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Abstract. Oblique incidence reflectometry (OIR) is an established technique for the estimation of tissue optical properties. However, a sensing footprint of a few transport mean-free paths is often needed when diffusion-regime-based algorithms are used. Smaller-footprint probes require improved light-propagation models and inversion schemes for diffuse reflectance close to the point of entry but might enable micro-endoscopic form factors for clinical assessments of cancers and precancers. The phase-function corrected diffusion theory presented by Vitkin et al. [*Nat. Commun.* 2, 587 (2011)] to the case of pencil beams obliquely incident on a semi-infinite turbid medium is extended. The model requires minimal computational resources and offers improved accuracy over more traditional diffusion-theory approximations models when validated against Monte Carlo simulations. The computationally efficient nature of the models lends itself to rapid fitting procedures for inverse problems. The analytical model is used in a nonlinear fitting algorithm to demonstrate the recovery of optical properties using a measurement footprint that is significantly smaller than needed in previous diffusion-regime OIR methods. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: [10.1117/1.JBO.18.6.067005](https://doi.org/10.1117/1.JBO.18.6.067005)]

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1 Introduction

Various forms of light-scattering measurements and spectroscopy are showing promise as a biopsy-free means to measure the size distribution of nuclei and associated scattering as an indicator of preinvasive neoplasia and malignancy.^{1–12} Many of these techniques require or would benefit from accurate models of light transport near the point of entry. Computational models of light transport including Monte Carlo simulations^{13–16} and numerical implementations of the radiative transport equation^{17–20} are typically too computationally burdensome to be used for applications where rapid analysis is important. Analytical solutions have primarily been restricted to diffusion-theory approximations^{21,22} or slightly higher order corrections,^{23–27} which are inaccurate near the point-of-entry and when absorption is high or comparable with scattering coefficients. Unfortunately, accurate analytical models of light propagation in tissue near the point-of-entry and for cases where absorption is high or comparable with scattering have been difficult to obtain. Recently, Vitkin et al.²⁸ described an elegant phase-function corrected (PFC) diffusion approximation to the radiative transport equation which permitted an analytical description of a pencil beam normally incident on a semi-infinite turbid medium. In this paper, we extend their work to include a pencil beam obliquely incident on a semi-infinite turbid medium, and investigate the accuracy of the models by comparing them with Monte Carlo

simulations. The case of oblique incidence is of noteworthy importance as a number of techniques rely on angled illumination, including oblique incidence reflectometry (OIR) and^{1–6,29–31} angled low-coherence interferometry,^{32–38} among others.¹¹ In this article, we focus on the applications of OIR, a technique that has seen great success in some clinical studies.^{6,31} In this technique, diffuse reflectance from an obliquely incident beam is recorded and compared with diffusion models of light transport to estimate the tissue optical properties. However, to obtain accurate estimates, the sensing footprint (defined here as the minimum circular area containing source and detector fibers) generally needs to be larger than a few transport mean-free paths to reliably estimate the centroid of diffuse-reflectance, with some recent exceptions.^{39,40} Still, the probe sizes in such recent work are too large to be used for micro-endoscopic applications, which would enable a broader range of clinical applications beyond dermatology. Smaller-footprint probes require improved light-propagation models and inversion schemes for diffuse reflectance close to the point of entry. Recent works^{39,40} leverage the scalable Monte Carlo method,⁴¹ which can scale the amplitudes and coordinates of the time-resolved diffuse reflectance of a reference simulation to transform to an effectively different turbid medium. The steady-state diffuse reflectance is then found by integrating over time. This method avoids iterative application of Monte Carlo simulations, and permits small-footprint sensors. Our work provides an alternative approach that avoids stochastic variability associated with Monte Carlo results, and is fast, accurate, and based on analytical models. With future efficient algorithm implementation, the speed of inversion afforded by the analytical models could enable development of microprobes with real-time feedback for tumor

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margin resection guidance, and clinical classification of suspected tumors and assessment of precancers.

