

# Extended DoF and achromatic inverse imaging for lens and lensless MPM camera based on Wiener filtering of defocused OTFs

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**Abstract.** Optimal optical transfer function (OTF) is proposed for RGB inverse imaging with extended depth of field (DoF) and diminished color aberrations. This optimal OTF is derived as the Wiener filter of broadband defocused OTFs. The performance of this new inverse imaging is demonstrated for optical setups with lens and lensless with a multilevel phase mask instead of the lens. The later lensless system designed for the wavelength range (400 to 700) nm and DoF range (0.5 to 1000) m demonstrates the best performance. © The Authors. Published by SPIE under a Creative Commons Attribution 4.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: [10.1117/1.OE.60.5.051204](https://doi.org/10.1117/1.OE.60.5.051204)]

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## 1 Introduction

All-in-focus imaging is one of the key problems for imaging systems. Roughly speaking, there are two alternative approaches to this problem. The first one is based on optical systems insensitive to distance between object and camera what automatically enables extended depth of field (DoF) of imaging. In classical optics and engineering, it is achieved by design of complex, bulky, and expensive multilens objectives. In a recent development, the wavefront coding (WC) supported by the inverse imaging algorithm opens the door for solutions that are more compact, have a lighter weight, and are lower in cost compared with conventional refractive optics systems, e.g., Ref. 1. The alternative vision of the problem is based on optical systems highly sensitive to variations of distance object/camera. This distance is estimated and the estimates are used for refocusing of registered data to achieve the all-in-focus result, e.g., Ref. 2.

In this paper, we follow the ideas of the first approach and focus on the design of a lensless optical system where the diffractive optical element (DOE), in particular, a planar multilevel phase mask (MPM), is used instead of a refractive lens. A flow of recent publications demonstrates that DOEs could be quite efficient for various imaging applications. However, especially in broadband optical light systems, using DOE is a challenging task causing serious color aberration problems because DOEs usually have a strong material dispersion.

This paper is aimed at the end-to-end joint design of DOE and the imaging software for lensless systems concerning two tightly connected problems: extended DoF and achromatic imaging. The paper is organized as follows. In Sec. 2, the mathematical models for image formation and inverse imaging are presented. The main result is formulated as an optimal OTF for inverse imaging with extended DoF. The proof of this result is given in the Appendix. Simulation tests demonstrating the achieved DoF and achromatic imaging and comparison with a few counterparts are discussed in Sec. 3.

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## 1.1 Related Work

Many recent publications are focused on enhancing the capability of cameras concerning DoF and chromatic aberrations by the use of DOEs. For these purposes, DOEs parameterized by Zernike polynomials are demonstrated with end-to-end optimization in super-resolution imaging.<sup>1</sup> As the end-to-end optimization of optical systems is usually time-consuming, it is feasible to decrease the computational time significantly with graphical processing units instead of CPU.<sup>3-6</sup>

A thin scattering diffuser introduced for single-shot imaging in Ref. 7 provides extended DoF in both microscopic and single-lens imaging systems. In addition, using diffusion coded<sup>8</sup> and random phase plate diffusers<sup>9,10</sup> demonstrate a good imaging performance.

A multilevel diffractive lens as a replacement for a spherical refractive lens is studied for imaging in Refs. 11 to 16. Moreover, in Ref. 17, the coded mask is presented along with a convolutional neural network-based optimization for extending DoF. Also, the Jacobi–Fourier phase mask for imaging is presented in Ref. 18. An optimized binary phase mask for a hybrid optical digital imaging system is utilized to improve DoF in Refs. 19 to 21.

Going further, combining two Fresnel lenses with cubic components is proposed to increase DoF and robustness of imaging to additive noise due to fabrication errors in Fresnel lenses.<sup>22</sup> A designing of lens and MPM together as a hybrid optics system for high-quality broadband imaging is studied in Ref. 23. The optical power sharing between the lens and MPM is optimized in this paper. Broadband and multiwavelength lensless diffractive imaging optical system is optimized for imaging in Ref. 24.

A set of mask patterns implemented on a programmable device as well as a fast computational image reconstruction algorithm are proposed for a lensless setup that results in imaging efficient for large depth variations.<sup>25</sup> A hyperspectral DOE imaging system with an end-to-end optimized hyperspectral-depth estimation is demonstrated in Ref. 26.

## 1.2 Contribution

In this paper, we present a development of the approach proposed in Ref. 24. The main contribution concerns the inverse imaging algorithm with the transfer function derived as the Wiener filtering of defocused OTFs. Jointly with broadband PSFs, it results in an essential extension of DoF and essentially improved achromatic imaging. The paper is targeted on the lensless imaging with end-to-end optimization of both the diffractive optical MPM and the inverse imaging algorithm. Good accuracy and good visual imaging performance are confirmed by extensive simulation experiments.

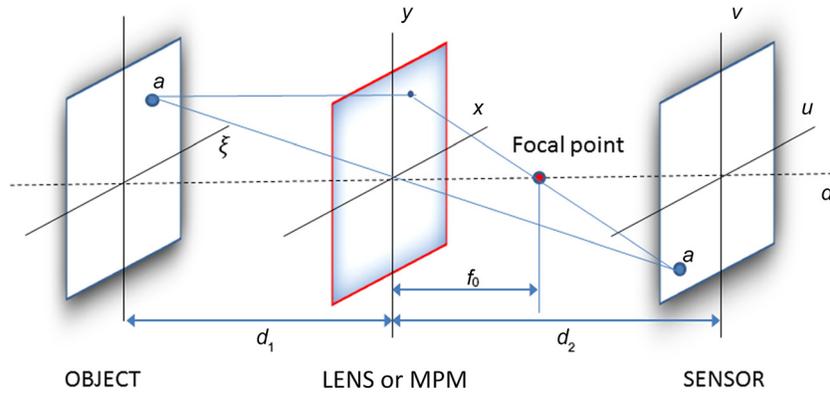
## 2 Image Formation and Inverse Imaging

### 2.1 Image Formation Models

In Fourier wave optics, image formation is modeled as convolution of the system's PSF and true object-image. The optical setup is shown in Fig. 1: object, aperture, and sensor are two-dimensional (2D) flat with coordinates  $(\xi, \eta)$ ,  $(x, y)$ , and  $(u, v)$ , respectively;  $d_1$  is a distance between the object and the aperture,  $d_2$  is a distance from the aperture to the sensor ( $d_2 \ll d_1$ );  $f_0$  is a focal distance of the optics. Let us assume that there are both lens and MPM in the aperture, then a generalized pupil function  $P_\lambda$  of the system shown in Fig. 1 is of the form:<sup>27</sup>

$$P_\lambda(x, y) = P_A(x, y) \exp \left[ \frac{j\pi}{\lambda} \left( \frac{1}{d_1} + \frac{1}{d_2} - \frac{1}{f_\lambda} \right) (x^2 + y^2) + j\text{MPM}_{\lambda_0, \lambda}(x, y) \right]. \quad (1)$$

