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**Abstract.** Bit-patterned media (BPM) fabrication sets a high bar for nanopatterning especially in the aspects of lithography resolution and pattern transfer. Directed self-assembly (DSA) of spherical block copolymers (BCPs) provides promising pattern resolution extendibility and pattern layout flexibility as long as proper pre-pattern designs are provided. Polystyrene-*block*-polydimethylsiloxane in the form of monolayered spheres is used as a vehicle to form either globally densely packed nanodot arrays in the data zone or locally densely packed nanodot arrays in the servo zone on a BPM template. Skew compatibility of spherical BCPs is also discussed. The BCP dot template is then applied as the scaffold for pattern transfer into quartz to make a nanoimprint mold and further into magnetic storage media. Distributions of both dot sizes and dot spacings are closely monitored after DSA pattern formation and pattern transfer. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: [10.1117/1.JMM.12.3.031110](https://doi.org/10.1117/1.JMM.12.3.031110)]

Subject terms: directed self-assembly; block copolymer; bit-patterned media; servo; skew; PS-*b*-PDMS; sphere.

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## 1 Introduction

As the major data storage provider so far, the hard disk drive (HDD) industry based on magnetic recording is facing a tremendous challenge from the areal density growth. Due to the well-known superparamagnetic effect,<sup>1</sup> current magnetic recording technique, perpendicular recording, may not be able to carry HDD too far beyond an areal density of 1 teradot/in<sup>2</sup> (1 T). Next-generation magnetic recording technologies, such as heat-assisted magnetic recording<sup>2</sup> and bit-patterned media (BPM),<sup>3</sup> are under intense research and development at industry laboratories now. BPM, consisting of physically isolated magnetic single domains, put forward very stringent lithography requirements on the pattern density of >1 T, the pattern uniformity of ~5% bit size sigma, and the pattern addressability of ~5% bit position sigma.<sup>4</sup> All those are very difficult to be satisfied simultaneously even using state-of-the-art “top-down” lithography techniques such as 193-nm immersion lithography, extreme ultraviolet lithography, or electron-beam lithography. Directed self-assembly (DSA) using block copolymers (BCPs) provides a versatile approach for nanopatterning at unprecedented resolution or pattern density.<sup>5–9</sup> The control of long-range order and addressability of BCP nanodomains has been solved by applying commensurate pre-patterns with either chemical<sup>10–12</sup> or topographical<sup>13</sup> contrast. In the consideration of DSA for BPM fabrication, a comprehensive collection of all pattern information on a BPM disk is definitely needed first. The patterns on a BPM disk can be divided into two categories. One is located at regions called data zones, which consists of globally densely packed dot arrays with long-range order. The other is located at regions called servo zones. The servo patterns provide address information of each track and every bit in the data zones for the recording head to locate during data reading and writing. For this

purpose, alternative magnetic and nonmagnetic areas are designed in the servo zone. In our servo design, locally densely packed dot arrays with short-range order are pursued.

Here, using a spherical BCP, polystyrene-*block*-polydimethylsiloxane (PS-*b*-PDMS), we investigate several kinds of pre-patterns to generate various DSA patterns in response to different pattern layout requirements in the data zone and the servo zone, respectively. The effectiveness of each DSA pattern is evaluated. As a characteristic of HDD design, skew compatibility of spherical BCPs is also quantified. Furthermore, pattern transfer experiment at such high-density level as 1 and 2 T is performed. Pattern fidelity is analyzed. Finally, successful fabrication of 2 T magnetic media implies great potential of DSA technology for ultrahigh-density BPM application.

## 2 Experimental Procedure

### 2.1 Materials

PS-*b*-PDMS BCPs, PS homopolymer, and PS brush materials were purchased from Polymer Source, Inc., Dorval, Canada. Various spherical PS-*b*-PDMS BCP systems are listed in Table 1 with detailed information such as molecular weight ( $M_n$ ), polydispersity (PDI), and natural domain spacing ( $L_o$ ). Specifically, spherical BCPs at 1.3 and 2 T were prepared by blending BCP with PS homopolymer. The PS homopolymer has  $M_n = 3.7k$  and PDI = 1.09. PS brush materials are hydroxyl terminated PS with various  $M_n$ s from 3.7 to 40k. Imprint resist materials were purchased from Molecular Imprints, Inc., Austin. Hydrogen silsesquioxane (HSQ) material was purchased from Dow Corning as XR-1541 electron-beam resist.