2 Background

Vitkin et al.²⁸ found that the time-independent radiative transport equation

$$\mathbf{s} \cdot \nabla L(\mathbf{r}, \mathbf{s}) = -\mu_t L(\mathbf{r}, \mathbf{s}) + \mu_s \int p(\mathbf{s}, \mathbf{s}') L(\mathbf{r}, \mathbf{s}') d\mathbf{s}' + S(\mathbf{r}, \mathbf{s}), \quad (1)$$

where L is radiance, S is the source radiance distribution, \mathbf{r} is a field point, \mathbf{s} is a unit vector, $\mu_t = \mu_a + \mu_s$ is the total interaction coefficient, the sum of the absorption and scattering coefficients, respectively, with normally incident collimated pencil-beam $L_c(\mathbf{r}, \mathbf{s}) = P_o(2\pi)^{-2}r^{-1}\delta(r)\delta(1-\mathbf{s} \cdot \mathbf{z})e^{-\mu'_t z}$ entering a semi-infinite turbid medium could be written as two equations, This one follows the diffusion approximation

$$\mathbf{s} \cdot \nabla L_d(\mathbf{r}, \mathbf{s}) = -\mu_t L_d(\mathbf{r}, \mathbf{s}) + \frac{\mu_s}{4\pi} \int L(\mathbf{r}, \mathbf{s}') d\mathbf{s}' + P_o \frac{\mu'_s \delta(r)}{8\pi r} e^{-\mu'_t z}, \quad (2)$$

and the other serves as a phase-function-correction term

$$\mathbf{s} \cdot \nabla L_p(\mathbf{r}, \mathbf{s}) = -\mu_t L_p(\mathbf{r}, \mathbf{s}) + P_o \frac{\mu_s \delta(r)}{2\pi r} \left[p(\mathbf{s} \cdot \mathbf{z}) - \frac{1-g}{4\pi} \right] e^{-\mu'_t z}. \quad (3)$$

Here g is the anisotropy factor, and $\mu'_t = \mu'_s + \mu_a$ is the reduced total interaction coefficient, which is the sum of the reduced scattering coefficient $\mu'_s = \mu_s(1-g)$ and μ_a . Boundary conditions are given as $L_d|_{\tilde{z}=0} = 0$ and $L_p|_{\tilde{z}=0} = 0$ for $\mathbf{s} \cdot \mathbf{z} > 0$. Approximations were needed to break up equations in this way, but Vitkin et al. showed excellent agreement with Monte Carlo simulations and experiments, even in the region near the point-of-entry, a regime handled poorly by other approximations. They restricted their attention to normally incident pencil beams and semi-infinite media.

The purpose of this paper is to extend this PFC-diffusion theory to the case of obliquely incident pencil beams with a long-term goal of improved OIR for sensing tissue absorption and scattering coefficients.

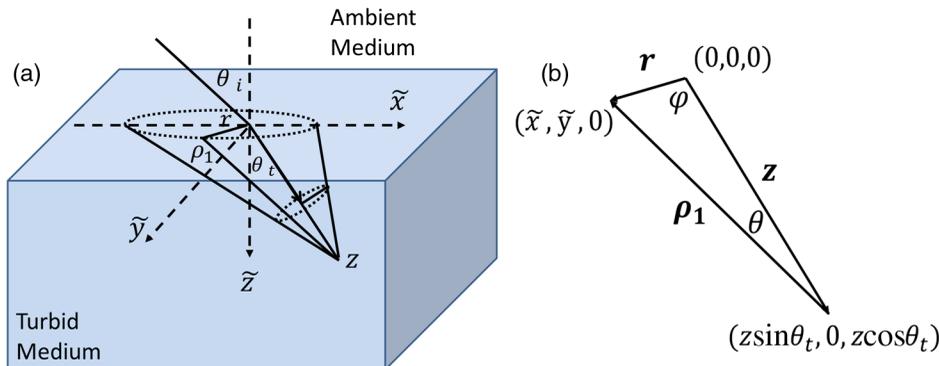


Fig. 1 A detailed view of the geometry needed to develop analytical approximations for the case of light propagation in a semi-infinite turbid medium due to an obliquely incident pencil beam.

3 Methods

3.1 Theory

First, we consider an extension of the work of Farrell et al.⁴² to model diffuse reflectance from a pencil beam obliquely incident on a surface. Then, we consider the phase-function correction to this diffusion approximation.

3.1.1 Diffusion-theory models

First consider diffuse reflectance due to a number of distributed point sources along the obliquely incident transmitted ray, similar to Farrell et al.⁴² Assume, without loss of generality, that the incident beam is in the $x-z$ plane as shown in Fig. 1. Effective point sources are located along the obliquely incident trajectory at locations $\tilde{\mathbf{r}}_o = (\tilde{x}_o, \tilde{y}_o, \tilde{z}_o) = (z \sin \theta_t, 0, z \cos \theta_t)$. The Green's function describing fluence-rate at a point $\tilde{\mathbf{r}} = (\tilde{x}, \tilde{y}, \tilde{z})$ due to an isotropic point source at position $\tilde{\mathbf{r}}_o = (\tilde{x}_o, \tilde{y}_o, \tilde{z}_o)$ in an infinite turbid medium is²¹