Here,  $f_\lambda$  is a lens focal distance for the wavelength  $\lambda$ ,  $P_A(x, y)$  is the aperture of the optics, and  $\text{MPM}_{\lambda_0, \lambda}(x, y)$  is the phase delay enabled by MPM for the wavelength  $\lambda$  provided that  $\lambda_0$  is the wavelength design-parameter for MPM. In this equation, the phase  $\frac{j\pi}{\lambda} \left( \frac{1}{d_1} + \frac{1}{d_2} \right) (x^2 + y^2)$  appears due to propagation of the coherent wavefront from the object to the aperture (distance  $d_1$ ) and



**Fig. 1** Wave optics based PSF modeling. A light wave with a given wavelength  $\lambda$  propagates from an object plane to an aperture (Fresnel propagation, distance  $d_1$ ) and further to a sensor plane (distance  $d_2$ ). Optical elements are simple lens or MPM in the aperture.

from the aperture to the sensor plane (distance  $d_2$ ), and  $\frac{-j\pi}{\lambda f_\lambda}(x^2 + y^2)$  is a quadratic phase delay due to the lens. For the lensless system,

$$P_\lambda(x, y) = P_A(x, y) \exp \left[ \frac{j\pi}{\lambda} \left( \frac{1}{d_1} + \frac{1}{d_2} \right) (x^2 + y^2) + j\text{MPM}_{\lambda_0, \lambda}(x, y) \right], \quad (2)$$

and for the lens system without MPM,  $\text{MPM}_{\lambda_0, \lambda}(x, y) \equiv 0$  in Eq. (1).

The PSF of the coherent monochromatic optical system is defined according to the equation:<sup>27</sup>

$$\text{PSF}_\lambda^{\text{coh}}(u, v) = \mathcal{F}_{P_\lambda} \left( \frac{u}{d_2 \lambda}, \frac{v}{d_2 \lambda} \right), \quad (3)$$

where  $\mathcal{F}_{P_\lambda}$  is the Fourier transform of  $P_\lambda$ . The normalized PSF for the corresponding incoherent system is calculated as

$$\text{PSF}_\lambda(u, v) = |\text{PSF}_\lambda^{\text{coh}}(u, v)|^2 / \iint_{-\infty}^{\infty} |\text{PSF}_\lambda^{\text{coh}}(u, v)|^2 du dv. \quad (4)$$

Optical transfer function (OTF) is the Fourier transform of  $\text{PSF}_\lambda(u, v)$ :

$$\text{OTF}_\lambda(f_X, f_Y) = \iint_{-\infty}^{\infty} \text{PSF}_\lambda(u, v) \exp[-j2\pi(f_X u + f_Y v)] du dv. \quad (5)$$

In spectral broadband multicolor imaging, the intensity registered by  $c$ -band channel is an integration of the monochromatic intensity over the wavelength range  $\Lambda$  with the weights  $T_c(\lambda)$  defined by the sensitivity transmissive functions of color filter array (CFA) and spectral response of the sensor. With normalizing these sensitivities on  $\lambda$ , i.e.,  $\int_\Lambda T_c(\lambda) d\lambda = 1$ , we obtain RGB channels PSFs:

$$\text{PSF}_c(x, y) = \int_\Lambda \text{PSF}_\lambda(x, y) T_c(\lambda) d\lambda, \quad c \in (r, g, b), \quad (6)$$

where the monochromatic  $\text{PSF}_\lambda$  is averaged over  $\lambda$  with the weights  $T_c(\lambda)$ .

We wish to emphasize a difference between monochromatic  $\text{PSF}_\lambda(x, y)$  with fixed  $\lambda$  and broadband RGB  $\text{PSF}_c(x, y)$ ,  $c \in (r, g, b)$ . Contrary to conventional approaches for PSF-based RGB imaging, which use Eq. (4) with three wavelengths  $\lambda$  (often, 450, 550, and 650 nm), we perform dense spectral sampling of 31 spectral channels from 400 to 700 nm in 10 nm intervals.<sup>24</sup> This is essential, in particular, for accurate image formation modeling.

## 2.2 Inverse Imaging for Defocused Observations

Let us introduce PSFs for defocus scenarios with notation  $\text{PSF}_{\lambda,\delta}(x, y)$  and  $\text{PSF}_{c,\delta}(x, y)$ , where  $\delta$  is a defocus distance in  $d_1$ , such that  $d_1 = d_1^0 + \delta$  with  $d_1^0$  equal to the focal distance between the aperture and the object. Introduce a set  $\mathcal{D}$  of defocus values  $\delta \in \mathcal{D}$  defining area of the desirable improved DoF. It is worth noting that the corresponding OTFs are used with notation  $\text{OTF}_{\lambda,\delta}(f_X, f_Y)$  and  $\text{OTF}_{c,\delta}(f_X, f_Y)$ . The definition of  $\text{OTF}_{c,\delta}(f_X, f_Y)$  corresponds to Eq. (5).

Let  $I_{c,\delta}^s(u, v)$  and  $I_c^o(u, v)$  be the intensities of the wavefronts at the sensor (registered focused/misfocused images) and the intensity of the object (true image), respectively. Then,  $I_{c,\delta}^s(u, v)$  are obtained by convolving the true object-image  $I_c^o(u, v)$  with PSF [ $\text{PSF}_{c,\delta}(u, v)$ ] of the system:

$$I_{c,\delta}^s(u, v) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \text{PSF}_{c,\delta}(u-x, v-y) I_c^o(x, y) dx dy, \quad (7)$$

and in the Fourier domain this equation takes the form:

$$I_{c,\delta}^s(f_X, f_Y) = \text{OTF}_{c,\delta}(f_X, f_Y) \cdot I_c^o(f_X, f_Y), \quad (8)$$

where  $I_{c,\delta}^s(f_X, f_Y)$  and  $I_c^o(f_X, f_Y)$  are the Fourier transform of  $I_{c,\delta}^s(u, v)$  and  $I_c^o(u, v)$  and “ $\cdot$ ” stays for elementwise multiplication of the corresponding Fourier transforms.

For image reconstruction from the blurred data  $I_{c,\delta}^s$ ,  $\delta \in \mathcal{D}$ , we wish to use the filter with a single transfer function  $H_c$ , which is same for all  $\delta$ .