**Table 1** PS-*b*-PDMS BCP materials.

Density (T)	$M_n$ (kg/mol)	PDI	$L_o$ (nm)	Comments
1	22.5 to 4.5	1.09	27	Pure BCP
1.3	13.5 to 4	1.07	24	Blended with PS homopolymer
2	11.7 to 2.9	1.09	19	Blended with PS homopolymer

## 2.2 Sample Preparation

Two-dimensional (2-D) hole-tone pre-patterns with feature heights (hole depths) of  $\leq 5$  nm were prepared by either rotary-stage electron-beam lithography or nanoimprint lithography. 2-D pillar-tone pre-patterns with feature heights (pillar heights) of 30 nm were generated by XY-stage electron-beam lithography using HSQ resists. A PS brush layer was thermally grafted onto the HSQ pillar patterns to provide a uniform wetting layer. One-dimensional (1-D) trench pre-patterns with feature heights of 30 nm were created by nanoimprint lithography and thermally grafted with a PS brush layer. PS-*b*-PDMS thin films were spin-coated onto pre-patterns and thermal annealed at 170°C for 24 h. Then, CF<sub>4</sub> reactive-ion etch (RIE) was applied to remove a thin layer of PDMS on the top surface prior to O<sub>2</sub> RIE for removing unprotected PS blocks so as to reveal oxidized PDMS dots. In pattern transfer experiment, a thin Cr layer was always sputtered onto quartz substrates prior to any pre-patterning or DSA process. Chlorine RIE was applied for transferring BCP patterns into Cr. Then, CF<sub>4</sub> RIE was applied for quartz etch. Ion-beam etching of magnetic materials was performed on an argon ion miller.

## 2.3 Characterization

BCP patterns, quartz dot patterns, and magnetic dot patterns were characterized by using a scanning electron microscope (SEM) operated at 10 keV and a transmission electron microscope operated at 200 keV. BCP patterns were imaged after CF<sub>4</sub> + O<sub>2</sub> RIE as described before. A 3-nm thick Cr was deposited on quartz samples to minimize charging effects, while its effect on dot size/spacing estimation was not excluded in image analysis. Magnetic loops were measured by an in-house magnetometer. As for image analysis, the dot was defined as a circle, either inscribed within the dot or circumscribed around the dot's external contour. The dot size was defined as the dot diameter. The dot spacing was defined as the center-to-center distance between two neighboring dots in the *x*-direction. The distribution was defined as a quotient of the standard deviation divided by the mean. Each image includes about 1000 dots for a total of at least five images analyzed per pattern. The resolution was chosen so that each dot has  $\sim 250$  pixels.