$$G_\Psi(\tilde{x}, \tilde{y}, \tilde{z}) = G_\Psi(\tilde{\mathbf{r}}) = \frac{1}{4\pi D} \frac{e^{-\mu_{\text{eff}}|\tilde{\mathbf{r}}-\tilde{\mathbf{r}}_o|}}{|\tilde{\mathbf{r}}-\tilde{\mathbf{r}}_o|}, \quad (4)$$

where $|\tilde{\mathbf{r}}-\tilde{\mathbf{r}}_o| = \sqrt{(\tilde{x}-z \sin \theta_t)^2 + \tilde{y}^2 + (\tilde{z}-z \cos \theta_t)^2} = r_1$. The solution for an equivalent point source, at a distance z , along the incident trajectory in a semi-infinite medium is given by summing the solutions for the point source and the image source

$$\Psi(\tilde{\mathbf{r}}) = \frac{1}{4\pi D} \left(\frac{e^{-\mu_{\text{eff}}r_1}}{r_1} - \frac{e^{-\mu_{\text{eff}}r_2}}{r_2} \right), \quad (5)$$

where $r_2 = \sqrt{(\tilde{x}-z \sin \theta_t)^2 + \tilde{y}^2 + (\tilde{z}+z \cos \theta_t + 2z_b)^2}$ and $z_b = 2C_R D$ is the coordinate of the extrapolated boundary condition with C_R , a factor equal to 1 for index-matched boundary conditions and different than 1 for index-mismatched boundary conditions, as defined by Wang and Wu²¹ in Eq. (5).

We want the diffuse reflectance (photon current leaving the tissue at $z=0$):

$$R_d(\tilde{x}, \tilde{y}) = -D \nabla_z \Psi(\tilde{\mathbf{r}})|_{\tilde{z}=0} = -\frac{1}{4\pi} \left\{ \left. \frac{\partial(e^{-\mu_{\text{eff}}r_1}/r_1)}{\partial \tilde{z}} \right|_{\tilde{z}=0} - \left. \frac{\partial(e^{-\mu_{\text{eff}}r_2}/r_2)}{\partial \tilde{z}} \right|_{\tilde{z}=0} \right\}, \quad (6)$$

with

$$r_{1,0} \equiv r_1(\tilde{z} = 0) = \sqrt{(\tilde{x} - z \sin \theta_t)^2 + \tilde{y}^2 + (z \cos \theta_t)^2}$$

and

$$r_{2,0} \equiv r_2(\tilde{z} = 0) = \sqrt{(\tilde{x} - z \sin \theta_t)^2 + \tilde{y}^2 + (z \cos \theta_t + 2z_b)^2},$$

we find

$$R_1(\tilde{x}, \tilde{y}) \equiv \left. \frac{\partial(e^{-\mu_{\text{eff}} r_1} / r_1)}{\partial \tilde{z}} \right|_{\tilde{z}=0} = \frac{1}{r_{1,0}} \{ \mu_{\text{eff}} e^{-\mu_{\text{eff}} r_{1,0}} \tilde{z}_o + e^{-\mu_{\text{eff}} r_{1,0}} \tilde{z}_o / r_{1,0} \}, \quad (7)$$

and

$$R_2(\tilde{x}, \tilde{y}) \equiv \left. \frac{\partial(e^{-\mu_{\text{eff}} r_2} / r_2)}{\partial \tilde{z}} \right|_{\tilde{z}=0} = \frac{1}{r_{2,0}} \{ \mu_{\text{eff}} e^{-\mu_{\text{eff}} r_{2,0}} (\tilde{z}_o + 2z_b) + e^{-\mu_{\text{eff}} r_{2,0}} (\tilde{z}_o + 2z_b) / r_{2,0} \}. \quad (8)$$

Hence, for a single scattering event at location z along the incident trajectory, the diffuse reflectance due to the diffusion approximation is

$$R_d(\tilde{x}, \tilde{y} | z) = -\frac{1}{4\pi} (R_1 - R_2). \quad (9)$$

When we model all initial scattering as occurring from the depth of the transport mean-free path, $\ell'_t = 1/\mu'_t$, we label the approximation

$$\hat{R}_d = R_d(\tilde{x}, \tilde{y} | z = \ell'_t), \quad (10)$$

as the single scattering diffusion approximation. It has poor accuracy near the point-of-entry, but has reasonable accuracy in several transport mean-free path-lengths away from the point-of-entry, as discussed by Wang and Wu²¹ in detail.