Let us formulate the design of  $H_c$  as an optimization problem in the Fourier domain

$$\hat{H}_c = \min_{H_c} \sum_{k, \delta \in \mathcal{D}} \omega_\delta \cdot \|I_c^{o,k} - H_c \cdot I_{c,\delta}^{s,k}\|_2^2, \quad (9)$$

where  $k$  stays for different images from a given library and  $\omega_\delta$  are weights depending on the misfocus parameter  $\delta$ . For simplicity of notation, the arguments of the Fourier transform ( $f_X, f_Y$ ) are omitted in this and in the following equations.

Note that  $I_c^{o,k}$  and  $I_{c,\delta}^{s,k}$  correspond to the sets of the true and observed blurred images with items marked by the index  $k$  for different images and by  $c$  for color channels. Moreover, the norm  $\|\cdot\|_2^2$  is Euclidean defined for the complex-valued variables in the Fourier domain. Thus, we wish to find  $H_c$  such that the corresponding estimates ( $H_c \cdot I_{c,\delta}^{s,k}$ ) would be close to FT of the corresponding true images  $I_c^{o,k}$ . The weights  $\omega_\delta$  define the relative value of the different  $\delta$  values. Due to the definition of Eq. (9),  $\hat{H}_c$  is a Fourier domain Wiener filter for the defocused (blurred) observations  $I_{c,\delta}^{s,k}$ .

STATEMENT 1: Fourier domain Wiener filter

Provided the observation Eq. (8), the solution of the problem Eq. (9) has a form

$$\hat{H}_c = \frac{\sum_{\delta \in \mathcal{D}} \omega_\delta \cdot \text{OTF}_{c,\delta}^*}{\sum_{\delta \in \mathcal{D}} \omega_\delta \cdot |\text{OTF}_{c,\delta}|^2}, \quad (10)$$

assuming that  $\sum_k |I_c^{o,k}(f_X, f_Y)|^2 > 0$  for all  $f_X, f_Y$ . Here, (\*) stay for complex conjugate variables.

The proof of Eq. (10) is given in the [Appendix](#). It is emphasized that this solution does not depend on test images  $I_c^{o,k}$ .

The regularized form of this Wiener filter as it used in our calculations is

$$\hat{H}_c = \frac{\sum_{\delta \in \mathcal{D}} \omega_\delta \cdot \text{OTF}_{c,\delta}^*}{\sum_{\delta \in \mathcal{D}} \omega_\delta \cdot |\text{OTF}_{c,\delta}|^2 + \text{reg}}, \quad (11)$$

where the regularization parameter is  $\text{reg} > 0$ .

The reconstructed images are calculated as

$$\hat{I}_{c,\delta}^{o,k}(x, y) = \mathcal{F}^{-1}\{\hat{H}_c \cdot I_{c,\delta}^{s,k}\}. \tag{12}$$

Let the weight  $\omega_\delta$  be exponential  $\omega_\delta = \exp(-\mu \cdot |\delta|)$ , where  $\mu > 0$  is a parameter.

For  $\mu = 0$

$$\hat{H}_c = \frac{\sum_{\delta \in \mathcal{D}} \text{OTF}_{c,\delta}^*}{\sum_{\delta \in \mathcal{D}} |\text{OTF}_{c,\delta}|^2 + \text{reg}}, \tag{13}$$

for  $\mu \rightarrow \infty$

$$\hat{H}_c = \frac{\text{OTF}_{c,0}^*}{|\text{OTF}_{c,0}|^2 + \text{reg}}. \tag{14}$$

In the case of Eq. (13),  $H_c$  is the mixed OTF composed of PSFs corresponding to the multiple defocuses  $\delta$  from the area of the desirable DoF  $\mathcal{D}$  taken with equal weights. The latter case Eq. (14) means that for inverse (deblurring) imaging we use the broadband OTF corresponding to the focal point with  $\delta = 0$  only. It coincides with the inverse imaging used in Ref. 24.

We compare the performance of the proposed mixed OTFs [Eq. (13)] versus two counterparts: (1) with  $\hat{H}_c$  defined as in Eq. (14), what corresponds to the focused broadband inverse imaging; (2) inverse imaging with the monochromatic PSFs defined in Eqs. (4) and (5) with  $\lambda$  taking values corresponding RGB channels. This latter type of inverse imaging is common for many publications.

In order to make a difference between the estimates with transfer functions Eqs. (13) and (14), we will term them as “broadband Wiener filter” (BBWF) and “broadband focused filter” (BBFF), respectively.

The introduction of the mixed OTFs as in Eq. (10) is one of the main results of this paper. We show that the mixed Wiener OTFs allow significantly improve DoF and diminish color aberrations. Note, that this mixed  $\hat{H}_c$  depend on the weights  $\omega_\delta$ , the parameter  $\mu$  for the exponential weights, and the regularization parameter reg. Optimization of these two variables is crucial for this kind of imaging.

Besides, we compare the performance of the designed MPM versus the optical system with a simple lens both equipped by inverse imaging with BBWF and BBFF transfer functions  $H_c$ .

We use peak signal-to-noise ratio (PSNR) as the criterion for evaluation of imaging accuracy. It is used in two versions: chromatic  $\text{PSNR}_c$ ,  $c \in (r, g, b)$ , where PSNR is calculated for each of the color channels separately, and the “total” PSNR without index  $c$ , where the accuracy is calculated for all three color channels jointly.

These calculations are produced for different  $\delta \in \mathcal{D}$  to evaluate the accuracy of imaging for varying defocus.

Let  $\Theta$  be a full set of parameters to be optimized, then we calculate  $\text{PSNR}(\Theta, \delta_t)$ . Thus, optimization on  $\Theta$  appeared as a multiobjective problem with  $N$  loss-functions  $\text{PSNR}(\Theta, \delta_t)$ ,  $t = 1, \dots, N$ :

$$\hat{\Theta} = \max_{\Theta} [\text{PSNR}(\Theta, \delta_1), \dots, \text{PSNR}(\Theta, \delta_N)], \tag{15}$$

where we calculate PSNRs as mean values over the set of images,  $k \in K$ :

$$\text{PSNR}(\Theta, \delta) = \text{mean}_{k \in K} [\text{PSNR}(\hat{I}_{c,\delta}^{o,k}, I_c^{o,k})]. \tag{16}$$

Multiobjective optimization problems are often solved using scalarization techniques which means that the loss functions are aggregated (or reformulated as constraints), and then a constrained single-objective problem is solved.<sup>28</sup>

In here, we produce this multiobjective optimization comparing the curves  $\text{PSNR}(\Theta, \delta_t)$ ,  $t = 1, \dots, N$  for various  $\Theta$  trying to maximize each of  $\text{PSNR}(\Theta, \delta_t)$ .

We assume that this maximization is successful for  $\delta_l$  if  $\text{PSNR}(\hat{\Theta}, \delta_l) > \text{PSNR}_{\text{thresh}}$ , where  $\text{PSNR}_{\text{thresh}}$  is a prescribed value guaranteeing high-quality imaging. In our calculations,  $\text{PSNR}_{\text{thresh}}$  is equal to 25 dB.