## 3 Results and Discussion

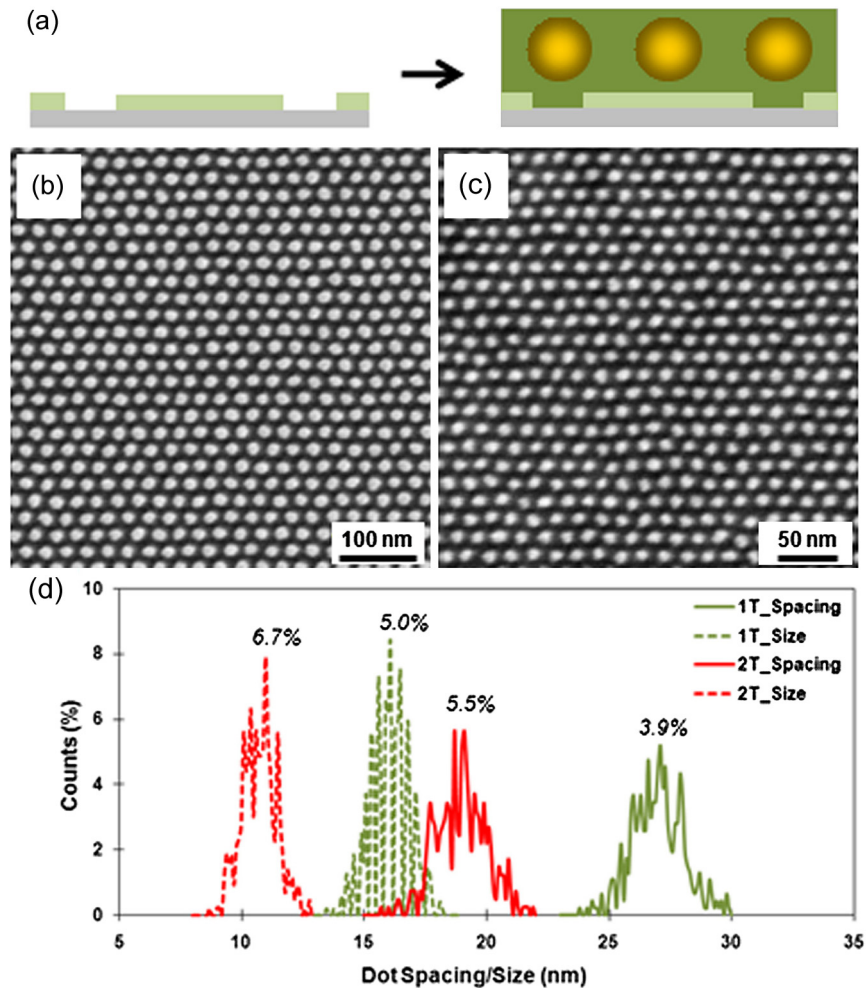
### 3.1 DSA in the Data Zone Using 2-D Hole-Tone Pre-Patterns

Previously, we developed a method of using 2-D hole-tone pre-patterns created by XY-stage electron-beam lithography

to guide the self-assembly of spherical PS-*b*-PDMS.<sup>14</sup> Density multiplication factors of 4 $\times$  to 16 $\times$  and pattern densities of 1.3 to 3.8 T have been achieved via that approach. Here, we utilized a rotary-stage electron-beam writer so as to generate 2-D hexagon arranged hole-tone pre-patterns in a thin PS brush layer over a much larger area (2-mm wide ring at a radius of 20 mm or so). Or in another way, a similar type of pre-patterns was created in a thin imprint resist layer by nanoimprint lithography using a mold fabricated by rotary-stage electron-beam lithography. Nonetheless, both kinds of 2-D hole-tone pre-patterns share almost the same pattern information such as a hexagon pattern lattice, a low pattern density (i.e., <1 T), and a small feature height (i.e., the hole depth is <5 nm). Figure 1(a) shows the schematic of DSA of spherical BCPs on a shallow hole-tone pre-pattern with pitch doubling or density quadrupling. A 1 T PS-*b*-PDMS dot pattern was generated by using a pre-pattern at 0.25 T [Fig. 1(b)]. Similarly, a 2 T PS-*b*-PDMS dot pattern was formed by using a pre-pattern at 0.5 T [Fig. 1(c)]. After image analysis of BCP dot patterns at 1 T, it shows narrow distributions of dot spacings (in the *x*-direction or circumferential direction) and dot sizes, both within 5% [Fig. 1(d)]. When the BCP pattern density increases to 2 T, the dot spacing distribution (5.5%) is still reasonably tight while the dot size distribution ramps up to 6.7%. These findings are not surprising, since the fluctuations of BCP dot spacings or dot positions are not only determined by the BCP material property or DSA thermodynamics but also restricted by the pre-pattern while the BCP dot sizes are affected less by the pre-pattern. From this point of view, the larger distribution comes from dot sizes instead of dot spacings as observed from both 1 T samples and 2 T samples.