Next, we consider a superposition of point-sources along the incident trajectory with source-strength or as a function of ballistic depth z , given as $S(z) = a'\mu'_t e^{-\mu'_t z}$. Then the diffuse reflectance is given by integrating the diffuse reflectance due to each weighted point source along the trajectory

$$R_d(\tilde{x}, \tilde{y}) = \frac{a'\mu'_t}{4\pi} \int_0^\infty \left[\tilde{z}_o \left(\mu_{\text{eff}} + \frac{1}{r_{1,0}} \right) \frac{e^{-\mu_{\text{eff}} r_{1,0}}}{r_{1,0}^2} + (\tilde{z}_o + 2z_b) \left(\mu_{\text{eff}} + \frac{1}{r_{2,0}} \right) \frac{e^{-\mu_{\text{eff}} r_{2,0}}}{r_{2,0}^2} \right] e^{-\mu'_t z} dz. \quad (11)$$

We call this the distributed source diffusion approximation. It is similar to the expression previously published by Farrell et al.,⁴² and reduces to that expression when $\theta_t \rightarrow 0$ (i.e., normal incidence) in which case $r_{1,0} \rightarrow \sqrt{\tilde{x}^2 + \tilde{y}^2 + z^2}$ and $r_{2,0} \rightarrow \sqrt{\tilde{x}^2 + \tilde{y}^2 + (z + 2z_b)^2}$.

3.1.2 Phase-function correction

One way of solving the phase-function correction to the diffusion approximation is to simply integrate over photons that propagate quasi-ballistically, scatter once with large angle, and propagate to the field point or medium surface in an equivalently isotropic scattering medium with the new effective scattering coefficient equal to the reduced scattering coefficient. The anisotropy is embodied via approximations in the source term

$$P_o \frac{\mu_s \delta(r)}{2\pi r} [p(\mathbf{s} \cdot \mathbf{z}) - \frac{1-g}{4\pi}] e^{-\mu'_t z},$$

a critical simplification.

Given

$$\cos \varphi = \frac{\mathbf{r} \cdot \mathbf{z}}{|\mathbf{r}| |\mathbf{z}|} = \frac{\tilde{x} \sin \theta_t}{\sqrt{\tilde{x}^2 + \tilde{y}^2}},$$

by the law of cosines $\rho_1 = \sqrt{r^2 + z^2 - 2rz \cos \varphi}$ or $\rho_1 = \sqrt{\tilde{x}^2 + \tilde{y}^2 + z^2 - 2z\tilde{x} \sin \theta_t}$ and $r^2 = z^2 + \rho_1^2 - 2z\rho_1 \cos \theta$, so the cosine of the scattering angle θ_s is given as $-\cos \theta = -(z^2 + \rho_1^2 - r^2) / 2z\rho_1$. (Note that the scattering angle $\theta_s = \pi - \theta$.) Then the phase-function correction to the diffuse reflectance is given by integrating the component of the radiance exiting the surface from the turbid back into the ambient media over all scattering angles

$$R_p(\tilde{x}, \tilde{y}) = \int_{z=0} L_p(\mathbf{r}, \mathbf{s}) \Big|_{\tilde{z}=0} \hat{\mathbf{s}} \cdot \hat{\mathbf{n}}_\perp ds = \mu_s \int_{z=0}^\infty \left[p(\cos \theta) - \frac{1-g}{4\pi} \right] \frac{e^{-\mu'_t(z+\rho_1)}}{\rho_1^2} \left[\frac{z \times \cos \theta_t}{\rho_1} \right] dz. \quad (12)$$

This represents the energy scattered at position z along the trajectory projected quasi-ballistically to the surface, then taking the component of the radiance in the direction normal to the interface and integrating over all possible exit angles. Because, the exit angles are determined by the depth of scattering, the integration is performed over z as an analytic simplification. The $1/\rho_1^2$ factor is needed in the integrand because in a lossless medium, energy scattered from point z should be conserved in a sphere with radius ρ_1 so energy density should decay proportionally with the surface area of such sphere. Here, given $\rho_1 = (\tilde{x} - z \times \sin \theta_t, \tilde{y}, -z \times \cos \theta_t)$ and $\hat{\mathbf{n}}_\perp = (0, 0, 1)$, $\hat{\mathbf{s}} \cdot \hat{\mathbf{n}}_\perp = -(\rho_1 \cdot \tilde{\mathbf{z}}) / |\rho_1| |\tilde{\mathbf{z}}| = z \times \cos \theta_t / \rho_1$. Note that when $\theta_t \rightarrow 0$ (i.e., normal incidence), R_p reduces to the previously derived expression given by Vitkin et al.²⁸

$$R_p(r) = \mu_s \int_{z=0}^\infty \left[p\left(\frac{-z}{\rho_1}\right) - \frac{1-g}{4\pi} \right] e^{-\mu'_t(z+\rho_1)} \frac{z}{\rho_1^3} dz. \quad (13)$$