The achieved length of DoF is defined as a length of  $\mathcal{D}$  covered by successful  $\text{PSNR}(\hat{\Theta}, \delta_l)$ . It is clear that a solution of the problem is not unique in this formulation.

The optimization of the total PSNR is a topic of Sec. 3.2, where we demonstrate the improvement achieved for DoF.

The optimization of the spectral  $\text{PSNR}_c$  is a topic of Sec. 3.3. We are targeted on color aberration problem and demonstrate the improvements achieved in color imaging for  $r, g, b$  image components. The optimization is produced for the spectral regularization parameters  $\text{reg}_c$ ,  $c \in (r, g, b)$ . A bit surprisingly, this optimization gives to found optimal  $\text{reg}_c$  quite close values.

## 3 Results

### 3.1 Simulation Experiments and Parameters of Optical Systems

The design of inverse imaging with a flat compact MPM is the aim of this paper. General features of the system modeling (excluding PSF discussed in Sec. 2.1) are shown in Fig. 2. As a counterpart, we consider a camera with a simple single refractive lens, which is convenient for modeling due to a small number of parameters characterizing its optics.

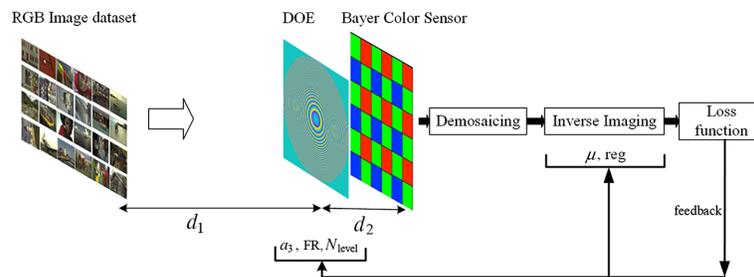
The optical systems with lens and MPM have the same configuration and same geometrical and optical parameters: a diameter of aperture  $P_A$  is equal to 6 mm; a distance between the aperture and the sensor plane is  $d_2 = 10$  mm; a distance between the object and the aperture is varying  $d_1 \in \mathcal{D} = [0.5:1000]$  m; the focal distance of the lens is equal to  $f = 10$  mm, then the in-focus point is  $d_1^0 = 1$  m.

The Bayer color sensor  $4096 \times 4096$  with pixels  $1.55 \mu\text{m}$  has spectral response functions defined according to Sony IMX172 Bayer CFA. For RGB monochromatic imaging defined by PSFs shown in Eq. (4), the wavelengths  $\lambda$  take values  $[470; 525; 640]$  nm. For RGB broadband imaging, PSFs are defined by Eq. (6) with  $\lambda \in [400 \text{ to } 700 \text{ nm}]$ . This wavelength interval is discretized on 31 bands of the width 10 nm.

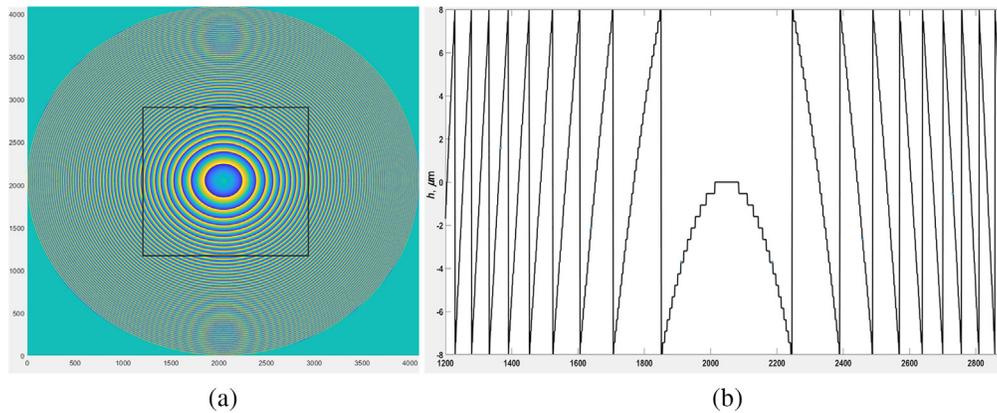
For demosaicing, we use the residual interpolation algorithm proposed as an alternative to the conventional color difference interpolation.<sup>29</sup>

Our goal is to compare the three types of OTFs (PSFs) used for inverse imaging: (1) the proposed BBWF [Eq. (13)]; (2) the BBFF [Eq. (14)] focused on  $d_1 = d_1^0$  (defocus parameter  $\delta = 0$ ); (3) monochromatic focused filter (MFF) as it is defined by Eq. (4) and focused as above for  $d_1 = d_1^0$ . The observations in all cases are obtained according to Eq. (8) corresponding to the broadband PSFs.

The design of MPM is produced following the technique developed in Ref. 24. The design wavelength is fixed at  $\lambda_0 = 525$  nm; the absolute phase calculated as the sum of the quadratic phase corresponding to the focal length ( $f_{\lambda_0}$ ) plus the cubic summand of magnitude  $a_3$ ; this absolute phase is wrapped to the interval of  $FR \cdot [-\pi, \pi)$ , where  $FR$  is a Fresnel number; after



**Fig. 2** The framework of image formation modeling including the optical element (MPM), sensor, algorithms for mosaicing/demosaicing, and calculation of loss functions; some optimization parameters and exploited RGB data set are shown.



**Fig. 3** A profile of the designed MPM: (a) view from the top and (b) the cross-section of the central part of MPM. This MPM is different from the standard Fresnel lens due to the cubic component in the surface and the Fresnel number of  $FR = 16$ .

that, the wrapped phase is discretized to  $N_{\text{level}} = 30$  levels. The parameters of this design,  $a_3$ ,  $FR$ , and  $N_{\text{level}}$  jointly with  $\mu$  and  $\text{reg}$  are used for end-to-end optimization of PSNRs.

As discussed above, we use maximization of PSNRs and the introduced Wiener filtering (BBWF) to achieve improved DoF. It is demonstrated in what follows that this design automatically enables improved achromatic imaging. As the number of parameters to be optimized is not large, we use the optimization on grid for good initialization of estimates which are optimized by the MATLAB fast code *fminsearch*. An example of MPM obtained in this way with  $a_3 = 29$ ,  $FR = 16$ , and  $N_{\text{level}} = 30.3$  is shown in Fig. 3.

