm is around 5%. For a light source with Gaussian irradiation, even with a smaller computational domain, the worst MRE is still less than 10% for deeper regions.

One advantage of our method is that, from a mathematical perspective, it reduces the dimension of the original RTE using the DOM. Furthermore, a streamline diffusion technique was employed to deal with the transport term in the RTE within a CG-FEM framework. The difference between the test function of the standard CG-FEM and streamline diffusion test function is the addition of a term that is the gradient of the original test function. Herein, it is quite easy to implement the modification. In our simulations of highly scattering media (a few tenths per mm of scattering coefficient), the solution was occasionally negative when the streamline diffusion technique was not used. In practice, with highly scattering tissue, there is no need to solve the RTE to obtain photon distribution, as DE provides a computationally more efficient model. The other advantage of using the streamline diffusion technique, at least with the optical properties examined in this work, is that fewer iterations were needed when the GMRES was used to solve the resulting linear equations. In our work, it is important to use the function normalization technique to calculate the integral term accurately. This technique mitigates the instability of DOM dramatically and further reduces the nonphysical oscillations of the solution. The oscillation of the solution to the RTE with DOM is more prominent with ideal pencil beam. Various techniques were proposed in literature for reducing the oscillation. For instance, in Ref. 23, the weight of numerical quadrature is calculated based on the integration of linear interpolation of the phase function. In comparison to the method discussed in Ref. 23, the phase function normalization technique is more direct and easier to apply.

We want to point out the DG-FEM is known to be a good choice for solving problems with transport effects, like the RTE. It is also noted that the implementation of the DG-FEM can make the scheme parameters free and succinct. Although the DG-FEM involves more unknowns in general, it is still worth investigating in future work.

Finally, we comment on the computational time of the proposed methods solving the RTE directly and the MC simulations. In the case of a single-point source such as pencil beam, solving the RTE directly is not advantageous in computational efficiency. When Gaussian beam or sources of arbitrary shape are considered, however, solving the RTE directly is more cost effective than conventional MC simulations. This is illustrated by Table 8, where computational times are reported for solving the RTE directly with a Gaussian beam and 50 discrete angles (including time to assemble matrices and solve linear equation), and MC simulations with 10^5 or 10^6 photons employed for each single point of Gaussian beam. If using MC simulations presented in Ref. 58, solving the RTE by the

Table 7 3-D RMSE and MRE for two rectangles with Gaussian shape beam ($g = 0.9$).

Rect. 1	$-5.0 \leq z < 0.0$ mm	$-5.0 \leq z < -1.0$ mm	$-5.0 \leq z < -2.0$ mm
RMSE	2.05×10^{-2}	5.40×10^{-3}	2.04×10^{-3}
MRE	6.86%	4.48%	4.31%
Rect. 2	$-6.0 \leq z < 0.0$ mm	$-6.0 \leq z < -1.0$ mm	$-6.0 \leq z < -2.0$ mm
RMSE	1.59×10^{-2}	7.71×10^{-3}	3.21×10^{-3}
MRE	13.69%	10.64%	7.43%

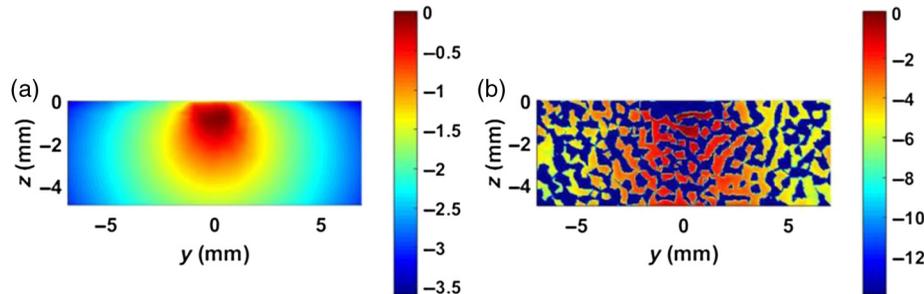

Fig. 8 Comparison between the logarithm of contours with and without phase function normalization: (a) with phase function normalization and (b) without phase function normalization.

Table 8 Comparison of time consumption between solving RTE and MC simulations with Gaussian beam.

	RTE	MC ($10^5/10^6$)
Rect. 1	23 min	45 min/402 min
Rect. 2	37 min	84 min/772 min

proposed algorithm will have similar computational efficiency when a large number of photons (10^8) is employed in MC simulations. We also want to point out that the use of parallel computation can reduce the time required to solve the forward photon propagation problem. In our code, CPU-based parallel computing techniques had already been implemented. In simulations, the time would be reduced by at least 40% if parallel computing was used (four-core CPU) in general. Still, using GPU computing can further decrease the time required for FEM implementation.

6 Conclusion

A modified finite element method based on the streamline diffusion idea is applied to simulate the RTE with high accuracy. It is demonstrated numerically that the method provides an accurate and robust approach to solving the forward problem in the bio-optical regime. Future investigation includes evaluation of the performance of this algorithm on objects exhibiting a wider range of optical properties or objects with very general shapes. The output flux of photons calculated by this algorithm should also be verified by experiments.

Acknowledgments

This work was funded by NSF CMMI award #1200270. F. Li was partially supported by NSF grant DMS-1318409.

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