The total PFC diffuse reflectance is the sum of the distributed-source diffusion-approximation estimate and the PFC term

$$R(\tilde{x}, \tilde{y}) = R_d(\tilde{x}, \tilde{y}) + R_p(\tilde{x}, \tilde{y}). \quad (14)$$

3.2 Monte Carlo Simulations

We performed custom Monte Carlo simulations to model pencil beams obliquely incident on a semi-infinite turbid medium. We

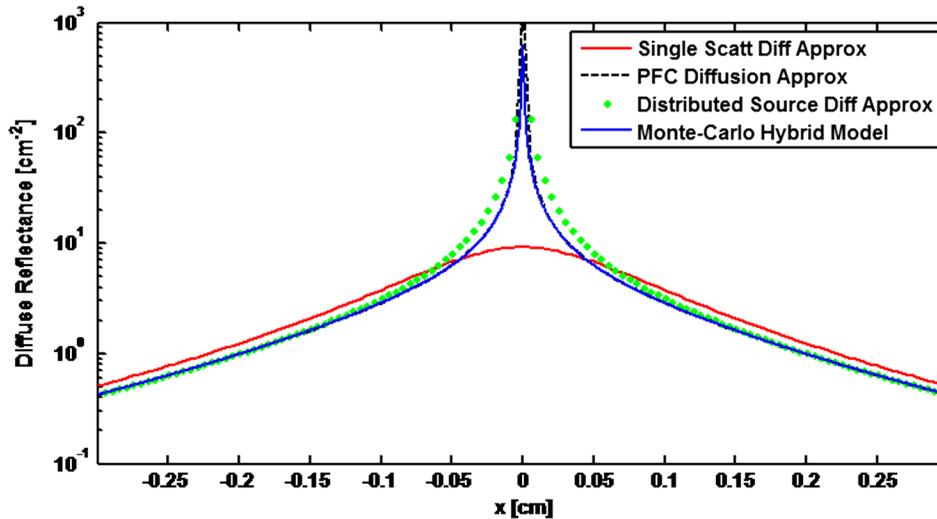


Fig. 2 Comparison of the diffuse reflectance computed using the phase-function-corrected (PFC)-diffusion approximation, distributed source diffusion approximation [Eq. (14)], and the single-scattering diffusion approximation [Eq. (10)] against a Monte Carlo simulation in the case of a pencil beam normally incident on a semi-infinite turbid medium with $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 150 \text{ cm}^{-1}$, and $g = 0.95$.

used the hybrid Monte Carlo methods described by Wang and Wu,²¹ however, instead of using a cylindrical recording geometry we used a Cartesian mesh. Simulations were written in C++ and validated against the normally incident case as modeled by the widely used Monte Carlo for multi-layer media program described by Wang et al.¹³ Models for oblique incidence were also validated against results in Wang and Wu.²¹ Our Monte Carlo simulations were used as a gold standard to validate the PFC-diffusion theory. For simplicity, we chose refractive index-matched boundary conditions and modeled an effectively semi-infinite turbid medium. In all cases, five runs were performed for each simulation condition using nine billion photons on a 1.2 cm grid with 50 μm voxels. While it is possible to use Mie²¹ and other more complicated phase-function distributions, we chose the more common Henyey–Greenstein phase function⁴³ for both Monte Carlo simulations and PFC-diffusion theory.

3.3 Analytical Model Fitting to Monte Carlo Simulations to Estimate Optical Properties

To test the utility of the model in estimating optical properties from diffuse reflectance near the point of entry, we generate simulated experimental measurements of diffuse reflectance using Monte Carlo simulations, R_{MC} , then minimize the mean relative absolute error between R_{MC} and the analytic PFC-diffusion theory estimate R_{Analy}

$$\hat{\mathbf{u}} = \underset{\mathbf{u}}{\operatorname{argmin}} \left\{ \frac{1}{K} \sum_{k=1}^K \left| \frac{R_{\text{MC}}(r_k) - R_{\text{Analy}}(r_k)}{R_{\text{MC}}(r_k)} \right|^p \right\}. \quad (15)$$

Here, $\mathbf{u} = [\mu_a \ \mu_s']$ is a vector of the optical properties and $\hat{\mathbf{u}}$ represents the best estimate. Here, $p = 1$ for the L1-norm. For one-dimensional (1-D) optical property estimates using 14 data points along the x -axis (symmetric about and omitting the point-of-entry), this minimization procedure takes only seconds using the optimization algorithm `fminsearch` in MATLAB on a laptop with an Intel i5 processor and 4 GB RAM. Minimization of the mean squared error and other p -norms give similar results (data not shown).