### 3.2 Extended DoF

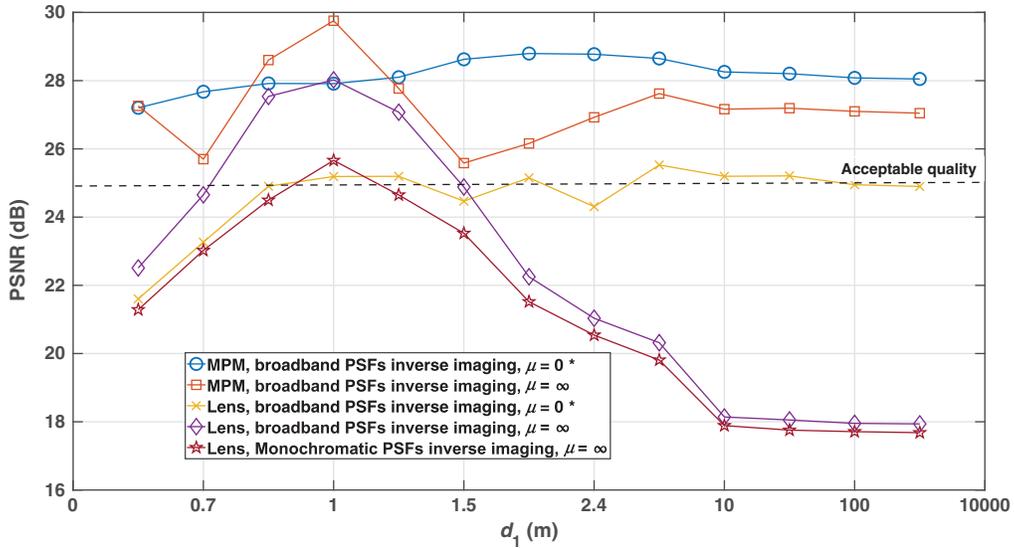
To be targeted on improvement in DoF in this section, we show the results obtained by optimization of the “total” PSNR, calculated without separation of the color image channels. As it is shown in Fig. 2, the main optimization parameters are: for simple lens system ( $\mu$  and  $\text{reg}$ ) and lensless system with MPM ( $a_3$ ,  $FR$ ,  $N_{\text{level}}$ ,  $\mu$ , and  $\text{reg}$ ). The final results, PSNR curves as a function of  $d_1$ , are shown in Fig. 4.

Recall that  $d_1$  is a distance from the object to the aperture plane. The design focal distance is  $d_1 = 1$  m. The reported PSNRs for each  $d_1$  are averaged over 24 Kodak RGB images. The best results are achieved by the inverse imaging using the BBWF with  $\mu = 0$  for lensless setup (blue solid curve with “circles”). The performance is about 28 dB with more or less uniform PSNR values for all defocus distances in the desirable interval,  $\delta \in \mathcal{D}$ .

The orange curve with “squares” shows the performance with the BBWF again for the lensless case. This algorithm shows the best performance for the focal point  $d_1 = 1$  m, which is about 2 dB better than that for BBWF at this point. However, for most other  $d_1$  points, BBWF outperforms BBWF demonstrating much more accurate results.

Three other curves in Fig. 1 are given for the simple lens system with different PSFs (OTFs) used for deblurring. This counterpart system demonstrates much lower accuracy performance as compared with the performance of the lensless system with MPM. The worse results are shown for the inverse imaging with monochromatic PSFs (MFF, red curve with stars). The peak of this curve (for the focus point) only is going above the crucial 25 dB. The fast degradation of the performance for the defocused images is clear as soon as defocus  $|\delta|$  becomes larger.

It is interesting to analyze also the influence of the proposed inverse imaging with the Wiener filter (BBWF) for the accuracy achieved by the simple lens system. The best result is demonstrated using  $\mu = 0$ : the yellow curve with “crosses.” The PSNR values are about 25 dB for nearly the whole interval of defocus. Using the focused broadband filter (BBFF) focused on  $d_1 = 1$  m shows an essential improvement of the performance for the area around this focal point only with a quite narrow DoF. The worst result is demonstrated by the lens system using monochromatic focused PSF (MFF) for inverse imaging. Overall, we may conclude that both the



**Fig. 4** PSNR curves of the optimized lens and lensless setups as a function of distance from the scene to the sensor plane ( $d_1$ ). The three types of PSFs (OTFs) used for inverse imaging: (1) The proposed BBWF ( $\mu = 0^+$ ); (2) the broadband PSF focused (BBFF,  $\mu = \infty$ ), the corresponding defocus parameter of PSF is  $\delta = 0$ ; and (3) monochromatic focused PSF [MFF, Eq. (4)] focused on  $d_1 = d_1^0$  for the simple lens system. The optimized lensless setups (BBWF and BBFF) perform in the best way with PSNR values above the crucial level 25 dB (acceptable quality line). The imaging with the lens shows good results in the close vicinity of the focal point with BBFF,  $\mu = \infty$ , and for a large range of  $d_1$  with BBWF,  $\mu = 0$ . Overall, the best performance is demonstrated by the lensless system with Wiener filtering (BBWF), top blue curve with circles.

broadband OTFs and the Wiener filtering essentially are able to improve the performance of the lens system.

The “parrot” images in Fig. 5 illustrate a visual performance of the compared systems and algorithms. The first two rows 1 and 2 of the figure show the results for the lens system. Furthermore, rows 3 and 4 are for the lensless setup with MPM. The columns are given for different  $d_1$ . If we compare the images in rows, the best result is always for the middle in-focus column. However, if we produce this comparison row-by-row using all three images of rows simultaneously, we may note that overall the best result is given by the algorithm based on Wiener filtering of OTFs (BBWF), the row 4, what is in the complete agreement with Fig. 4 and the above discussion concerning this figure.