### 3.2 DSA in the Data Zone Using 2-D Pillar-Tone Pre-Patterns

Besides 2-D hole-tone pre-patterns, 2-D pillar-tone pre-patterns have also been tested for DSA of spherical PS-*b*-PDMS. Similar to a previous report,<sup>13</sup> 2-D HSQ pillar patterns were created by electron-beam lithography using HSQ resists. The advantage of this approach is that the size of HSQ pillars can be controlled to  $\leq 10$  nm due to the high resolution property of HSQ as a molecular resist. To provide a uniform surface wetting property for DSA of spherical PS-*b*-PDMS, a polymer brush layer is needed first. Here, PS was selected as the brush layer material due to better wetting property for PS-*b*-PDMS thin films during spin-coating, compared to PDMS. Figure 2(a) shows the schematic of DSA of spherical BCPs on a pillar-tone pre-pattern with pitch tripling. In our experiment, the PS brush length was found to play an important role on the DSA pattern quality. The BCP used in this study has a natural domain spacing  $L_o$  of 24 nm (corresponding to 1.3 T), mainly consisting of a PS-*b*-PDMS with a molecular weight of 13.5 to 4k. The HSQ pre-pattern period  $L_s$  is designed as 72 nm, three times of  $L_o$ . Several hydroxyl terminated PS brushes with different molecular weights were applied as the wetting layers. When the molecular weight of PS brushes is 3.7k, the center-to-center distance between HSQ pillars and closest BCP dots, as indicated by the arrow in Fig. 2(b), is less than  $L_o$ , although a hexagon exists as evidenced by the Fourier transfer (FT) analysis. When the molecular weight of PS brushes is 10 k [Fig. 2(c)], close to the molecular



**Fig. 1** Directed self-assembly (DSA) in data zone using 2-D hole-tone pre-patterns. (a) Schematic of DSA of a spherical block copolymer (BCP) using a shallow hole-tone pre-pattern. (b) 1 T BCP dot pattern using a 0.25 T pre-pattern. (c) 2 T BCP dot pattern using a 0.5 T pre-pattern. (d) BCP dot spacing (in the  $x$ -direction) and size distribution at 1 and 2 T. Size/spacing distribution is calculated as a quotient of the standard deviation divided by the mean.

weight of PS blocks in the copolymer (13.4k), an almost perfect hexagon lattice appears with uniform dot spacings. When the molecular weight of PS brushes increases to 20k [Fig. 2(d)], the outer interference set in FT analysis indicates periodic BCP dot arrays without long-range order. When the molecular weight of PS brushes increases further to 40k [Fig. 2(e)], much larger than that of PS blocks in the copolymer, periodic BCP dot patterns disappear as indicated by the dim outer interference set in FT analysis. As can be seen from the above, a polymer brush with similar molecular weight to corresponding blocks in the copolymer is critical for achieving uniform DSA patterns using 2-D pillar-tone pre-patterns.