4 Results

4.1 Simulation Results

We first validated that our PFC-diffusion model agreed with Fig. 2 of Vitkin et al.²⁸ for the case of a pencil beam normally incident on a semi-infinite turbid medium with $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 150 \text{ cm}^{-1}$ and $g = 0.95$ (data not shown). We then compared the diffuse reflectance model using the diffusion approximation and PFC-diffusion approximation with Monte Carlo simulations for obliquely incident pencil beams. Results for the normally incident case and the 45-deg incident case are shown in Figs. 2 and 3, respectively. In Fig. 4, we repeat the 45-deg incidence simulation, but with a much higher absorption coefficient of $\mu_a = 10 \text{ cm}^{-1}$, all other parameters being the same. In this case, the reduced scattering coefficient of $\mu_s' = 10 \text{ cm}^{-1}$ is compared with the absorption coefficient, a regime where traditional diffusion approximations are

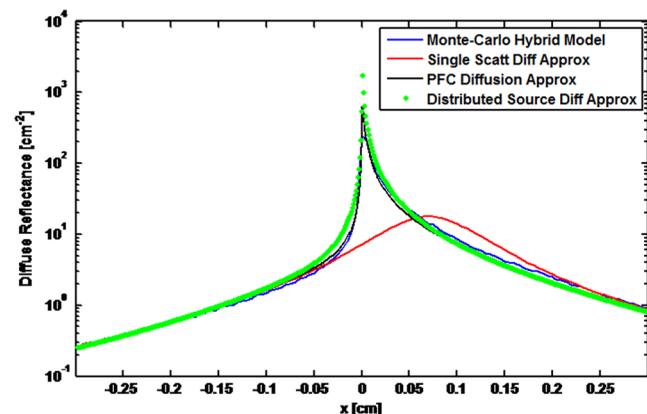


Fig. 3 Comparison of the diffuse reflectance computed using the PFC-diffusion approximation, distributed source diffusion approximation [Eq. (14)], and the single-scattering diffusion approximation [Eq. (10)] against a Monte Carlo simulation in the case of a pencil beam obliquely incident on a semi-infinite turbid medium with incident angle of 45-deg and turbid media parameters of $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 100 \text{ cm}^{-1}$, and $g = 0.9$.

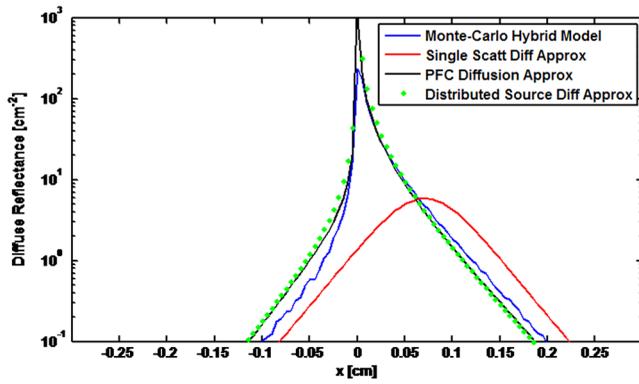


Fig. 4 Same as Fig. 3, except we use a much higher absorption coefficient, $\mu_a = 10 \text{ cm}^{-1}$.

inaccurate, yet where PFC-diffusion models previously showed high accuracy for normally incident pencil beams.

We simulated incident angles of 0, 15, and 45 deg using $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 100 \text{ cm}^{-1}$ and $g = 0.9$ with Monte Carlo, PFC-diffusion, and noncorrected diffusion models and computed the relative error. Results are shown in Fig. 5.

4.2 Results of Analytical Model Fitting to Monte Carlo Simulations

We used Monte Carlo simulations to compute the diffuse reflectance for a range of scattering coefficients: $\mu_s = 100, 150, 200, 250,$ and 300 cm^{-1} , with a fixed absorption coefficient, $\mu_a = 0.1 \text{ cm}^{-1}$, and anisotropy factor $g = 0.9$. Using only measurements $350 \mu\text{m}$ on either side of the point-of-entry and omitting the point-of-entry as a data point, Monte Carlo simulations, mimicking optical measurements, were fit to the PFC-diffusion models using 45-deg incident pencil beams in each case. Scattering coefficient estimates (using a starting guess of $\mu_s = 100 \text{ cm}^{-1}$ and $\mu_a = 0.11 \text{ cm}^{-1}$ in each case) were recovered and closely followed true scattering coefficients used in the forward models. Error bars associated with five replicate runs are smaller than the marker sizes shown in Fig. 6, and are associated with standard deviations of 3 cm^{-1} or less. Scattering coefficient estimates were within error of the true value for a wide range of initial guesses of optical parameters, using starting guesses of