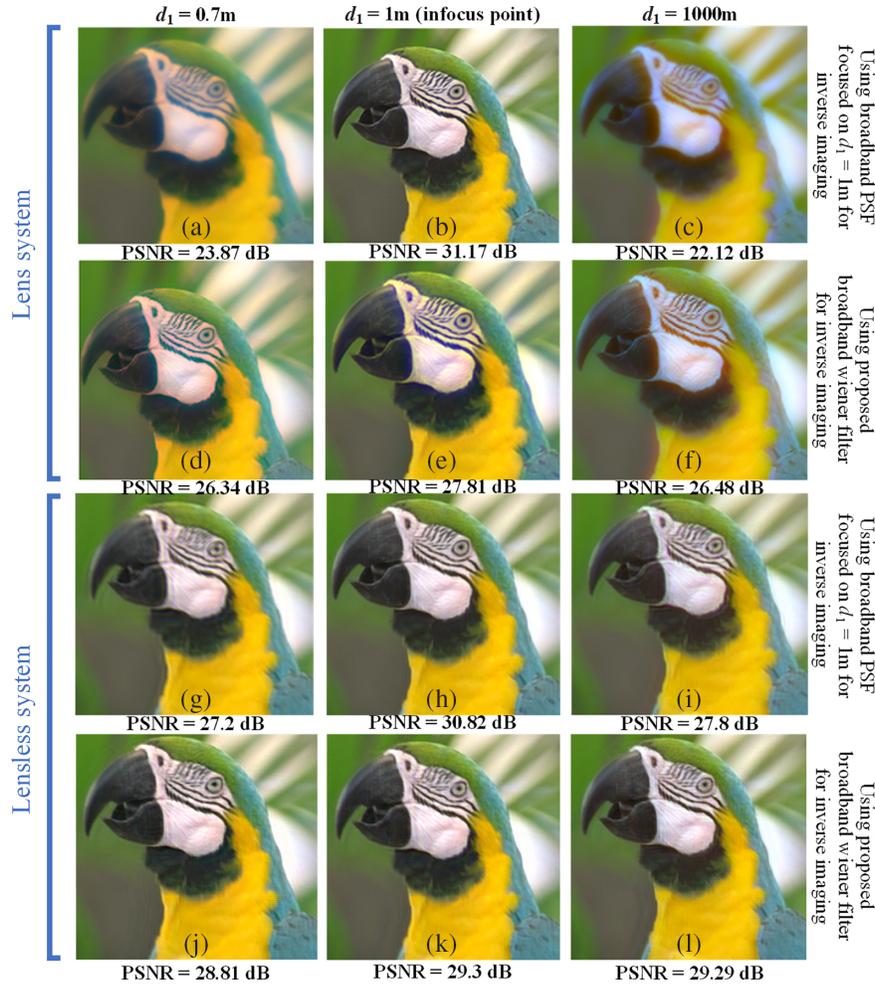
The longitudinal cross-sections of PSFs( $x, y, d_1$ ) with respect to the distance  $d_1$  are used for demonstration, in particular, extended DoF effects (e.g., Refs. 1 and 24). Note, that these focusing PSFs define deblurring and blurred images. In this paper, we analyze extended DoF and achromatic effects being based on “PSF after deblurring.”

Let us introduce this approach and the new PSFs. The deblurred image in Fourier domain according to Eq. (13) is defined as  $\hat{I}_{c,\delta}^{o,k} = \hat{H}_c \cdot I_{c,\delta}^{s,k} = (\hat{H}_c \cdot \text{OTF}_{c,\delta}) \cdot I_c^{o,k}$ . Then, the deblurred image  $\hat{I}_{c,\delta}^{o,k}(x, y)$  can be written as the convolution of the true image  $I_c^{o,k}(x, y)$  with the PSF calculated as

$$\text{PSF-AD}_{c,\delta}(x, y) = \text{real}[\mathcal{F}^{-1}(\hat{H}_c \cdot \text{OTF}_{c,\delta})]. \tag{17}$$

To make a difference with PSFs used for focusing, let us call the function defined by this equation as PSF-after-deblurring (PSF-AD). It is clear that the reconstructed image is a convolution of this PSF-AD with the true image. This convolution and this PSF-AD are much more informative to represent the degradation of the reconstructed image as compared with what can be obtained using the conventional focusing PSF for these purposes.

Figure 6 shows the longitudinal cross-sections of PSF-ADs for the green bandwidth for four different cases. Figures 6(a) and 6(b) show the cross-sections for the lens system with

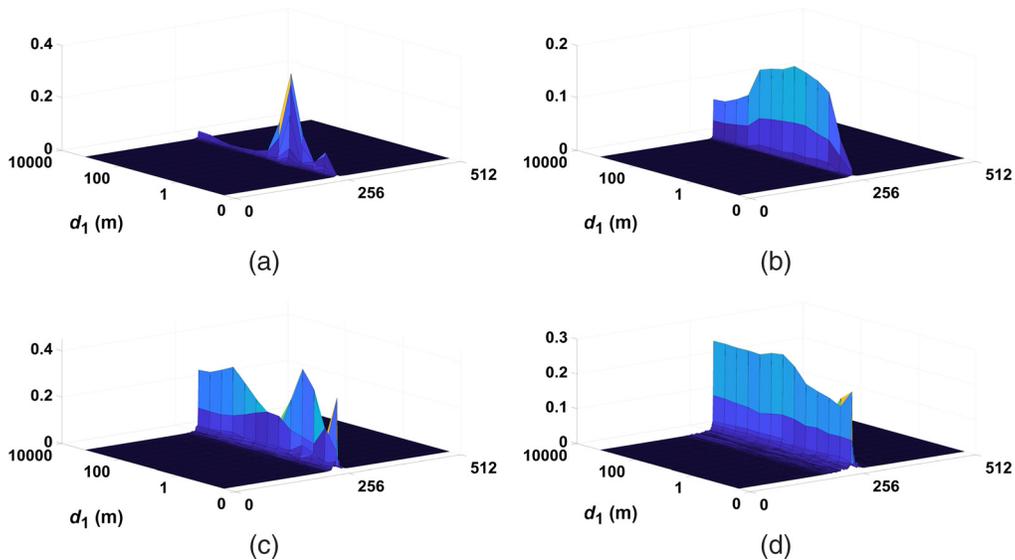


**Fig. 5** Visual performance of the optimized lens and lensless optical setups with two different deblurring algorithms for comparison. The proposed BBWF [Eq. (13)] and Broadband PSF focused on  $d_1 = d_1^0$  [BBFF, Eq. (14)] are exploited for both setups. The columns are given for different distances  $d_1$ . The first two rows are for the system with the lens and the second pair of the rows for the lensless system. Comparing the results visually and by PSNRs, we may conclude that the best result is given by the lens system for the focal distance  $d_1 = 1$ . However, for defocus situations, the performance of the lensless system is advanced. Overall, the comparison corresponds to the conclusion following from the analysis of PSNRs in Fig. 4, the best performance is achieved by the proposed MPM system with the Wiener inverse filtering (BBWF): the last row of the table.

monochromatic (MFF) and broadband (BBWF) inverse imaging, respectively. The PSF-AD for the monochromatic case is peakwise and very narrow, which means that DoF for this lens is very narrow. Using the broadband inverse imaging results in a much smoother cross-section, which says that DoF will be essentially wider. Figures 6(c) and 6(d) show the cross-sections for the lensless system with MPM and broadband inverse imaging. The image (c) corresponds to the focused inverse imaging (BBFF) as it is proposed in Ref. 24, and the image (d) is done for inverse imaging with Wiener filtering (BBWF). In the last case, we have a nearly flat cross-section, which says that the image quality is invariant with respect to varying  $d_1$ . It explains the best quality of the lensless reconstructions with this type of inverse imaging.

The advantage of the new proposed algorithm is clear. These conclusions on comparison of the inverse imaging methods are in complete agreement with ones given in the discussion on comparison of PSNRs curves in Fig. 4.