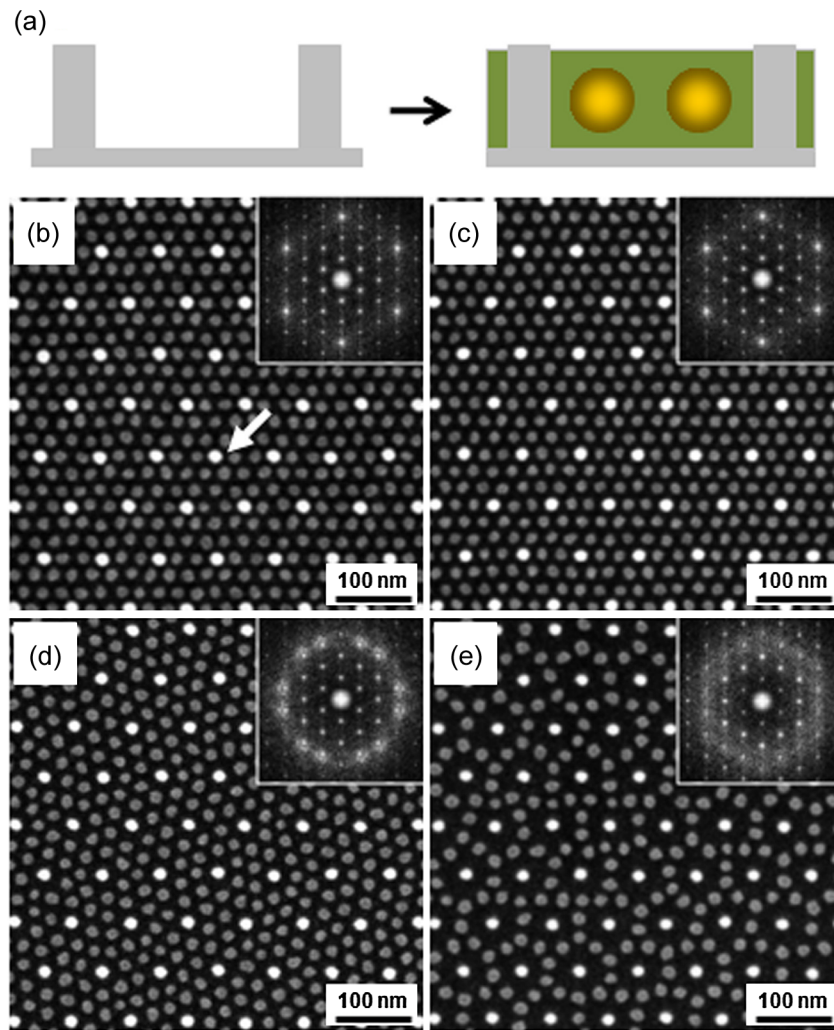
### 3.3 DSA in the Servo Zone Using 1-D Trench Pre-Patterns

Servo zones require pattern layouts different from globally addressable and densely packed dot arrays in data zones. Alternative patterned and unpatterned regions are preferred. In this regard, graphoepitaxy<sup>15</sup> utilizing topographical pre-patterns have advantages over chemo-epitaxy,<sup>16</sup> since, the regions occupied by the topographical pre-patterns are left void usually. Graphoepitaxy of spherical,<sup>17</sup> cylindrical,<sup>18</sup>

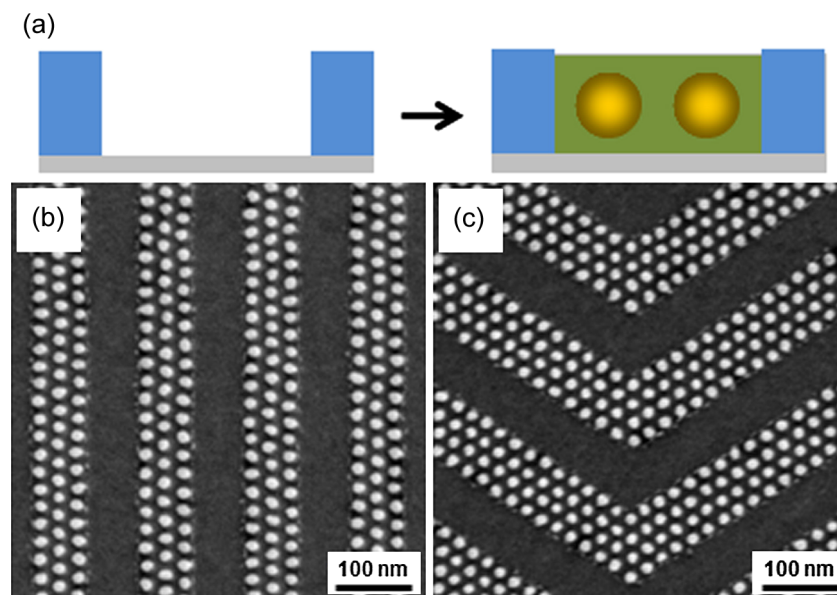
and lamellar<sup>19</sup> BCPs has been extensively studied before. Figure 3(a) shows the schematics of DSA of a spherical BCP using a 1-D trench pre-pattern. As long as certain commensurability conditions are satisfied,<sup>17</sup> BCP dot arrays with integral row numbers are aligned to the trench sidewall. And locally densely packed dot arrays are segregated by unpatterned regions. Some typical servo patterns such as a preamble area and a burst area are shown in Fig. 3(b) and 3(c), respectively. Besides, more complex servo patterns are also compatible with DSA of BCPs as long as properly designed pre-patterns are provided.<sup>20</sup> And it should be noted that the DSA patterns in servo zone are not necessarily aligned to those in data zone.