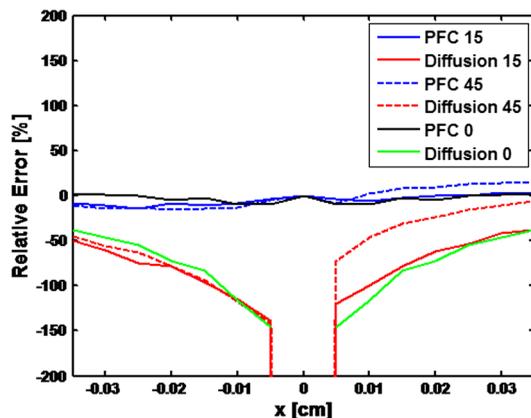


Fig. 5 Relative error of the distributed source and PFC-diffusion approximations relative to Monte Carlo computations of diffuse reflectance for incident angles of 0, 15, and 45 deg. The ambient medium was considered as index-matched to the semi-infinite turbid media with $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 100 \text{ cm}^{-1}$, and $g = 0.9$.

absorption coefficients ranging from 0.05 to 10 cm^{-1} and starting guesses of scattering coefficients ranging from 50 to 300 cm^{-1} . Absorption coefficients were recovered with less accuracy and larger variances than scattering coefficients, as is the case with previously published OIR articles. Estimates of absorption coefficients, $\hat{\mu}_a$, ranged from 0.1 to 0.8 cm^{-1} using starting guesses of absorption ranging from 0.05 to 10 cm^{-1} and starting guesses of scattering coefficients ranging from 50 to 300 cm^{-1} . The standard deviation of these estimates was as high as 330% of the true value. Complementary methods for sensing absorption such as photoacoustic imaging could be considered to improve absorption sensitivity and reduce variance. In all previously mentioned fitting procedures, the phase-function was assumed to be the Henyey–Greenstein phase function, and anisotropy was fixed at 0.9. Model fitting was additionally performed to test the robustness of scattering coefficient estimation when inaccurate values of scattering anisotropy were assumed. For model fitting using inaccurate values of $g = 0.7, 0.8, 0.9,$ and 0.95 , estimates $\hat{\mu}_s$ were recovered within error in each case.

5 Discussion

PFC-diffusion models showed good agreement with Monte Carlo simulations, including improvements over single-scattering and distributed source diffusion models. Agreement was worse in the high-absorption case, shown in Fig. 4, but improvements over the diffusion models were still evident. Robustness to starting guesses of optical parameters shows promise for future experimental work. Scattering coefficient estimation robustness was even seen when incorrect anisotropies were used in model fitting. As was seen in previous OIR reports, accuracy and variance of absorption estimates were significantly worse than scattering coefficient estimation. Complementary techniques such as photoacoustic imaging^{2,44} could provide improved sensitivity to absorption with reduced variance.

Some previous OIR approaches required a sensing footprint of a few transport-mean-free paths (several millimeters) to accurately estimate the centroid of diffuse reflectance and fit to diffusion-regime models which are inaccurate near the point of entry. In the present approach with the new PFC-diffusion

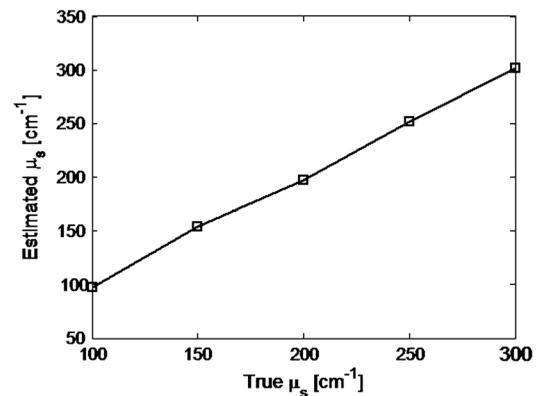


Fig. 6 Estimated versus true scattering coefficients in a simulation experiment testing the ability of the analytic PFC-diffusion model to fit to Monte Carlo simulation data mimicking optical measurements of diffuse reflectance. Fitting was performed using only a $700 \mu\text{m}$ measurement footprint, and is infeasible using previous oblique incidence reflectometry (OIR)-methods relying on more traditional diffusion approximation models.