Some notes on how the cross-sections in this section and in what follows are calculated. Conventionally, the cross-section of  $\text{PSF}(x, y)$  is a function of  $x$  provided a fixed  $y$ , usually



**Fig. 6** Longitudinal cross-sections of PSF-ADs for the green components. Images (a) and (b) are for monochromatic (MFF) and broadband (BMWF) inverse imaging in the simple lens system, respectively. Images (c) and (d) are for imaging with broadband focused (BBFF) and broad Wiener filtering (BBWF) in lensless setup.

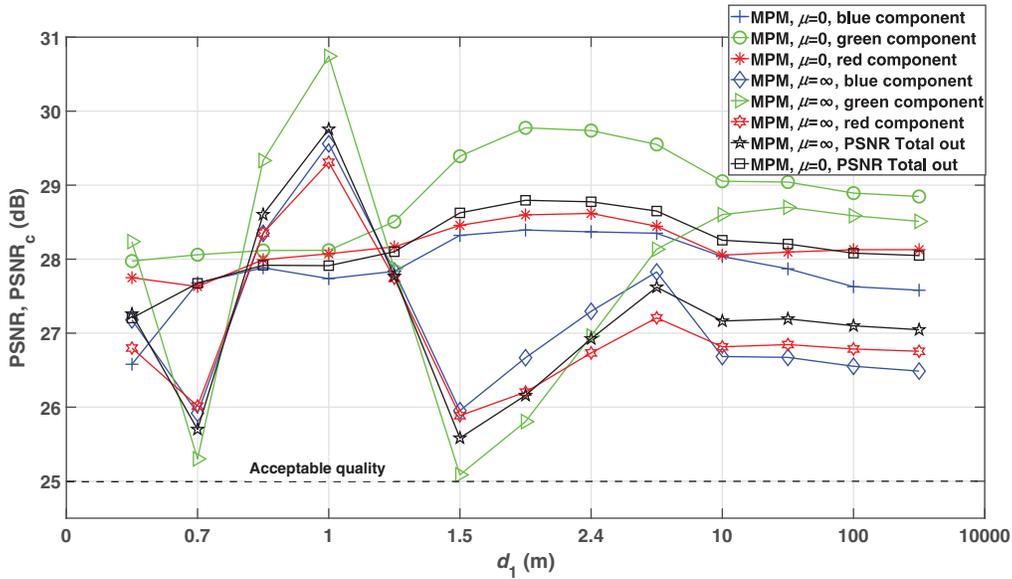
$y = 0$ . In this paper, the cross-section is defined as  $\max_y[\text{PSF-AD}_{c,\delta}(x, y)]$ . Thus, the fixed value of  $y$  is replaced by maximization on  $y$ .

Let us comment on this definition of the cross-section. Conventionally, considering PSF as a function of three variables ( $x, y$ ) and  $\delta$ , a longitudinal cross-section is calculated as a 2D function obtained from PSF for  $y = 0$  (or  $x = 0$ ) and varying  $\delta$ . This definition of the cross-section is perfectly provided that PSF is symmetric with a peak value at  $(x = 0, y = 0)$ . In our design of MPM, the cubic component in the absolute phase makes PSF asymmetric and shifted from  $(x = 0, y = 0)$ . PSF-AD calculated from the PSFs is also asymmetric and shifted. In our definition of the longitudinal cross-section, this unknown shift is compensated and proper peak values of PSF-AD are found by maximization of  $y$ .

### 3.3 Achromatic Imaging

It is shown in the previous section that the introduced algorithm with BBWF enables extended DoF because its PSF-ADs are insensitive with respect to variations in the defocus distance  $d_1$ . It leads to a natural hypothesis that achromatic imaging could be enabled by this algorithm. In this section, we illustrate and confirm this hypothesis. We show that broadband PSFs and the introduced Wiener filtering of OTFs are efficient instruments to diminish chromatic aberrations and to improve imaging in this way. To demonstrate these effects, we go from calculation of the total PSNR, where the RGB channels are mixed together to calculation of the separate channel color PSNR $_c$ ,  $c \in (r, g, b)$ . In Fig. 7, we show PSNR $_c$  as a function of  $d_1$  calculated for the lensless MPM camera. The colors of the curves indicate the corresponding RGB channels, and these PSNRs show the accuracy for the corresponding color components of the images. The legend specifies what algorithm is used for each curve. For the comparison, we show here also the “total” PSNRs, black curves in Fig. 7 as they appear in Fig. 4. We compare the performance of the two broadband inverse imaging algorithms: (1) BBWF of OTFs (Eq. (13),  $\mu = 0$ ) and (2) broadband focused (BBFF) for  $d_1 = 1$  [Eq. (14),  $\mu = \infty$ ]. Both algorithms demonstrate good performance with a clear advantage of the Wiener filtering similar to discussed for Fig. 4. The curves for PSNR $_c$  mainly follow the behavior of the “total” PSNR (black solid lines).

Thus, in Fig. 7 we have two groups of curves for spectral PSNR $_c$ ,  $c = r, g, b$ . The first group is obtained using inverse imaging BBWF OTFs. These curves do not have sharp peaks, quite smooth as functions of  $d_1$ , and demonstrate more or less similar high accuracy for the varying level of defocus as defined by  $d_1$ . The best result is achieved for the green channel, the other red

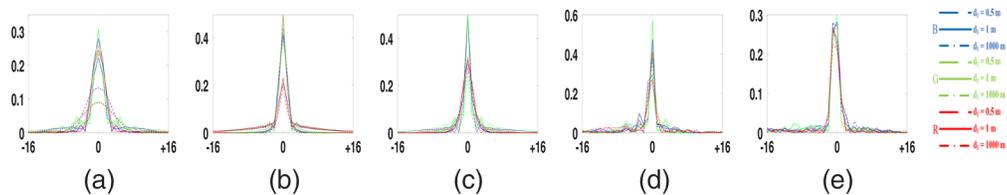


**Fig. 7** Spectral performance of the optimized lensless MPM system as a function of  $d_1$ . For comparison, the performance of two different inverse imaging approaches are presented: (1) broadband inverse imaging with proposed Wiener filtering of OTFs (BBWF,  $\mu = 0$ ), and (2) broadband focused (BBFF) for  $d_1 = 1$  ( $\mu = \infty$ ). All curves are located above the acceptable quality line 25 dB. Moreover, the overall advantage of the Wiener filtering broadband image reconstruction is clear.