### 3.4 Skew Compatibility of Spherical BCPs

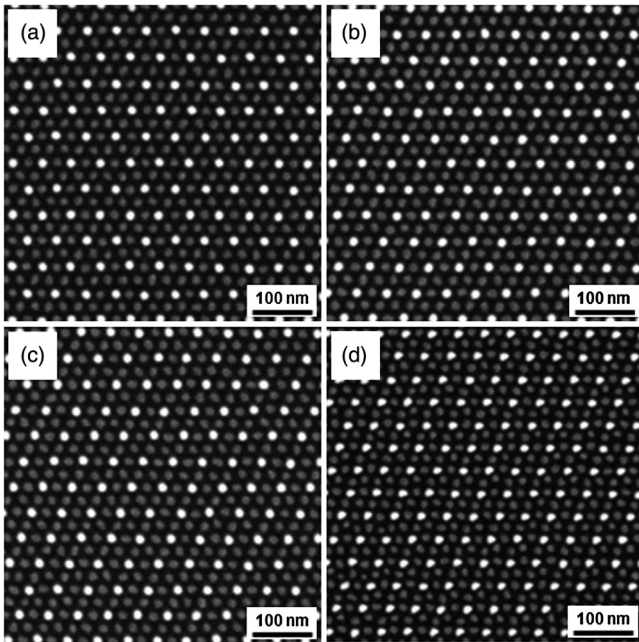
Concerns on skew compatibility of DSA to a BPM system originate from the mechanical design of an HDD. An HDD consists of several recording media disks and several recording heads. Inside a recording head, an actuator arm and suspension of the rotary actuator are collinear making the movement of the slider follow an arc and not a straight line. To match the motion of the slider or the recording head, the bits on a BPM disk are arranged slightly different at different



**Fig. 2** DSA in data zone using 2-D pillar-tone pre-patterns. (a) Schematic of DSA of a spherical BCP using a pillar-tone pre-pattern, surface-modified by a polystyrene (PS) brush layer (not shown). Brush length effect on DSA pattern: molecular weight of PS brush is 3.7k (b), 10k (c), 20k (d), and 40k (e). Insets in (b) to (e) show corresponding FT analysis. The label in (b) points to the gap between hydrogen silsesquioxane (HSQ) pillars and closest BCP dots.  $L_o$  is 24 nm, whereas  $L_s$  is 72 nm.



**Fig. 3** DSA in servo zone using 1-D trench pre-patterns. (a) Schematic of DSA of a spherical BCP using a trench pre-pattern. (b) BCP dot pattern in a preamble area. (c) BCP dot pattern in a burst area.