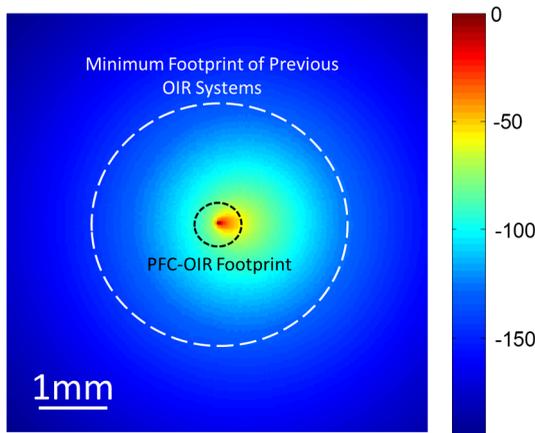


Fig. 7 Diffuse reflectance (colormap in decibel) due to a pencil beam obliquely incident on a semi-infinite turbid media with $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 100 \text{ cm}^{-1}$. The white-dashed circle represents the typical minimum footprint of previous OIR systems (which rely on fitting to diffusion-theory models), while the black-dashed circle represents the footprint used in our PFC-diffusion model-fitting.

analytical models, diffuse reflectance can be fit using a much smaller footprint as there is no longer a prerequisite that the data be collected far enough away from the point-of-entry to permit fitting to the standard single-scattering diffusion approximation. Figure 7 shows the footprint of our present PFC-OIR simulations compared with the footprint of previous diffusion-regime-dependent OIR approaches overlaid over a log-scale image of Monte Carlo simulated diffuse-reflectance for the case with $\mu_a = 0.1 \text{ cm}^{-1}$, $\mu_s = 100 \text{ cm}^{-1}$, and $g = 0.9$. Even with the small 700- μm footprint, and using only data along the x -axis, optical properties are accurately recovered using various starting guesses of $\mu_s = 100 \text{ cm}^{-1}$ and $\mu_a = 0.11 \text{ cm}^{-1}$ optical parameters. This encouraging result is the first application of the PFC-diffusion theory to an inverse problem to our knowledge. It may have practical implications in development of a small-footprint probe for measuring optical properties, which in turn may have applications in tumor margin assessment for realtime surgery guidance, detection of dysplasia and precancers for early detection of cancer, improved classification of pigmented lesions as benign nevi or malignant melanoma, among other applications. Our analytical approach is an alternative to using Monte Carlo or scalable Monte Carlo methods, and a future efficient implementation could enable realtime applications which could be of considerable importance in the clinic. The present work represents only simulations, however, this simulation work is an important first step toward designing experiments and miniature-footprint systems for optical property measurements.

The simulations use Henyey–Greenstein phase-function distributions, and it should be noted that comparison with results from other phase-function distributions may be warranted for certain scatterer size distributions and may give different fitting performance. Bevilacqua and Depeursinge⁴⁵ point out that the Henyey–Greenstein phase function is a rather limited phase function, since its higher order moments are coupled to the first moment. Additionally, the phase functions of tissues may very well be better modeled by phase functions other than the Henyey–Greenstein, and in fact such phase functions are unknown. Our framework provides a means to study other phase functions, but this is left for future work. With additional work, it might be interesting to see how the minimization routine

performs if the phase function is treated as unknown, and for example, additional degrees of freedom are introduced through higher order phase function moments introduced by Bevilacqua and Depeursinge,⁴⁵ used in the P3 approximation, and recently employed to semi-empirically model reflectance with “small footprints” by Kanick et al.⁴⁶

Future work should furthermore investigate refractive-index mismatched boundaries and test the validity of the theory against experimental measurements. It should also be noted that there have been several improvements to the diffusion model described by Farrell et al. (e.g., Ref. 23), and adaptation of these improved models for diffuse reflectance may offer additional improvements to the PFC results. It should also be noted that there has been recent groundbreaking work regarding analytic solutions to the radiative transport equation, in the form of series expansions for cases such as buried point sources and normally incident pencil beam sources in semi-infinite turbid media.⁴⁷ It is expected that extensions of this work to the oblique-incidence pencil beam problem could provide a computationally efficient analytic solution as an alternative to the present PFC theory.

6 Conclusions

The PFC-diffusion theory introduced by Vitkin et al.²⁸ has been adapted, with new derivations, to the case of pencil beams obliquely incident on a semi-infinite turbid medium. The new models show improved accuracy compared with more traditional single scattering and distributed source diffusion approximations when validated against Monte Carlo simulations. Monte Carlo simulations mimicking optical measurements of diffuse reflectance are successfully fit to PFC-diffusion models to extract optical property estimates which closely follow true values. Fitting is done using a mere 700- μm footprint which is impractically small for other OIR approaches which rely on traditional diffusion theory. This study represents the first attempt at applying the PFC-diffusion theory to an inverse problem to our knowledge and could have important implications in miniature-footprint systems for rapid measurement of the optical properties of tissues.

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