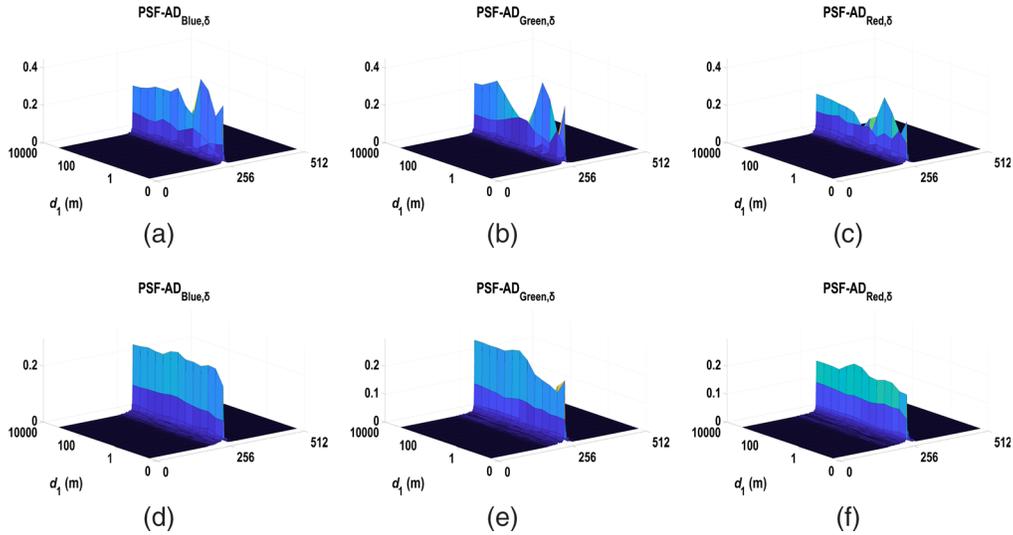
and blue channels show smaller PSNRs with values close to each other for all  $d_1$ . The second group of the curves has a strong peak at the focal point  $d_1 = 1$  m naturally corresponding to the used inverse imaging with the broadband OTF (BBFF) focused on  $d_1 = 1$  m. The best accuracy is demonstrated again for the green channel. Comparing these two groups of the image reconstruction algorithms, we note that overall the best performance is demonstrated by the algorithm with Wiener filtered OTF (BBWF) with the only advantage of the algorithm with focused OTF (BBFF) for narrow area around the focal point  $d_1 = 1$ . The PSNR curves for both methods are located above the acceptable quality line 25 dB.

Going further, we consider the cross-sections of PSF-AD for three RGB channels to make a clear source of this good spectral performance of the algorithm. In Fig. 8, we show the cross-sections of PSF-AD for RGB channels and three different  $d_1$  (0.5, 1, and 1000 m). These curves are best consolidated for the proposed inverse imaging with BBWF, which supports the conclusion that in this case, the quality of imaging is more or less same for all color channels and distances.

In Fig. 9, we provide the longitudinal cross-sections for RGB channels of PSF-AD. These cross-sections are quite similar to each other for the inverse imaging using the proposed Wiener filtering of defocused OTF (BBWF) and plus to it, they have a nearly uniform distribution with respect to  $d_1$ . These are the results shown in the second row of Fig. 9. In the first row, we show



**Fig. 8** Cross-sections of the PSF-ADs for three RGB channels and  $d_1 = [0.5; 1; 1000]$  m. The curves are shown for the simple lens system: (a) monochromatic (MFF), (b) broadband focused (BBFF), (c) BBWF; and for the lensless system with (d) broadband focused (BBFF) and (e) BBWF inverse imaging. In the case (e), the curves are tightly consolidated with each other. It says that PSF-ADs are insensitive to variations in both the color and in the distance  $d_1$ .



**Fig. 9** Longitudinal cross-sections of PSF-ADs for RGB channels of the: (a)–(c) broadband focused (BBFF), and (d)–(f) proposed Wiener filtering deblurring (BBWF) in lensless system with optimized MPM as functions of different scene-to-sensor distances. In the first row, the RGB PSF-ADs are not flat for different  $d_1$ . But with the proposed inverse imaging (BBWF, second row), PSF-ADs are smoothed which are nearly ideal for achromatic imaging.

the corresponding results for the inverse imaging with BBFF. We may note that the advantage of the proposed algorithm with Wiener filtering of OTFs is obvious.

Special tests have been produced to evaluate a degradation of color imaging due to spectral sampling by Bayer CFA in sensor and interpolation in demosaicing procedure.<sup>29</sup> These effects are completely eliminated if there are three separate color sensors (red, green, and blue) and RGB inverse imaging is separate for each channel. To compare the results obtained in this setup with those obtained with demosaicing, we evaluated the imaging degradation in PSNR values as 1 to 2 dB depending on image.

## 4 Conclusion

An approach is developed to inverse RGB imaging with extended DoF and diminished color aberrations. The OTF for inverse imaging is derived as the Wiener filter of broadband defocused OTFs. We show that the accuracy of imaging essentially depends on the regularization parameter of OTF and weights in the criterion used for the Wiener filter derivation. We study the performance of the proposed imaging for two optical setups with lens and without lens—lensless with MPM. The later system with MPM demonstrates the best performance. The design systems are subjects of end-to-end optimization concerning the parameters of the MPM design as well as the parameters of the criterion used for derivation of the Wiener filter. As a further work, we plan to develop algorithms for adaptive evaluation of optimal regularization parameters for BBWF imaging.

## 5 Appendix A: Proof of Statement 1

The optimal transfer function for inverse imaging is a solution to the problem Eq. (9):

$$\hat{H}_c = \min_{H_c} \sum_{k, \delta \in \mathcal{D}} \omega_\delta \|I_c^{o,k} - H_c \cdot I_c^{s,k}\|_2^2. \quad (18)$$

In this minimization on the complex-valued  $H_c$ , the necessary minimum condition is as follows  $\frac{\partial}{\partial H_c} \sum_{k, \delta \in \mathcal{D}} \omega_\delta \|I_c^{o,k} - H_c \cdot I_c^{s,k}\|_2^2 = 0$ , what leads to the equation

$$\sum_{k, \delta \in \mathcal{D}} \omega_{\delta} (I_c^{o,k} - H_c \cdot I_c^{s,k}) \cdot I_c^{s,k*} = 0. \quad (19)$$

Referring to Eq. (8), insert in this equation  $I_c^{s,k}(f_X, f_Y) = \text{OTF}_{c,\delta}(f_X, f_Y) \cdot I_c^{o,k}(f_X, f_Y)$ , then we obtain

$$\sum_{\delta \in \mathcal{D}} \omega_{\delta} (\text{OTF}_{c,\delta}^* - H_c \cdot |\text{OTF}_{c,\delta}|^2) \cdot \sum_k |I_c^{o,k}|^2 = 0. \quad (20)$$

The solution Eq. (10) follows from this equation provided that  $\sum_k |I_c^{o,k}|^2 > 0$ .

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