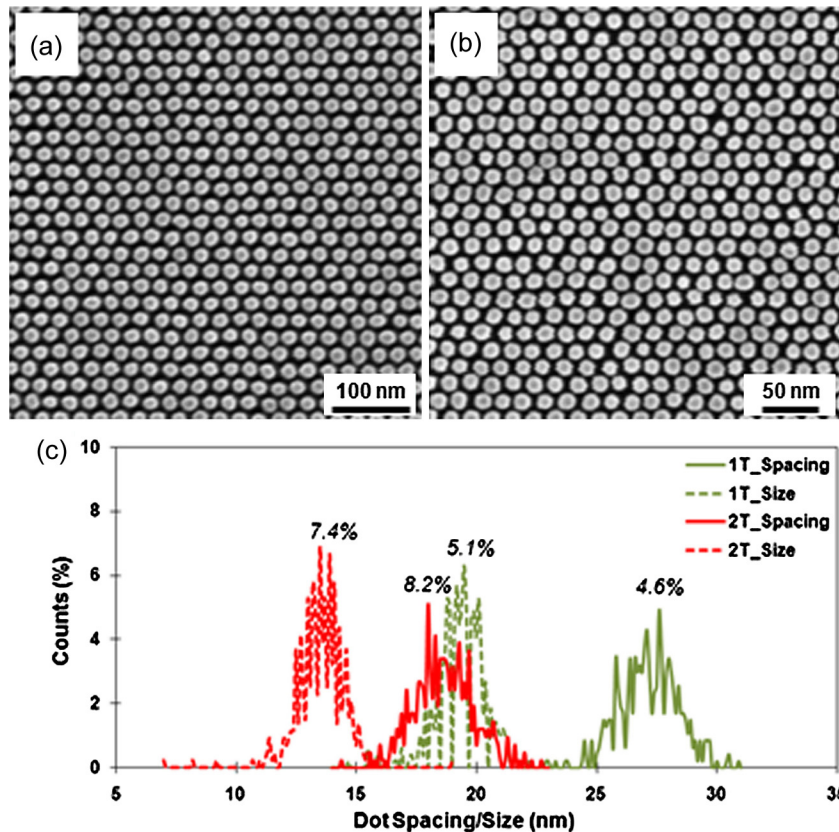


**Fig. 4** DSA using pillar-tone skew pre-patterns. (a) DSA of a spherical BCP on a pre-pattern with zero skew. (b) DSA of a spherical BCP on a pre-pattern with 4 deg skew. (c) DSA of a spherical BCP on a pre-pattern with 8 deg skew. (d) DSA of a spherical BCP on a pre-pattern with 12 deg skew.  $L_o$  is 24 nm, whereas  $L_s$  is 48 nm.

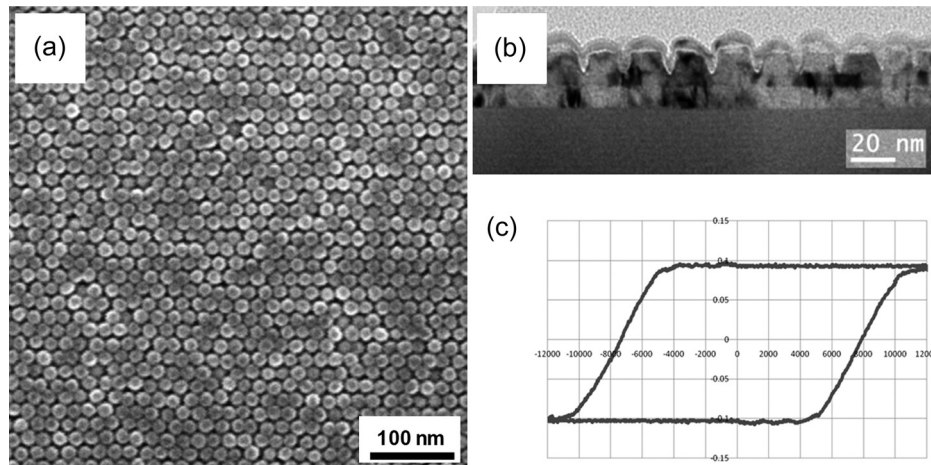
radiuses. In a hexagonal close-packed array of spherical or cylindrical BCPs, the BCP lattice needs to deviate from the regular hexagon. A skew angle<sup>21</sup> is pre-designed into the pre-pattern to test the skew compatibility of spherical PS-*b*-PDMS as used here. The pre-pattern was made of HSQ pillars, which has a period of 48 nm, two times of  $L_o$ . A PS brush layer was grafted to the topographical pre-pattern prior to BCP thin film coating, to provide a uniform wetting property. As shown in Fig. 4(a), the BCP dots interpolate evenly between HSQ pillars, at zero skew angle. At some higher skew angles [Fig. 4(b) and 4(c)], the BCP dot arrays are able to follow the skew pre-patterns by presenting skew DSA patterns. But at a skew angle as large as 12 deg, the phenomenon is broken due to insufficient self-adjustment capability of polymer chains. A compatible skew angle of up to ~8 deg was also observed before when a shallow 2-D hole-tone pre-pattern was used for the same BCP, which indicates the skew compatibility of a spherical BCP system is not limited by the pre-pattern type but constrained by the intrinsic stretching and compressing capability of BCP molecular and thermodynamics.

### 3.5 Pattern Transfer into Quartz and Magnetic Media

Usually, a BCP template is not useful until it can be used as a mask for pattern transfer into functional materials. In a typical process flow of BPM fabrication, the BCP patterns are transferred into a nanoimprint mold first, which is made of quartz for UV imprinting. In our experiment, PS-*b*-PDMS nanopatterns were etched into a Cr layer first by using chlorine RIE. Cr dots were then applied as hard masks for quartz



**Fig. 5** Pattern transfer into quartz. (a) 1 T quartz dot pattern. (b) 2 T quartz dot pattern. (c) Quartz dot spacing (in the x-direction) and size distribution at 1 and 2 T. Size/spacing distribution is calculated as a quotient of the standard deviation divided by the mean.



**Fig. 6** Pattern transfer into 2 T magnetic media. (a) Top-view scanning electron microscopy (SEM) of magnetic dots. (b) Cross-sectional transmission electron microscopy (TEM) of magnetic dots. (c) Magnetic loop of 2 T media showing a high coercivity of  $\sim 8$  kOe. The x-axis is a magnetic field in the unit of Oe, and the y-axis is unitless intensity.

etch using  $\text{CF}_4$  RIE. Quartz dot patterns at 1 and 2 T, respectively, are shown in Fig. 5(a) and 5(b). Image analysis shows that after pattern transfer into quartz both the dot size distribution and the dot spacing distribution are well maintained at 1 T [Fig. 5(c)]. But at 2 T, both distributions are broadened. Especially, the dot spacing distribution ramps up significantly from 5.5% [Fig. 1(d)] to 8.2% [Fig. 5(c)], well out of the design tolerance of 5%.<sup>4</sup> Future work will focus on improving pattern fidelity after pattern transfer at such a high pattern density as 2 T or above.

Soon after a nanoimprint mold is obtained, efforts are put on how to imprint the high-density nanopattern into a thin resist layer and then transfer it into magnetic media. A so-called tone-reversal process was applied to convert the hole-tone resist pattern into a pillar-tone silicon oxide pattern. In this tone-reversal process, an HSQ layer was spin-coated onto the nanoporous imprint resist pattern first. Then, a  $\text{CF}_4$  RIE process was applied to remove those HSQ materials on top, leaving HSQ posts separated by surrounding imprint resists. After the removal of remaining imprint resist matrix by  $\text{O}_2$  RIE, a silicon oxide (oxidized HSQ) dot pattern was obtained. This silicon oxide dot pattern can be transferred into a magnetic multilayer on a disk by ion-beam etch. Long-range ordered magnetic dot arrays at 2 T [Fig. 6(a)] were obtained across the disk. Clear gap between adjacent dots was observed in cross sectional TEM [Fig. 6(b)] indicating good physical isolation. The flat top and a large sidewall angle represent well-defined magnetic dot profiles. As high coercivity as  $\sim 8$  kOe was measured from the magnetic loop [Fig. 6(c)] showing excellent single-domain behavior in such high-density magnetic media.

#### 4 Conclusions

DSA of spherical PS-*b*-PDMS is successfully implemented to the fabrication of BPM templates and media, consisting of both data patterns of globally densely packed dot arrays and servo patterns of locally densely packed dot arrays segregated by unpatterned regions. Different types of pre-patterns are applied and evaluated for DSA in either data zones or servo zones. Skew compatibility of spherical BCPs is quantified and the results agree with a previous study using the same BCP but a different pre-pattern. High-fidelity pattern

transfer from BCP nanotemplates to quartz and magnetic alloys indicates great potential of the DSA technology to enable next-generation magnetic storage media fabrication.

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